P802[®]-REV/D1.7

(Revision of IEEE Std 802[™]-2001, incorporating IEEE Std 802a[™]-2003, and IEEE Std 802b[™]-2004)

IEEE Draft Standard for Local and Metropolitan Area Networks: Overview and Architecture

Prepared by the

Interworking Task Group of IEEE 802.1 LAN/MAN Standards Committee of the IEEE Computer Society

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IEEE Standards Activities Department 445 Hoes Lane Piscataway, NJ 08854, USA **Abstract**: IEEE Std 802-2001, IEEE Standard for Local and Metropolitan Area Networks: Overview and Architecture, provides an overview to the family of IEEE 802 standards. It describes the relationship of IEEE 802 standards to the Open Systems Interconnection Basic Reference Model (ISO/IEC 7498-1:1994) and explains the relationship of these standards to the higher layer protocols; it provides a standard for the structure of LAN MAC addresses; it provides a standard for identification of public, private, prototype, and standard protocols; and it specifies an object identifier hierarchy used within IEEE 802 for uniform allocation of object identifiers used in IEEE 802 standards.

Keywords: EtherTypes, IEEE 802, IEEE 802 standards compliance, LAN/MAN architecture, LAN/MAN reference model, local area networks (LANs), metropolitan area networks (MANs), object identifiers, personal area networks (PANs), regional area networks (RANs), body area networks (BANs), protocol development, protocol types.

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Introduction

This introduction is not part of IEEE P802-REV/D1.7, IEEE Draft Standard for Local and metropolitan area networks:_Overview and Architecture.

<Editor's note: Introduction that describes the history of the standard to be added prior to publication>

This standard contains state-of-the-art material. The area covered by this standard is undergoing evolution. Revisions are anticipated within the next few years to clarify existing material, to correct possible errors, and to incorporate new related material. Information on the current revision state of this and other IEEE 802 standards may be obtained from

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Tony Jeffree, Chair and Editor Paul Congdon, Vice Chair James P. K. "Trainwreck" Gilb, Task Force Chair and Technical Editor

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Historical participants

When the IEEE Std 802-1990 was approved on 31 May, 1990, the IEEE 802.1 Working Group officers were:

William P. Lidinsky, Chair

When the IEEE Std 802-2001 was approved on 6 December 2001, the IEEE 802.1 Working Group officers were:

William P. Lidinsky, Chair Tony Jeffree, Vice Chair and Editor Alan Chambers, Tony Jeffree, Editors

When the IEEE Std 802a-2003 was approved on 12 June 2003, the IEEE 802a Working Group officers were:

Tony Jeffree, Chair and Editor Neil Jarvis, Vice Chair

When the IEEE Std 802b-2004 was approved on 25 March 2004, the IEEE 802a Working Group officers were:

Tony Jeffree, *Chair and Editor* **Neil Jarvis**, *Vice Chair*

The following individuals participated in the IEEE 802.1 working group during various stages of the standard's development. Since the initial publication, many IEEE standards have added functionality or provided updates to material included in this standard. To follow is a historical list of participants who have dedicated their valuable time, energy, and knowledge to the creation of this standard:

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IEEE Draft Standard for Local and Metropolitan Area Networks: Overview and Architecture

1. Overview

1.1 Scope

This standard contains descriptions of the IEEE 802 standards published by <u>the</u> IEEE for local area-framebased data networks (LANs), metropolitan area networks (MANs), personal area networks (PANs), and regional area networks (RANs), as well as a reference model (RM) for protocol standards. Compliance with the <u>family of</u> IEEE 802 <u>standards architecture</u> is defined, and a standard for the identification of public, private, and standard protocols is included.

1.2 Purpose

This standard serves as the foundation for the family of IEEE 802 standards published by IEEE for LANs, MANs, PANs, and RANs.

2. Normative references

The following publications contain provisions which, through reference in this text, constitute provisions of this standard. At the time of publication, the editions indicated were valid. All standards are subject to revision, and parties to agreements based on this standard are encouraged to investigate the possibility of applying the most recent editions of the standards listed below.

IEEE Std 802.1DTM, Standard for Local and Metropolitan Area Networks: Media Access Control (MAC) Bridges.^{1,2}

IEEE Std 802.1Q[™], Standard for Local and Metropolitan Area Networks: Virtual Bridged Local Area Networks.

IEEE <u>P802</u>Std 802.1ACTM, Standard for Media Access Control (MAC) Service Definition.³

ISO/IEC 7498-1:1994, Information technology—Open Systems Interconnection—Basic Reference Model: The Basic Model.⁴

ISO/IEC 8802-2:1998, Standard for Information technology—Telecommunications and information exchange between systems—Local and metropolitan area networks—Specific requirements—Part 2: Logical link control.⁵

ITU-T Recommendation X.660, Information technology – Procedures for the operation of object identifier registration authorities: General procedures and top arcs of the international object identifier tree.⁶_____

IETF RFC 2578, Structure of Management Information Version 2 (SMIv2).⁷

49 this publication went to press. For information ab
 50 ⁴ISO/IEC publications are at http://shop.iece.org.

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²IEEE publications are available from the Institute of Electrical and Electronics Engineers, 445 Hoes Lane, Piseataway, NJ 08854-4141, USA-Engineers (http://standards.ieee.org/).

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⁵¹ Electrotechnical Commission (http://www.iec.ch/). ISO/IEC publications are also available in the United States from the American 52 National Standards Institute (http://www.ansi.org/).

^{53 &}lt;sup>6</sup><u>TTU-T publications are available from the International Telecommunications Union (http://www.itu.int/).</u>

^{54 &}lt;sup>7</sup>IETF documents (i.e., RFCs) are available for download at http://www.rfc-archive.org/.

3. Definitions, acronyms and abbreviations

3.1 Definitions

For the purposes of this standard<u>document</u>, the following <u>terms and</u> definitions apply. The *IEEE Standards Dictionary: Glossary of Terms & Definitions*-<u>Dictionary Online</u> should be consulted for terms not defined in this clause.⁶

access domain: A set of stations in an IEEE 802 network together with interconnecting data transmission media and related equipment (e.g., connectors, repeaters), in which the stations use the same MAC protocol without the use of a bridge.

bit-reversed representation: The representation of a sequence of octet values in which the values of the individual octets are displayed in order from left to right, with each octet value represented as a two-digit hexadecimal numeral, and with the resulting pairs of hexadecimal digits separated by colons. The order of the hexadecimal digits in each pair, and the mapping between the hexadecimal digits and the bits of the octet value, are derived by reversing the order of the bits in the octet value and interpreting the resulting bit sequence as a binary numeral using the normal mathematical rules for digit significance.

NOTE — The bit-reversed representation is of historical interest only and is no longer applicable to any active IEEE 802 standard. See Figure 9 for a comparative example of bit-reversed and hexadecimal representation.⁷

bridge; MAC bridge: A functional unit that interconnects two or more IEEE 802 networks that use the same data link layer protocols above the MAC sublayer, but can use different MAC protocols. A bridge uses layer 2 information for forwarding Forwarding and filtering data among decisions are made on the IEEE 802 networks basis of layer 2 information.

canonical format: The format of a MAC data frame in which the octets of any 48-bit extended unique identifiers (EUI-48s) or 64-bit extended unique identifiers (EUI-64s) conveyed in the MAC user data field have the same bit ordering as in the hexadecimal representation.

end station: A functional unit attached to an IEEE 802 network that acts as a source of, and/or destination for, link layer data traffic carried on the network.

Ethernet: A communication protocol defined specified by IEEE Std 802.3™.

EtherType: A two octet value that indicates the nature of the MAC client protocol. Type values are <u>value</u>, assigned by the IEEE Registration Authority, that provides context for interpretation of the data field of a frame (protocol identification).

handover: The process by which a mobile node obtains facilities and preserves traffic flows when traffic is switched from one link to another. Different types of handover are <u>defined specified</u> based on the way facilities for supporting traffic flows are preserved.

hexadecimal representation: The representation of a sequence of octet values in which the values of the individual octets are displayed in order from left to right, with each octet value represented as a two-digit hexadecimal numeral, and with the resulting pairs of hexadecimal digits separated by hyphens. The order of the hexadecimal digits in each pair, and the mapping between the hexadecimal digits and the bits of the octet

- ⁶The IEEE Standards Dictionary: Glossary of Terms & Definitions Dictionary Online subscription is available at <u>at:</u> http://shopwww.ieee.org/portal/innovate/products/standard/standards_dictionary.html.
- ⁷Notes in text, tables, and figures of a standard are given for information only and do not contain requirements needed to implement
- 4 this standard.

value, are derived by interpreting the bits of the octet value as a binary numeral using the normal mathematical rules for digit significance.

NOTE -- See Figure 9 for a comparative example of bit-reversed and hexadecimal representation.

IEEE 802 network: A network consisting of one or more interconnected networks each using a MAC protocol specified in an IEEE 802 standard.

NOTE—IEEE 802 networks include both wired and wireless forms of local area networks (LANs), metropolitan area networks (MANs), personal area networks (PANs), and regional area networks (RANs).

interconnection: The provision of <u>A</u> data communication <u>paths</u> between stations in an IEEE 802 network.

interworking: The use of interconnected stations in an IEEE 802 network for the exchange of data, by means of protocols operating over the underlying data transmission paths.

local area network: A <u>network network of devices</u>, whether indoors or outdoors, covering a limited geographic area, e.g., a building or campus.

medium access control (MAC) control frame: A data structure consisting of fields in accordance with a MAC protocol, for the communication of control information, only, in a network.

medium access control (MAC) data frame: A data structure consisting of fields in accordance with a MAC protocol, for the communication of user data and control information in a network; one of the fields contains a sequence of octets of user data.

medium access control protocol: The protocol that governs access to the transmission medium in a network, to enable the exchange of data between stations in a network.

media independent control function: A parallel control plane that provides control functions for different MAC and PHY sublayers and provides a media independent abstraction to higher layer protocols.

media independent handover function: A function that provides the ability to relocate traffic flows between different medium access technologies and associated physical media.

metropolitan area network: A <u>computer network network of devices</u>, extending over a large geographical area such as an urban area, often providing integrated communication services such as data, voice, and video.

noncanonical format: The format of a medium access control (MAC) data frame in which the octets of 48bit extended unique identifiers (EUI-48s) or 64-bit extended unique identifiers (EUI-64s) conveyed in the MAC user data field have the same bit ordering as in the bit-reversed representation.

oetet: A sequence of eight bits, the ends of the sequence being identified as the most significant bit (MSB) and the least significant bit (LSB).

NOTE — This identification of the ends of the sequence defines an unambiguous mapping from octet values, via binary numerals, to the integers 0–255, and hence a mapping also from octet values to the expressions of those integers as numerals in hexadecimal notation. *Sec:* hexadecimal representation.

personal area network: A <u>computer</u>-network <u>of devices</u> extending over a very limited geographical area, used to convey information among a private-intimate group of participant stations.

private protocol: A protocol whose use and specification are controlled by a private organization.

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public protocol: A protocol whose specification is published and known to the public, but controlled by an organization other than a formal standards body.

regional area network: A <u>computer</u> network <u>of devices</u> that generally covers a service area that is larger than metropolitan area networks, typically in sparsely populated areas.

standard protocol: A protocol whose specification is published and known to the public and is controlled by a standards body.

station: An end station or bridge. See also: bridge; end station.

3.2 Acronyms and abbreviations

AN	auto negotiation
BS	base station
CGMII	100 Gb/s media independent interface
CPE	customer-premises equipment
CPS	common part sublayer
CF3 CS	
	convergence sublayer
CSMA/C	1
DLL	data link layer
EFM	Ethernet in the first mile
<u>EPD</u>	EtherType protocol discrimination
EUI-48 ^{TI}	*
EUI-64 ^{TI}	64-bit extended unique identifier
FEC	forward error correction
IETF	Internet Engineering Task Force
IM	implementation model
I/G	individual/group
ISO/IEC	JTC 1 Joint Technical Committee 1, Information Technology, of the International Organization for Standardization and the International Electrotechnical Commission
ITU-T	International Telecommunication Union Telecommunication Standardization Sector
ITU-R	International Telecommunication Union Radiocommunications Radiocommunication Sector
LAN	local area network
LLC	logical link control
LLDP	link layer discovery protocolLink Layer Discovery Protocol
LMSC	local area networks <u>Local Area Networks</u> /metropolitan area networks standards commit- tee Metropolitan Area Networks Standards Committee
LPD	LLC protocol discrimination
LSAP	link service access point
LSB	least significant bit
MAC	medium access control, media access control ⁸
MAN	metropolitan area network
MCSAP	medium access control control service access point
MDI	medium dependent interface

1	MID	
1 2	MIB	management information base
2 3	MICLSAP	media independent control link service access point
4	MICPSAP	media independent control physical service access point
5	MICSAP	media independent control service access point
6	MIH	media independent handover
7	MIHF	media independent handover function
8	MLME	medium access control sublayer management entity
9	MOCS	managed object conformance statement
10	MSAP	medium access control service access point
11 12	MSB	most significant bit
12	MSTP	multiple spanning tree protocol
13	OAM	operations, administration, and maintenance
15	OID	object identifier
16	OSI	open systems interconnectionOpen Systems Interconnection
17	OSAP	operations, administration, and maintenance service access point
18	OUI	organizationally unique identifier
19	PAN	personal area network
20	PCS	physical coding sublayer
21		
22 23	PDUPDE	protocol data unit discrimination entity
23	<u>PDU</u>	protocol data unit
25	<u>PHY</u>	physical layer (open systems interconnection reference model and IEEE 802 reference model)
26	PHY	physical layer device or entity (IEEE Std 802.3 reference model)
27	PIB	personal area network information base
28 29	<u>PICS</u>	protocol implementation conformance statement
29 30	PLME	physical layer management entity
31	PMA	physical medium attachment
32	PMD	physical medium dependent
33	PSAP	physical service access point
34	PHY	physical set vice access point physical layer (open systems interconnection reference model and IEEE 802 reference-
35	· · · ·	model)
36	PHY-	physical layer device or entity (IEEE Std 802.3 reference model)
37	PICS	protocol implementation conformance statement
38 39	RAN	regional area network
40	RM	reference model
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42	RS	reconciliation sublayer
43	RSTP	rapid spanning tree protocol
44	SAP	service access point
45	SDO	standards development organization
46	SNAP	subnetwork access protocol
47	SNMP	simple network management protocolSimple Network Management Protocol
48 49	SPB	shortest path bridging
49 50	TV	television
50	U/L	universally or locally administered
52	VLAN	virtual local area network
53	WAN	wide area network
54	WLAN	wireless local area network

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4. Family of IEEE 802 standards

4.1 Key concepts

IEEE 802 networks use frame-based links over a variety of media to connect various digital apparatus regardless of computer technology and data type. However, the scope of IEEE 802 standards is not limited to the physical and data link layers.

The basic communications capabilities provided by all IEEE 802 standards are frame based with source and destination addressing, as opposed to either cell based or isochronous. In a frame-based system, the communication format is a variable-length sequence of data octets. By contrast, cell-based communication transmits data in shorter, fixed-length units while isochronous communication transmits data as a steady stream of octets, or groups of octets, at equal time intervals.

User and management data flowing within IEEE 802 networks are be optionally secured by a variety of authentication, secure key exchange, and encryption mechanisms that are described in the various IEEE 802 MAC/PHY standards. In addition, IEEE 802 standards specify mechanisms by which a station is able to discover neighboring networks information that may include IEEE 802 and non-IEEE 802 technologies. IEEE 802 standards also specify mechanisms to achieve service discovery (e.g., support for Internet or VPN service) and session continuity (e.g., a voice over IP or multimedia session) in a heterogeneous networking environment when stations have a choice of connecting to multiple access networks, either in stationary condition or while in motion.

The early IEEE 802 LAN wired technologies used shared-medium communication, with information broadcast for all stations to receive. That approach utilized by early wired IEEE 802 technologies has been varied and augmented subsequently, but in ways that preserve the appearance of simple peer-to-peer communications behavior for end stations. In particular, the use of bridges, as described in 5.3.2, for interconnecting IEEE 802 networks is now widespread. These bridges allow the construction of networks with much larger numbers of end stations, and much higher aggregate throughput, than would be achievable with a single shared-medium. End stations attached to such a bridged IEEE 802 network can communicate with each other just as though they were attached to a single shared-medium; however, the ability to communicate with other stations can be limited by use of management facilities in the bridges, particularly where broadcast or multicast transmissions are involved. A further stage in this evolution has led to the use of point-to-point full duplex communication in LANs, either between an end station and a bridge or between a pair of bridges.

Other IEEE 802 technologies, in particular wireless-based technologies, are inherently shared-medium communication systems. They too have been augmented over time. Many wireless LANs (WLANs) support mobile node mobility and hence dynamic topologies. These additional facilities may, depending on the IEEE 802 technology in use, restrict bridged LAN interconnects to the static topology nodes within the wireless portion of a heterogeneous technology LAN.

LANs are distinguished from other types of data networks in that they are optimized for a moderate-sized geographic area, such as a single office building, a warehouse, or a campus. An IEEE 802 LAN is a peer-to-peer communication network that enables stations to communicate directly on a point-to-point, or point-to-multipoint, basis without requiring them to communicate with any intermediate switching nodes. LAN communication takes place at moderate-to-high data rates, and with short transit delays, on the order of a few milliseconds or less.

A LAN is generally owned, used, and operated by a single organization. This is in contrast to wide area
 networks (WANs) that interconnect communication facilities in different parts of a country or are used as a
 public utility. LANs are useful for deployment on a variety of scales, whether indoors or outdoors, capable
 of covering a scale up to a large building or campus environment.

A MAN is optimized for a larger geographical area than is a LAN, ranging from several blocks of buildings to entire cities. As with local networks, MANs can also depend on communications channels of moderate-to-high data rates. A MAN might be owned and operated by a single organization, but it is usually used by many individuals and organizations. MANs might also be owned and operated as public utilities. They often provide means for internetworking of local networks.

Personal area networks (PANs) are used to convey information over short distances among a privateintimate small group of participant stations. Unlike a LAN, a connection made through a PAN typically involves little or no infrastructure or direct connectivity to the world outside the link. This allows small, power-efficient, inexpensive solutions to be implemented for a wide range of devices. In the context of the family of IEEE 802 standards, PANs are implemented with wireless technology and so are sometimes referred to as wireless PANs (WPANs).

Regional area networks (RANs) generally cover a service area that is larger than the MANs. A RAN is similar to a MAN in that it is typically owned and operated by a single organization, but it is usually used by many individuals and organizations. In the case of wireless regional area networks (WRANs), the unique propagation characteristics of the frequency bands in which they operate, typically from 30 MHz to 1 GHz, require a specialized design of the physical layer (PHY) and the medium access control (MAC) that can absorb long channel impulse responses and large propagation delays. In some cases, operation in these bands is subject to coordination with existing users, e.g., television (TV) broadcast.

IEEE 802 networks can also be used to perform the task of an access network, i.e., to connect end stations to a larger, heterogeneous network, e.g., the Internet.

The early IEEE 802 standards for LAN and MAN technologies were all based on the use of copper or optical fiber cables as the physical transmission medium. However, in addition to the use of cable-based media, today's IEEE 802 standards include technologies, radio and optical, that use free space as the physical transmission medium. IEEE 802 standards for wireless networks include wireless LANs, <u>MANs</u>, RANs and PANs. These technologies also target usage scenarios for both fixed and mobile wireless. These IEEE 802 network solutions address challenges of mobility, higher error rates, and potentials for signal loss and interference that are inherent to using wireless medium.

The scope of IEEE 802 standards is not limited to MAC and PHY standards.

The early IEEE 802 LAN wired technologies used shared-medium communication, with information broadcast for all stations to receive. That approach utilized by early wired IEEE 802 technologies has been varied and augmented subsequently, but in ways that preserve the appearance of simple peer-to-peer communications behavior for end stations. In particular, the use of bridges, as described in 5.3.2, for interconnecting IEEE 802 networks is now widespread. These bridges allow the construction of networks with much larger numbers of end stations, and much higher aggregate throughput, than would be achievable with a single shared-medium. End stations attached to such a bridged IEEE 802 network can communicate with each other just as though they were attached to a single shared-medium (however, the ability to communicate with other stations can be limited by use of management facilities in the bridges, particularly where broadcast or multicast transmissions are involved). A further stage in this evolution has led to the use of point-to-point full duplex communication in LANs, either between an end station and a bridge or between a pair of bridges.

Other IEEE 802 technologies, in particular wireless-based technologies, are inherently shared-medium communication systems. They too have been augmented over time. Many wireless LANs (WLANs) support mobile node mobility and hence dynamic topologies. These additional facilities may, depending on the IEEE 802 technology in use, restrict bridged LAN interconnects to the static topology nodes within the wireless portion of a heterogeneous technology LAN. In addition, IEEE 802 standards specify mechanisms by which a station is able to discover neighboring networks information that may include IEEE 802 and non-IEEE 802 technologies. IEEE 802 standards also specify mechanisms to achieve service and session

continuity in a heterogeneous networking environment when stations have a choice of connecting multiple access networks, either in stationary condition or while in movement.

IEEE 802 networks use frame-based links over a variety of media to connect various digital apparatus in an operating system, data type and computer technology independent manner.

The basic communications capabilities provided by all IEEE 802 standards are frame based with source and destination addressing, as opposed to either cell based or isochronous. In a frame-based system, the basic unit of transmission is a sequence of data octets that can be of any length within a range that is dependent on the type of network. By contrast, cell-based communication transmits data in shorter, fixed-length units while isochronous communication transmits data as a steady stream of octets, or groups of octets, at equal time intervals.

4.2 Application and support

IEEE 802 networks are intended to have wide applicability in many environments. The primary aim is to provide for low-cost devices and networks, suitable for consumer, commercial, educational, governmental, and industrial applications. The following lists are intended to show some applications and devices and, as such, are not intended to be exhaustive, nor do they constitute a set of required items:

- Client/server applications
- Database access
- Desktop publishing
- Electronic mail
- File transfer
- Graphics
- 30 Handover services
- 31 Multimedia
- Office automation
 - Process control
 - Robotics
 - Telecommunication
 - Text processing
 - Transaction processing

IEEE 802 networks are intended to support various data devices, such as the following:

- 43 Bridges, routers, and gateways 44 Computers 45 46 Image and video monitors 47 Mass storage devices 48 Monitoring and control equipment 49 50 Photocopiers and facsimile machines 51 Printers and plotters ____ 52 Terminals 53
- 54 Wireless terminals

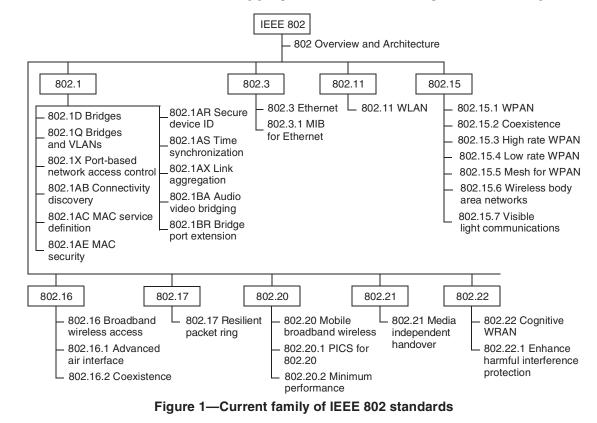
4.3 An international family of standards

The terms LAN, MAN, PAN, and RAN encompass a number of data communications technologies and applications of these technologies. So it is with the IEEE 802 standards. In order to provide a balance between the proliferation proliferation of a very large number of different and incompatible local and metropolitan networks, on the one hand, and the need to accommodate rapidly changing technology and to satisfy certain applications or cost goals, on the other hand, several types of medium access technologies are currently defined specified in the family family of IEEE 802 standards. In turn, these MAC standards are defined specified for a variety variety of physical media. A logical link control (LLC) standard, a secure data exchange standard, and MAC bridging standards are intended to be used in conjunction with the MAC standards. An architecture Architecture and protocols for the management management of IEEE 802 networks are also defined specified.

The IEEE 802 standards have been developed and applied in the context of a global data communications industry. IEEE 802 standards are recognized to be international standards in their own right. In addition, some IEEE 802 standards have progressed progressed to become standards within Joint Technical Committee 1, Information Technology, 1 of the International Organization for Standardization and the International Electrotechnical Electrotechnical Commission (ISO/IEC JTC 1), International Telecommunications Union Standardization sector Sector (ITU-T), International Telecommunications Union Standardization sector Sector (ITU-R), and a wide variety of national body standards development organizations (SDOs).

4.4 Organization of IEEE 802 standards

The IEEE 802 LAN/MAN Standards Committee (LMSC) sponsors a large number of standards projects. The current state of IEEE 802 standards is illustrated in Figure 1. The IEEE 802 committee is very active, so for the latest status of the IEEE 802 working groups and standards, refer to http://www.ieee802.org.



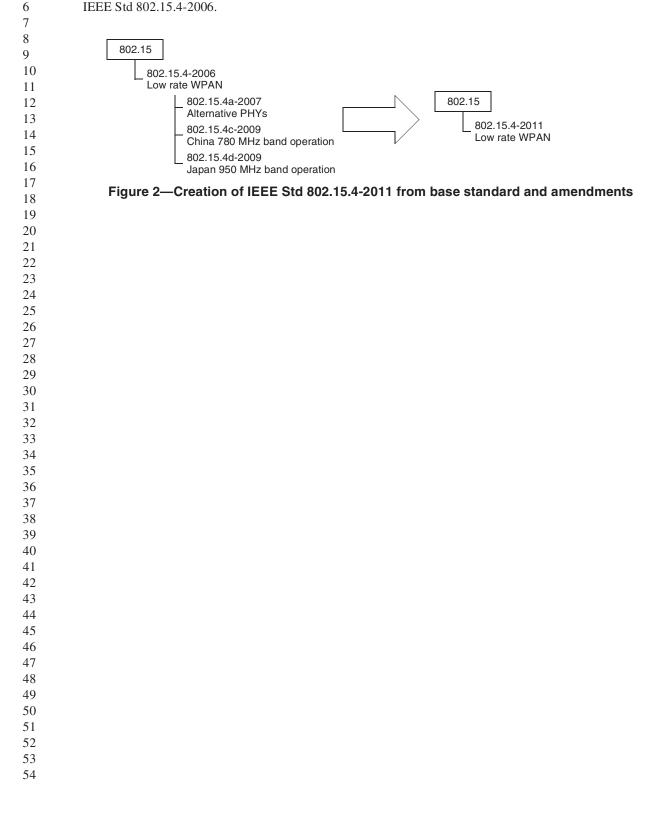
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At any given point in time, an IEEE 802 standard may have one or more amendments related to it. Each amendment, once approved, is considered to be part of the base standard. At a future time, these amendments are made a part of the base standard and a new standard is issued. This is illustrated in Figure 2 for the case of IEEE Std 802.15.4TM-2011 revision which incorporated the amendments IEEE Std 802.15.4aTM-2007, IEEE Std 802.15.4cTM-2009 and IEEE Std 802.15.4dTM-2009 into the base standard IEEE Std 802.15.4-2006.



5. Reference models (RMs)

5.1 Introduction

This clause defines the IEEE 802 RM. The intent of presenting this model is as follows:

a) To provide an overview of the standard

b) To serve as a guide to reading other IEEE 802 standards

The IEEE 802 RM is <u>patterned after_derived from</u> the <u>open systems interconnection_Open Systems</u> <u>Interconnection</u> (OSI) basic reference model_RM (OSI/RM), ISO/IEC 7498-<u>1</u>[B7]. It is assumed that the reader has some familiarity with the OSI/RM and its terminology. The IEEE 802 standards <u>encompass</u> <u>emphasize</u> the functionality of the lowest two layers of the OSI/RM, i.e., physical layer (PHY) and data link layer (DLL), and the higher layers as they relate to network management. The IEEE 802 RM is similar to the OSI/RM in terms of its layers and the placement of its service boundaries. Figure 3 shows the architectural view of IEEE 802 RM for end stations and its relation to the OSI/RM. A variation of the model applies within bridges, as described in 5.3.2.

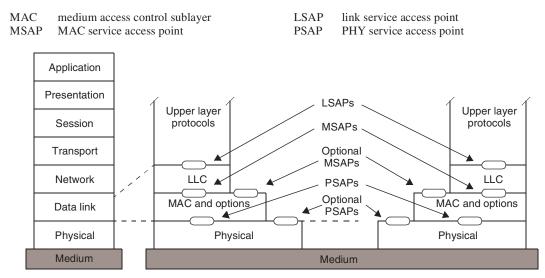
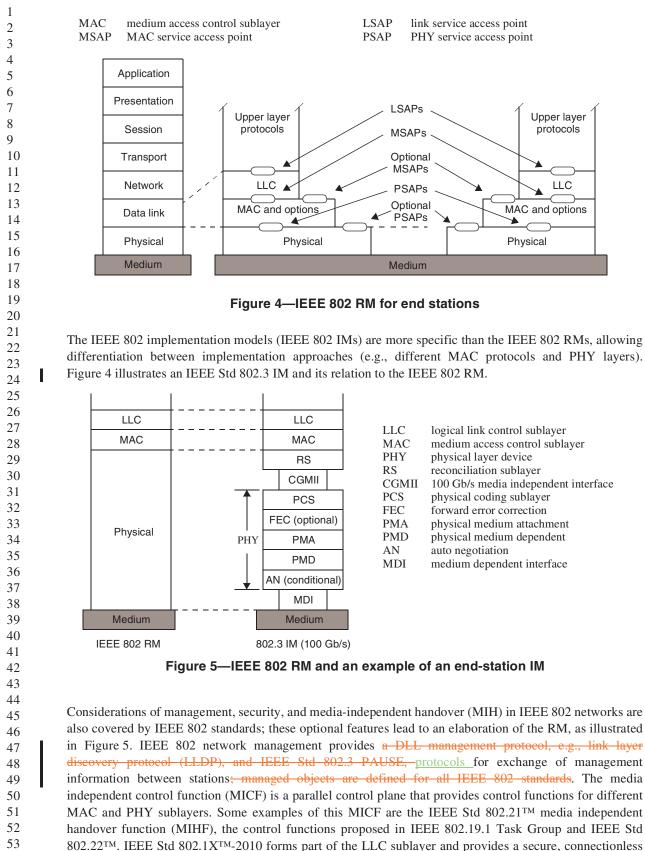


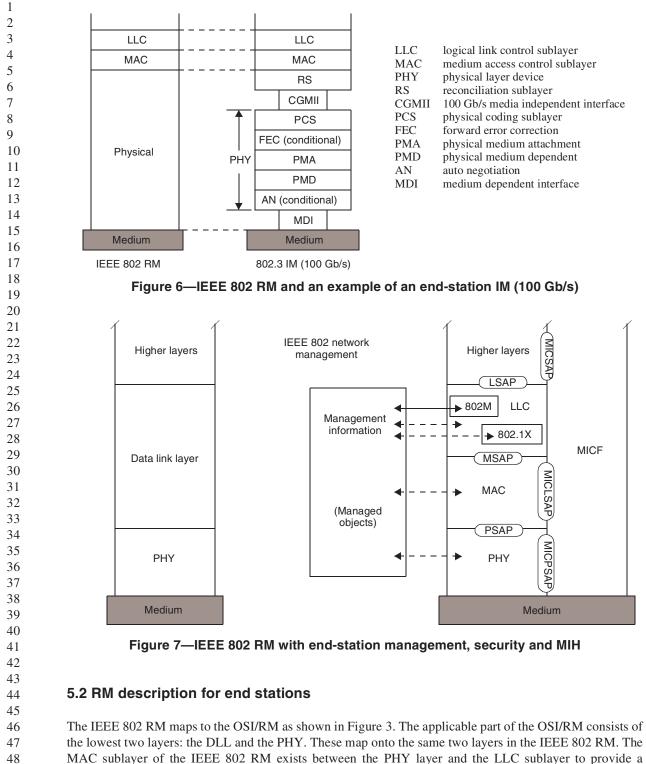
Figure 3—IEEE 802 RM for end stations

For the mandatory packet services supported by all IEEE 802 networks, the DLL is structured as two sublayers, with the LLC sublayer operating over a MAC sublayer. In addition, some IEEE 802 technologies provide direct support by the MAC sublayer for an alternative sublayer operating at the same place in the architecture as does the LLC sublayer, that multiplexes based on the EtherType field. For the other IEEE 802 technologies, the equivalent multiplexing functionality is provided by encapsulation of the EtherType within LLC protocol data units (PDUs), using the subnetwork access protocol (SNAP) specified in Clause 9 of this standard.

For the mandatory data services supported by all IEEE 802 networks, the DLL is structured as two sublayers, with the LLC sublayer, described in 5.2.2, operating over a MAC sublayer, described in 5.2.3.

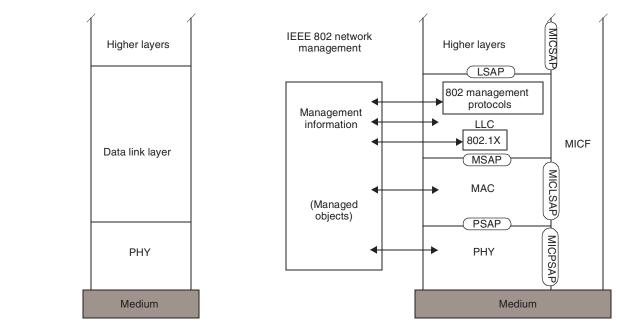
Each IEEE 802 standard has RMs that are more detailed in order to describe the structure for that specific standard. The RMs for the IEEE 802 standards are given in Annex B.





MAC sublayer of the IEEE 802 RM exists between the PHY layer and the LLC sublayer to provide a
 common service for the LLC sublayer (certain MAC types provide additional MAC service features that can
 be used by LLC, in addition to the common core features). Service access points (SAPs) for connecting the
 layers and sublayers are shown in Figure 3.

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5.2.1 SAPs

One or more link service access points (LSAPs) provide interface ports to support one or more higher layer users above the LLC sublayer.

In addition, the end station optionally provides one or more media-independent control service access points (MICSAPs) that interface between one or more higher layers and the control and management planes enabling higher layer information to pass to the MICF and vice versa.

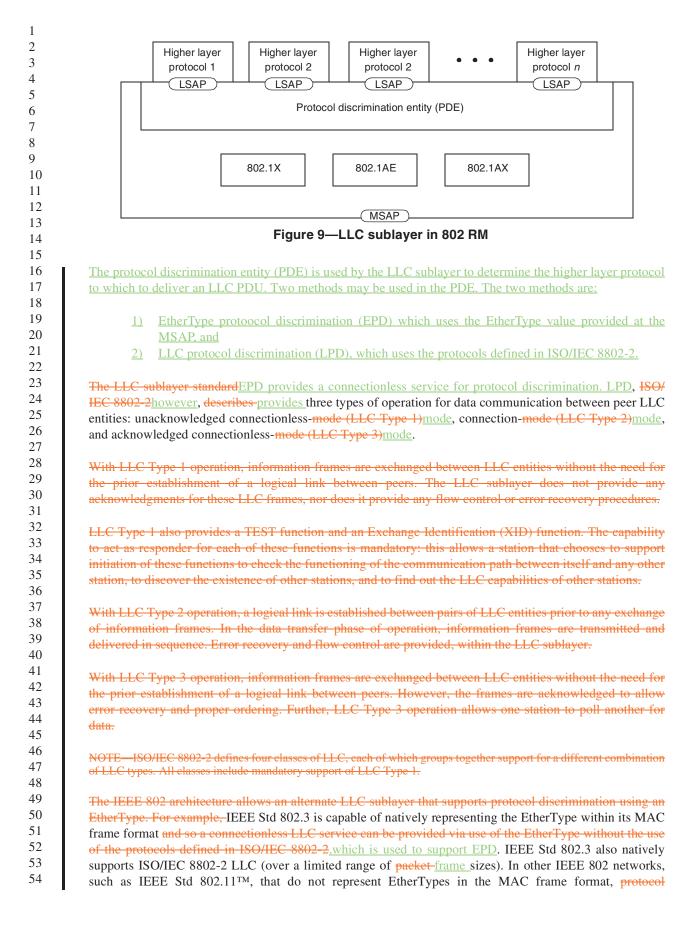
The MAC sublayer provides one or more MAC service access points (MSAPs) as interface ports to the LLC sublayer in an end station. In general, the MSAP is identified (for transmission and reception) by a single individual 48-bit extended unique identifiers-identifier (EUI-48s48) or 64-bit extended unique identifiers identifier (EUI-64s64) and (for reception) by the network-wide broadcast EUI-48 or EUI-64; it can also be identified (for reception) by one or more group EUI-48s or EUI-64s. Clause 8 provides details of how these EUI-48s or EUI-64s are constructed and used. The MAC sublayer optionally provides a media independent control link service access point (MICLSAP) which is used to provide an interface port to support control of the MAC by the medium independent control function (MICF).

A user of LLC is identified by, at a minimum, the logical concatenation of the MAC Address field (containing an EUI-48 or EUI-64) and the LLC Address field in a frame. See ISO/IEC 8802-2 for a description of LLC addresses.

The PHY provides a physical layer service access point (PSAP). In addition, the PHY layer optionally provides a media independent control physical layer service access point (MICPSAP) which is used to provide an interface port to control of the PHY by the MICF.

5.2.2 LLC sublayer

The LLC sublayer contains a variety of entities, as illustrated in Figure 6.



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identification via the use of an EtherType-EPD can be achieved by means of the SNAP, as described in
 Clause 9. In either of these techniques, the EtherType is effectively being used as a means of identifying an
 LSAP that provides LLC service to the protocol concerned. New IEEE 802 standards shall support protocol
 discrimination in the LLC sublayer using EtherTypesEPD.

IEEE Std 802.1AETM MAC security provides connectionless user data confidentiality, frame data integrity, and data origin authenticity by media access independent protocols and entities that operate transparently to MAC clients.

IEEE Std 802.1AXTM provides the ability to aggregate two or more links together to form a single logical link at a higher data rate.

IEEE Std 802.1XTM_<u>1X</u> provides authentication, authorization, and cryptographic key agreement mechanisms to support secure communication between end stations connected by IEEE 802 networks.

5.2.3 MAC sublayer

The MAC sublayer performs the functions necessary to provide <u>packetframe</u>-based, connectionless-mode (datagram style) data transfer between stations in support of the next higher sublayer, as described in 5.1, for networks that support it. The term MAC frame, or simply frame, is used to describe the <u>packets_datagrams</u> transferred within the MAC sublayer. In some MAC types, some MAC frames are used in support of the MAC sublayer functionality itself, rather than for transfer of data from the next higher sublayer.

The principal functions of the MAC sublayer comprise the following:

- Frame delimiting and recognition
- Addressing of destination stations (both as individual stations and as groups of stations)
- Conveyance of source-station addressing information
- Transparent data transfer of PDUs from the next higher sublayer
- Protection against errors, generally by means of generating and checking frame check sequences
- Control of access to the physical transmission medium

Other functions of the MAC sublayer—applicable particularly when the supporting implementation includes interconnection devices such as bridges—include flow control between an end station and an interconnection device, as described in 5.3, and filtering of frames according to their destination addresses to reduce the extent of propagation of frames in parts of an IEEE 802 network that do not contain communication paths leading to the intended destination end station(s).

The functions listed are those of the MAC sublayer as a whole. Responsibility for performing them is distributed across the transmitting and receiving end stations, and any interconnection devices such as bridges. Devices with different roles therefore can behave differently in support of a given function. For example, the basic transmission of a MAC frame by a bridge is very similar to transmission by an end station, but not identical. Principally, the handling of source-station addressing is different.

The various MAC specifications all specify MAC frame formats in terms of a serial transmission model for the service provided by the supporting PHY. This model supports concepts such as "first bit (e.g., of a particular octet) to be transmitted," and a strict order of octet transmission, in a uniform manner. However, the ways in which the model has been applied in different MAC specifications are not completely uniform with respect to bit-ordering within octets (see Clause 8, and particularly 8.7, for examples and explanation).

The serial transmission model does not preclude current or future MAC specifications from using partly or wholly octet-oriented specifications of frame formats or of the interface to the PHY.

5.2.4 PHY

The PHY provides the capability of transmitting and receiving bits between PHY entities. A pair of PHY entities identifies the peer-to-peer unit exchange of bits between two MAC users.

The PHY provides the capability of transmitting and receiving modulated signals assigned to specific frequency channels, in the case of broadband or wireless, or to a single-channel band, in the case of baseband.

Note that, whereas the service offered to the MAC sublayer is expressed as the transfer of bits (in sequences representing MAC frames), the actual symbols that are encoded for transmission do not always represent individual bits. Particularly at speeds of 100 Mb/s and above, or for wireless transmission, the PHY layer can map blocks of several bits (e.g., 4, 5, or 8 bits) to different multi-element symbols. In some PHY encodings, these symbols are subject to further transformation before transmission, and in some cases, the transmission is spread over multiple physical data paths.

5.2.5 Layer and sublayer management

The LLC, MAC, and PHY standards also include a management component that specifies managed objects and aspects of the protocol machine that provides the management view of these resources. See Clause 7 for further information.

5.3 Interconnection and interworking

In some cases, the end stations in an IEEE 802 network have no need to communicate with end stations on other networks. However, this is not expected to be the norm; there are many cases in which end stations on an IEEE 802 network need to communicate with end stations on other networks and so devices that interconnect the IEEE 802 network with other kinds of networks are required. In addition, several standard methods have been developed that permit a variety of interconnection devices to operate transparently to end stations on a network in order to extend the capabilities available to end stations, particularly in terms of the geographical extent and/or total number of end stations that can be supported.

Standard methods of interworking fall into the following three general categories, depending on the layer at which the corresponding interconnection devices operate:

- PHY interconnection, using devices usually termed repeaters or hubs, as described in 5.3.1.
- MAC interconnection, using devices termed bridges, as described in 5.3.2.
- Network-layer interconnection, using devices usually termed routers, as described in 5.3.3.

5.3.1 PHY interconnection: Repeaters and hubs

The original IEEE 802 standards were for end stations attached to a shared communication medium. This basic configuration is referred to as a single access domain; the domain consists of the set of stations such that, at most, only one can transmit at a given time, with all other stations acting as (potential) receivers. In this situation the function of handling the "one-at-a-time" access arbitration is performed by the set of MACs on a shared medium.

A repeater is a device used to interconnect segments of the physical communications media, for example, to extend the range of a network when the physical specifications of the technology would otherwise be exceeded, while providing a single access domain for the attached stations. Repeaters used in support of multiple end stations attached by star-wired network topologies are frequently referred to as hubs.

5.3.2 MAC-sublayer interconnection: Bridges

5.3.2.1 Bridges and bridged IEEE 802 networks

Bridges are stations that interconnect multiple access domains. IEEE Std 802.1D provides the basic specification for bridge interworking among IEEE 802 networks. A bridged IEEE 802 network consists of one or more bridges together with the complete set of access domains that they interconnect. A bridged IEEE 802 network provides end stations belonging to any of its access domains with the connectivity of a network that contains the whole set of attached end stations. IEEE Std 802.1Q adds additional capabilities to the bridge specification in IEEE Std 802.1D including virtual bridged networks (VLANs), priorities, and provider bridging, as defined described in 5.3.2.5.

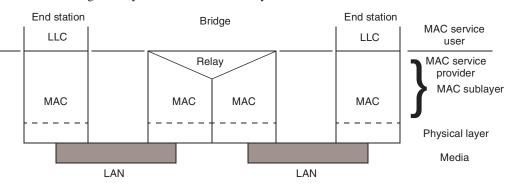
A bridged network can provide for the following:

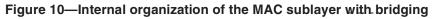
- Communication between stations attached to IEEE 802 networks of different MAC types
- <u>— Communication between stations attached to networks of different MAC types that conform to the Internal Sublayer Service as specified in IEEE Std 802.1AC.</u>
- An increase in the total throughput of a network over that of a purely shared media network
- An increase in the physical extent of, or number of permissible attachments to, a network
- Partitioning of the physical network for administrative or maintenance reasons

The term *switch* is often used to refer to some classes of bridge. However, there is no consistent meaning applied to the distinction between the terms bridge and switch, and IEEE Std 802.1D does not make any such distinction. Hence, this standard only uses the term bridge.

5.3.2.2 Relaying and filtering by bridges

A bridge processes protocols in the MAC sublayer and is functionally transparent to LLC and higher layer protocols. MAC frames are forwarded between access domains, or filtered (i.e., not forwarded to certain access domains), on the basis primarily of EUI-48 addressing information. Figure 7 shows the position of the bridging functions within the MAC sublayer; note particularly that the relaying and filtering functions are considered to belong entirely within the MAC sublayer.





Filtering by bridges tends to confine traffic to only those parts of the bridged network that lie between
 transmitting end stations and the intended receivers. This permits a bridged network to support several
 transmitting end stations at any given time (up to the total number of access domains present).

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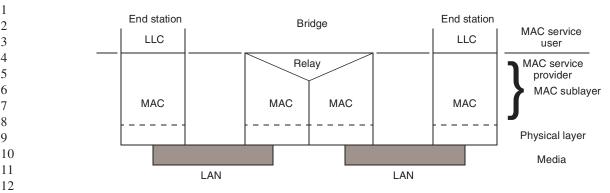
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5.3.2.3 Resolving topologies with multiple paths

A key aspect of IEEE Std 802.1D and IEEE Std 802.1Q is the specification of the rapid spanning tree protocol (RSTP), which is used by bridges to configure their interconnections in order to prevent looping data paths in the bridged IEEE 802 network. In the event that the basic interconnection topology of bridges and networks contains multiple possible paths between certain points, use of the RSTP blocks some paths in order to produce a simply connected active topology for the flow of MAC user traffic between end stations. For each point of attachment of a bridge to a network, the RSTP selects whether or not MAC user traffic is to be received and transmitted by the bridge at that point of attachment.

The RSTP adapts to changes in the configuration of the bridged IEEE 802 network, maintaining connectivity while avoiding data loops. Some configuration changes can cause temporary interruptions of connectivity between parts of the bridged IEEE 802 network, typically lasting for a few tens of milliseconds at most.

IEEE Std 802.1Q defines specifies a variant of RSTP, the multiple spanning tree protocol (MSTP), that can configure multiple, independent spanning trees within a bridged network. In addition, IEEE Std 802.1Q also defines specifies shortest path bridging (SPB) which allows the use of shortest path communication within administratively defined network regions, while retaining concurrent support for all existing spanning tree protocols. The use of SPB, both for unicast and multicast, allows multiple paths to be used simultaneously.

5.3.2.4 Transparent bridging

IEEE Std 802.1D and IEEE Std 802.1Q specify transparent bridging operation, so called because the MAC bridging function does not require the MAC user frames transmitted and received to carry any additional information relating to the operation of the bridging functions; end-station operation is unchanged by the presence of bridges.

5.3.2.5 Provider bridging

IEEE Std 802.1Q specifies the method by which the MAC service is supported by virtual bridged LANs, the principles of operation of those networks, and the operation of VLAN-aware bridges, including management, protocols, and algorithms. The specification standard also enables a service provider to use the architecture and protocols defined specified in order to offer the equivalent of separate LANs, bridged LANs, or virtual bridged LANs to a number of customers, while requiring no cooperation between the customers, and minimal cooperation between each customer and the service provider.

Provider backbone bridging further extends the concept of provider bridging by allowing a backbone network, under the administrative control of a single backbone service provider, to support multiple service

providers, each administering their own distinct provider bridged network to support distinct sets of customers.

5.3.2.6 Bridging example

Some bridges are used to interconnect access domains that each contain a very small number of end stations (often, a single end station). Others interconnect multiple access domains that contain principally other bridges, thus, forming a backbone for the bridged IEEE 802 networks. Bridged IEEE 802 network configurations that involve these kinds of interconnection have become widespread as the technologies have developed. These configurations allow the construction of networks with much larger numbers of end stations and much higher aggregate throughput than was previously achievable.

Figure 8 illustrates the kind of bridged IEEE 802 network that can be configured with bridge-style interconnection. The bridges A and B, and the IEEE Std 802.3 LAN configurations to which they attach, are typical of the older style of bridged IEEE 802 network in which a bridge interconnects a small number of access domains each containing many end stations, as is similar with K and L and their IEEE Std 802.17TM ring. The IEEE Std 802.17 ring and the IEEE Std 802.3 links between S and T and S and U form backbone networks. On the other hand, the bridges S, T, and U function as bridge-bridges that combines IEEE Std 802.17, IEEE Std 802.3 and IEEE Std 802.16TM networks. S is a backbone bridge, handling a number of network attachments. T and U are bridges that support multiple end stations, with connection to the backbonea backbone network. B and K also provide access to the backbonea backbone network. The end station shown connected to S by a point-to-point link could be a server system.

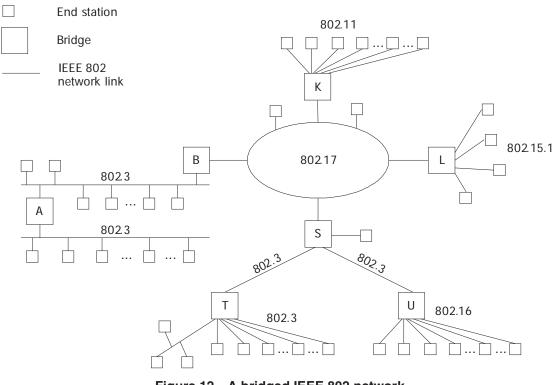


Figure 12—A bridged IEEE 802 network

5.3.3 Network-layer interconnection: Routers

The third category of interconnection uses network-layer interconnection devices, generally known as
 routers, that operate as IEEE 802 end stations. These process network layer protocols that operate directly
 above the LLC sublayer or equivalent, with forwarding decisions based on network layer addresses. Details

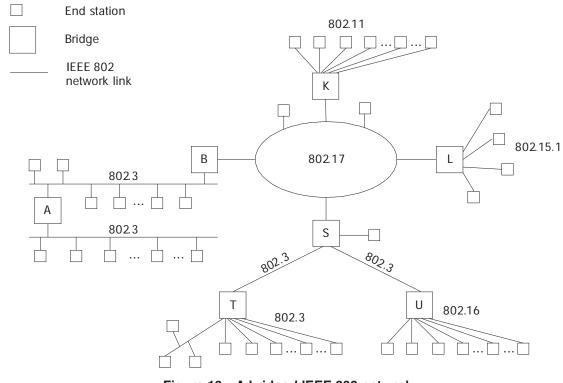


Figure 13—A bridged IEEE 802 network

of this kind of interconnection lie outside of the scope of IEEE 802 standards, but the various standard and proprietary network-layer protocols involved represent a very substantial part of the user traffic on many IEEE 802 networks. In particular, IEEE 802 networks are often interconnected by routers for the Internet Protocol (IP) and its related routing and management protocols, either directly to other IEEE 802 networks or by means of WAN links.

6. General requirements for an IEEE 802 network

6.1 Services supported

With the descriptions in Clause 5 as a basis, an IEEE 802 network can be characterized as a communication resource that provides sufficient capabilities to support the MAC service <u>defined_specified</u> in IEEE Std 802.1AC, between two or more MSAPs. In particular, this requires the ability to convey LLC data from one MSAP to *n* other MSAPs, where *n* can be any number from 1 to all of the other MSAPs on the network. An IEEE 802 network is required, at a minimum, to support the MAC Internal Sublayer Service <u>defined</u> <u>specified</u> in IEEE Std 802.1D and IEEE Std 802.1Q1AC, and support the use of EtherTypes for protocol identification at the LLC sublayer.

6.2 Size and extent

The initial IEEE 802 network technologies were designed to be capable of supporting access domains containing at least 200 end stations and with geographical extent of at least 2 km for IEEE 802 LANs (using PHY repeaters if necessary) and 50 km for metropolitan area networks (MANs). For some IEEE 802 networks, subsequent developments in technology and performance have been accompanied by a reduction in the size and extent required in individual access domains, recognizing that these can readily and cost-effectively be interconnected in bridged IEEE 802 networks that are capable of offering at least the original minimum size and extent, with increased overall bandwidth and performance. In other cases, IEEE 802 networks have been defined for larger areas, e.g., RANs, using wireless technology. Size and extent requirements for future IEEE 802 network technologies are, similarly, expected to be determined by application needs and opportunities.

6.3 Error ratios

Error performance of IEEE 802 networks is required to shall be as follows

- a) For wired or optical fiber physical media: Within a single access domain, the probability that a transmitted MAC frame (excluding any preamble) is not reported correctly at the PHY service interface of an intended receiving peer MAC entity, due only to operation of the PHY, shall be less than 8×10^{-8} per octet of MAC frame length.
- NOTE—For some applications and data rates, better performance than this may be required.
- b) For wired or optical fiber physical media with frames shorter than 2048 octets: The probability that an MSDU delivered at an MSAP contains an undetected error, due to operation of the MAC service provider, shall be less than 5×10^{-14} per octet of MSDU length.

NOTE—For example, the worst-case probability of losing a maximum-length IEEE Std 802.3 frame at the PHY is to be less than 1.21×10^{-4} , or approximately 1 in 8250. The worst-case probability that a similar frame, which contains an MSDU of 1500 octets, is delivered with an undetected error is to be less than 7.5×10^{-11} , or approximately 1 in 13 300 000 000.

c) For wireless physical media: The error performance within a single access domain is variable over time and no guarantee of service can be given.

6.4 Transient service interruption

Insertion of a station into, or removal of a station from, an IEEE 802 network shall cause at most a transient
 loss of availability of the access domain(s) to which the station attaches, lasting not more than 1 s. Failure of
 a station, including loss of power, shall not cause more than at most a transient fault for the access domain(s)

I

to which it was attached, with duration of order 1 s. The preceding requirements assume that the new configuration of the network without the lost station is valid.

6.5 Safety, lightning and galvanic protection

Equipment implementing IEEE 802 standards is typically subject to guidance and requirements relating to safety and to protection of the equipment and its users from lightning and galvanic effects. Such guidance and requirements are outside of the scope of IEEE 802 standardization; they are typically specified by other organizations with different legal, geographical, and industrial scope. However, the general underlying concerns can have an influence on the PHY aspects of IEEE 802 standards.

6.6 Regulatory requirements

Equipment implementing IEEE 802 standards can be subject to regulations imposed within particular geographical and political domains. For example, the deployment of equipment implementing IEEE 802 wireless standards are subject to local regulations that pertain to the use of radio-frequency transmission. Such regulations are typically outside of the scope of IEEE 802 standardization; they are typically specified by other organizations with different legal, geographical, and industrial scope. However, the general underlying concerns can have an influence on the MAC and PHY aspects of IEEE 802 standards.

While regulatory compliance is out of scope of IEEE 802 standards, the need to comply with regulations can influence the design of IEEE 802 standards.

7. IEEE 802 network management

7.1 General

The provision of an adequate means of remote management is an important factor in the design of today's network equipment. Such management mechanisms fall into two broad categories: those that provide general-purpose management capability, allowing control and monitoring for a wide variety of purposes, and those that provide specific capabilities aimed at a particular aspect of management. These aspects of management are discussed in 7.2 and 7.3, respectively.

7.2 General-purpose IEEE 802 network management

This subclause introduces the functions of management to assist in the identification of the requirements placed on IEEE 802 network equipment for support of management facilities, and it identifies general-purpose management standards that may be used as the basis of developing management specifications for such equipment.

7.2.1 Management functions

Management functions relate to users' needs for facilities that support the planning, organization, supervision, control, protection and security of communications resources, and account for their use. These facilities may be categorized as supporting the functional areas of configuration, fault, performance, security, and accounting management. These can be summarized as follows.

- Configuration management provides for the identification of communications resources, initialization, reset and <u>eloseshut</u>-down, the supply of operational parameters, and the establishment and discovery of the relationships between resources.
 - Fault management provides for fault prevention, detection, diagnosis, and correction.
 - Performance management provides for evaluation of the behavior of communications resources and of the effectiveness of communication activities.
 - Security management provides for the protection of resources.
 - Accounting management provides for the identification and distribution of costs and the setting of charges.

Management facilities in IEEE 802 network equipment address some or all of these areas, as appropriate to the needs of that equipment and the environment in which it is to be operated.

7.2.2 Management architecture

The management facilities <u>defined-specified</u> in IEEE 802 standards are based on the concept of managed objects, which model the semantics of management operations. Operations on a managed object supply information concerning, or facilitate control over the managed object and thereby, indirectly, the process or entity associated with that object.

Operations on a managed object can be initiated by mechanisms local to the equipment being managed (e.g., via a control panel built into the equipment), or can be initiated from a remote management system by means of a general-purpose management protocol carried using the data services provided by the IEEE 802 network to which the equipment being managed is connected. The entity that does both the network communication of the management protocol and the interaction to and from the managed objects is called the agent.

The simple network management protocol Simple Network Management Protocol (SNMP), as described in RFC 3411 [B5]⁸, provides a general-purpose management protocol that is relevant to can be used for the management of IEEE 802 network equipment.

7.2.3 Managed object definitions

- In order for an IEEE 802 standard to specify management facilities, it is necessary for it to define specify managed objects that model the operations that can be performed on the communications resources specified in the standard. The components of a managed object definition are:
 - a) A definition of the functionality provided by the managed object, and the relationship between this functionality and the resource to which it relates.
 - b) A definition of the syntax that is used to convey management operations, and their arguments and results, in a management protocol.
 - c) An address that allows the management protocol to specifically communicate with the managed object in question. In IEEE 802 this is done with an object identifier (OID), as described in Clause 10.

The functionality of a managed object can be described in a manner that is independent of the protocol that is used; this abstract definition can then be used in conjunction with a definition of the syntactic elements required in order to produce a complete definition of the object for use with specific management protocols.

The SNMP protocol is used in many cases together with the structure of management information known as SMIv2, <u>IETF RFC 2578 [B33]2578</u>, RFC 2579 [B3], and RFC 2580 [B4], which uses a set of macros based on a subset of ASN.1 for defining managed objects.

The choice of notational tools for defining managed objects <u>depended depended</u> on which of the available management protocols the standard supports.

7.3 Special-purpose IEEE 802 network management standards

Special-purpose protocols relating to the management functionality of IEEE 802 stations can be developed where the use of a general-purpose management protocol is inappropriate. Examples of special-purpose management protocols that can be found in the family of IEEE 802 standards include the connectivity fault management protocol defined specified in IEEE Std 802.1Q, the operations, administration, and maintenance (OAM) protocol defined specified in IEEE Std 802.3, and LLDP in IEEE Std 802.1ABTM.

⁸The numbers in brackets correspond to those of the bibliography in Annex A.

3. EUI-48s, EUI-64s and protocol identifiers

8.1 General

9. Extended unique identifiers (EUIs)

9.1 Overview and history

The IEEE makes it possible for organizations to employ unique individual EUI-48s, EUI-64s, group addresses, and protocol identifiers. A universal address is an EUI-48 or EUI-64 that is globally unique. In addition, the IEEE has set aside a group of EUI-48s and EUI-64s that are locally administered. EUI-48 addressing is required if interoperability through bridges is required for an IEEE 802-a standard. New standards that only require routed connectivity should use EUI-64 addressing.

The IEEE enables globally unique addresses, referred to as universal addresses, by assigning organizationally unique identifiers (OUIs), which are three octets (24 bits) in length. Because the assignment of the OUI in effect reserves a block of each derivative identifier (i.e., blocks of individual EUI-48s, EUI-64s, group addresses, and protocol identifiers), the address space of the OUI is chosen to be large. Although the OUIs are 24 bits in length, as shown in Figure 9, but their true address space is 22 bits. The LSB of the first octet may be set to one or zero depending on the application. The next-to-LSB of the first octet is zero, for all universal addresses. The remaining 22 bitsbits of the OUI are assigned by the RAC; when used in a field of an IEEE 802 standard, which the organization field of the OUI shall not be changed contain the value assigned by the assignee, result in 2²² (approximately 4 million) identifiers; see Figure 8RAC.

					¥
i		1	1	0	
i		1	1	1	
	1	1	1	1	
					0

Application dependent: e.g.,
 I/G address bit, M bit in protocol IDs

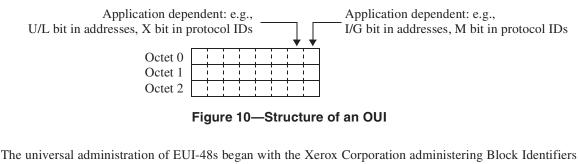


Figure 9—Structure of an OUI

The universal administration of EUI-48s began with the Xerox Corporation administering Block Identifiers (Block IDs) for Ethernet addresses. Block IDs, referred to as OUI by the IEEE, were assigned by the Ethernet Administration Office and were 24 bits in length. An organization developed addresses by assigning the remaining 24 bits. For example, the address as represented by the six octets P-Q-R-S-T-U comprises the Block ID, P-Q-R, and the locally assigned octets S-T-U.

The IEEE, because of the work in IEEE 802 on standardizing networking technologies, has assumed the responsibility of defining and carrying out procedures for the universal administration of these addresses. The IEEE has also been designated by ISO/IEC to act as a registration authority for ISO/IEC 8802 series of standards. In carrying out the procedures, the IEEE acts as the registration authority for OUIs.⁹ The

⁹Interested applicants should contact the IEEE Registration Authority, http://standards.ieee.org/develop/regauth/oui

responsibility for defining the procedures is discharged by the IEEE Registration Authority Committee (RAC), which is chartered by the IEEE Standards Association Board of Governors. In IEEE 802 networks, the term MAC address refers to an EUI-48. In some IEEE 802 standards, the term extended address refers to EUI-64.

9.2 Notational conventions

Hexadecimal representation is a sequence of octet values in which the values of the individual octets are displayed in order from left to right, with each octet value represented as a two-digit hexadecimal numeral, and with the resulting pairs of hexadecimal digits separated by hyphens. The order of the hexadecimal digits in each pair, and the mapping between the hexadecimal digits and the bits of the octet value, are derived by interpreting the bits of the octet value as a binary numeral using the normal mathematical rules for digit significance.

Bit-reversed representation is a sequence of octet values in which the values of the individual octets are displayed in order from left to right, with each octet value represented as a two-digit hexadecimal numeral, and with the resulting pairs of hexadecimal digits separated by colons. The order of the hexadecimal digits in each pair, and the mapping between the hexadecimal digits and the bits of the octet value, are derived by reversing the order of the bits in the octet value and interpreting the resulting bit sequence as a binary numeral using the normal mathematical rules for digit significance.

<u>NOTE</u>—The bit-reversed representation is of historical interest only and is no longer applicable to any active IEEE 802 standard.

Figure 10 provides a comparative example of bit-reversed and hexadecimal representation.

9.3 OUI

OUIs allow a general means of assuring unique identifiers for a number of purposes. OUIs are a 22-bit field assigned from a 24-bit space. The IEEE Registration Authority assigns OUI values. The IEEE Registration Authority also has additional products that use the OUI assignment as its base, e.g. OUI-36, but which do not include the assignment of an OUI¹⁰.

NOTE—The acronym OUI without modification is only used to refer to the 24-bit field assigned by the Registration Authority. The acronym Acronym OUI-36 is used refers to refer to the <u>36</u> product that allows assignment of <u>4096 globally unique EUI-bit field assigned by the Registration Authority48 addresses</u>. However, while not appropriate, the acronym OUI has been used to refer to generally to all Registration Authority assignments. As a result, the use of OUI is not always consistent within all IEEE standards.

The standard representation of the OUI is as a string of three octets, using the hexadecimal representation.

9.4 Universal addresses

9.4.1 Concept

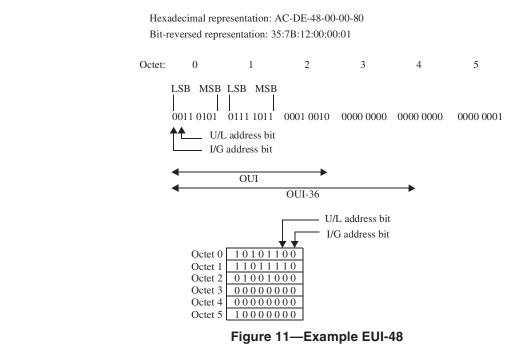
The concept of universal addressing is based on the idea that all potential members of a network need to have a unique identifier (if they are going to coexist in the network)identifier. The advantage of a universal address is that a station with such an address can be attached to any IEEE 802 network in the world with an assurance that the address is unique, if all stations adhere to the rules and that the security of the network prevents malicious spoofing of addresses. Two different lengths of the universal address have been defined_specified, 48 bit (EUI-48) and 64 bit (EUI-64).

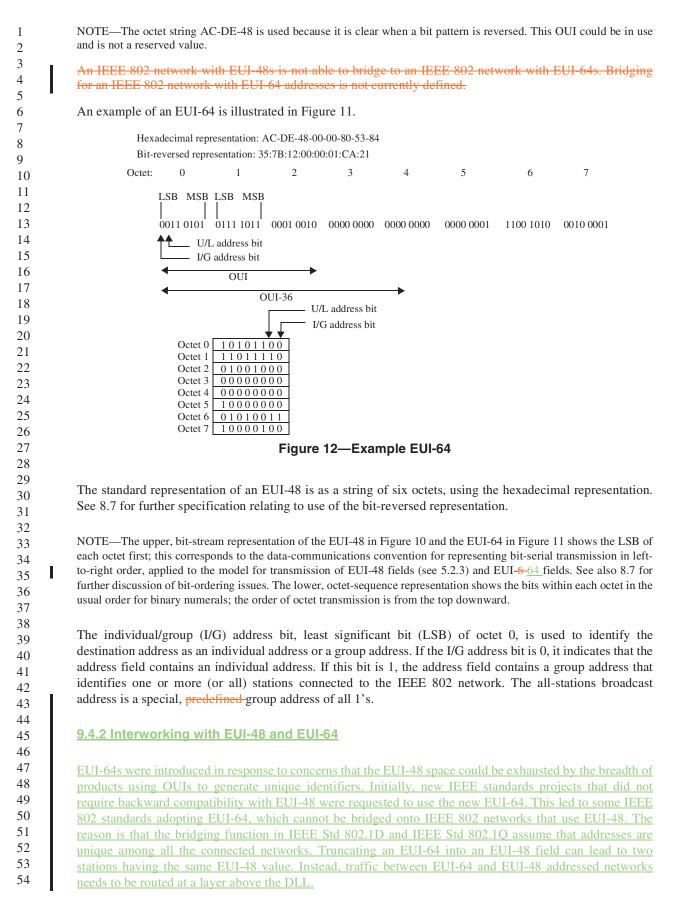
¹⁰More information on OUIs can be found on the IEEE Registration Authority web site, http://standards.ieee.org/develop/regauth/

EUI-64s were introduced when it seemed that the EUI-48 space would be exhausted in the near future. Initially, new IEEE standards projects that did not require backward compatibility with EUI-48 were requested to use the new EUI-64. This led to some IEEE 802 standards adopting EUI-64, which cannot be bridged onto IEEE 802 networks that use EUI-48. The reason is that the bridging function in IEEE Std 802.1D and IEEE Std 802.1Q assume that addresses are unique among all the connected networks. Truncating an EUI-64 into an EUI-48 field can lead to two stations having the same EUI-48 value. Instead, traffic between EUI-64 and EUI-48 addressed networks needs to be routed at a layer above the DLL.

Universal addresses are created from the OUI. The universally or locally administered (U/L) address bit is the bit of octet 0 adjacent to the I/G address bit. This bit indicates whether the address has been assigned by a local or universal administrator. Universally administered addresses have the U/L bit set to 0. If the U/L bit is set to 1, the remaining 46 bits (i.e. all bits except the I/G and U/L bits) have been are locally administered and have no relationship should not be expected to meet the uniqueness requirement of the IEEE-assigned values (as described herein).

A universal address consists of two parts: one the leading bits are assigned by the IEEE Registration Authority and one assigned the remaining bits by the that assignee. The IEEE Registration Authority offers several products (e.g., OUI, OUI-36, IAB-12). They vary in the number of universal addresses the assignee can create. In the case where the IEEE assigns an OUI, the first 24 bits correspond to the OUI as assigned by the IEEE, except that the assignee may set the LSB of the first octet to one for group addresses or set it to zero for individual addresses. The second part, comprising the remaining 24 bits for an EUI-48 or the remaining 40 bits for an EUI-64, is administered by the assignee. In the EUI-48, an example of which is shown in Figure 10, the OUI is contained in octets 0, 1, 2, and the value assigned by the assignee is contained in octets 3, 4, 5. This address, including its OUI, is used throughout this document as the basis for examples of EUI-48s and protocol identifiers. In the case where the IEEE assigns an OUI-36, the first 36 bits correspond to the OUI-36 as assigned by the IEEE, except that the assignee may set the LSB of the first octet to one for group addresses or set it to zero for individual addresses. The second part, comprising the remaining 12 bits for an EUI-48 or the remaining 28 bits for an EUI-64, is administered by the assignee. In the EUI-48, an example of which is shown in Figure 9, the OUI is contained in octets 0, 1, 2, 3 and the LSP least significant nibble of octet 4, and the value assigned by the assignee is contained in the MSB-most significant nibble of octet 4 and octet 5.





Bridging for an IEEE 802 network with EUI-64 addresses is not currently specified.

9.4.3 Assignment by organizations

The IEEE intends not to assign additional OUIs or OUI-36s to any organization unless the organization has exhausted this address block.

Varying the last 24 bits in the block of EUI-48s for a given OUI allows the OUI assignee approximately 16 million unique individual addresses and 16 million unique group addresses <u>It is important to note</u> that no other organization may assign (i.e., universally unique). Similarly, varying the last 8 bits in the block of EUI-48s for a given OUI-36 allows the OUI-36 assignee 4096 unique individual addresses and 4096 unique group addresses. The IEEE intends not to assign additional created from OUIs or OUI-36s to any organization unless the organization has exhausted this address block. Therefore, it is important for the IEEE to maintain a single point of contact with each assignee to avoid complicating the assignment process. It is important to note that in no way should these addresses <u>not</u> be used for purposes that would lead to skipping large numbers of them (for example, as product identifiers for the purpose of aiding company inventory procedures). The IEEE asks that organizations not misuse the assignments of the last <u>24-24</u> bits and thereby unnecessarily exhaust the block. There are sufficient identifiers to satisfy most needs for a long time, even in volume production; however, no address space is infinite.

The method that an assignee uses to ensure that no two of its stations carry the same address depends on the assignment or manufacturing process, the nature of the organization, and the organization's philosophy. However, the users of networks worldwide expect to have unique addresses. The ultimate responsibility for assuring that user expectations and requirements are met, therefore, lies with the organization offering such stations.

9.4.4 Uniqueness of address assignment

An issue to be considered is the nature of the station to which uniqueness of address assignment applies.

The recommended approach is for each station associated with a distinct point of attachment to an IEEE 802 network to have its own unique EUI-48 or EUI-64. Typically, therefore, an IEEE 802 network adapter card (or, e.g., an equivalent chip or set of chips on a motherboard) should have one unique EUI-48 or EUI-64 for each IEEE 802 network attachment that it can support at a given time.

NOTE—It is recognized that an alternative approach has gained currency in some implementations, in which the station is interpreted as a complete computer system, which can have multiple attachments to different networks. Under this interpretation, a single EUI-48 or EUI-64 is used to identify all of the system's points of attachment to the networks in question. This approach, unlike the recommended one, does not automatically meet the requirements of IEEE Std 802.1D MAC bridging.

9.5 Local addresses

Local addresses are EUI-48s or EUI-64s for which there is no guarantee that the address is unique in all IEEE 802 networks. Local addresses may be assigned any value that has the U/L bit set to indicate a local addresses and an I/G bit value that indicates whether the address is individual or group. Local addresses need to be unique on a LAN or bridged LAN unless the bridges support VLANs with independent learning.

The I/G bit is set as described in 8.4.1.

54 NOTE—OUI assignments do not apply to local addresses

2.2

9.6 SNAP identifiers

Clause 9 specifies the SNAP, which permits multiplexing and demultiplexing of private and public protocols, as described in 9.1, among multiple users of a data link. An organization that has an OUI assigned to it may use its OUI to assign universally unique protocol identifiers to its own protocols, for use in the protocol identification field of SNAP data units, as described in 9.5.

The protocol identifier is five octets in length and follows the LLC header in a frame. The first three octets of the protocol identifier consist of the OUI in exactly the same fashion as in EUI-48. The remaining two octets are administered by the assignee. In the protocol identifier, an example of which is shown in Figure 11, the OUI is contained in octets 0, 1, 2 with octets 3, 4 being assigned by the assignee of the OUI.

Hexadecimal representation: AC-DE-48-00-80

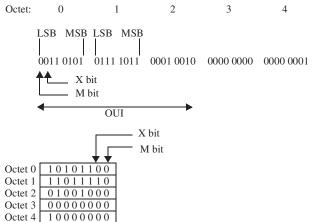


Figure 13—Protocol identifier

The standard representation of a protocol identifier is as a string of five octets using the hexadecimal representation.

The LSB of the first octet of a protocol identifier is referred to as the M bit. All identifiers derived from OUIs assigned by the IEEE shall have the M bit set to zero. Values with the M bit set to one are reserved.

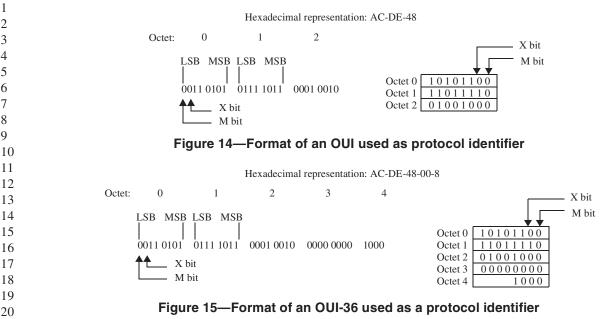
Protocol identifiers may be assigned universally or locally. The X bit of a protocol identifier is the bit of the first octet adjacent to the M bit. All identifiers derived from OUIs assigned by the IEEE have the X bit set to zero and are universally assigned. Values with the X bit set to one are locally assigned and have no relationship to the IEEE-assigned values. They may be used, but there is no assurance of uniqueness.

9.7 OUI and OUI-36 as protocol identifiers

An organization that has an OUI or OUI-36 assigned to it may use its OUI or OUI-36 to assign universally unique protocol identifiers to its own protocols, for use with various protocols described in IEEE 802 standards, potentially with additional octets as part of the identifier.

The LSB of the first octet of a OUI, as shown in Figure 12, or OUI-36, as shown in Figure 13, used as as protocol identifier is referred to as the M bit. All OUI & OUI-36 identifiers assigned by the IEEE shall have the M bit set to zero. Values with the M bit set to one are reserved.

The X bit of a protocol identifier is the bit of the first octet adjacent to the M bit. All OUI and OUI-36 identifiers assigned by the IEEE with the X bit set to zero may also be used to create EUI-48 and EUI-64



addresses. Values with the X bit set to one indicates that this shall only be used as a OUI or OUI-36 identifier. Any EUI-48 or EUI-64 addresses created with these OUI or OUI-36 have no relationship to the IEEE-assigned values; they may be used, but there is no assurance of uniqueness.

9.8 Standardized EUI-48 and EUI-64 group addresses¹¹

The previous subclauses described the assignment of individual and group EUI-48s and EUI-64s, and protocol identifiers for public or private use by private organizations. There is also a need for standardized EUI-48 and EUI-64 group addresses to be used with standard protocols. The administration of these standardized EUI-48 and EUI-64 group addresses, including the procedure for application and a list of currently assigned values, is described on the web pages for the IEEE Registration Authority¹². These standardized EUI-48 and EUI-64 group addressess come from a block of universally administered EUI-48s and EUI-64s derived from an OUI that has been assigned by the IEEE for this purpose.

9.9 Bit-ordering and different MACs

Throughout this subclause, considerations relating to the order of bit and/or octet transmission refer to the basic bit-serial model of transmission that applies to the representation of MAC frames at the boundary between the MAC and the PHY, as described in 5.2.3.

9.9.1 General considerations

The transmission of data on IEEE Std 802.3 networks is represented, as described in 5.2.3, as occurring LSB first within each octet. This is true for the entire frame: MAC Address fields (source and destination EUI-48's), MAC-specific fields (e.g., length-Length/Type field), and the MAC Information field.

On some other network types, each octet of the MAC Information field is represented as being transmitted most significant bit (MSB) first. The MAC Address fields (source and destination EUI-48), however, are represented as being transmitted with the LSB of each octet first. Thus, the first bit transmitted is the I/G

¹¹These were previously referred to as standard group MAC addresses.

¹²http://standards.ieee.org/develop/regauth/grpmac

address bit, as in IEEE Std 802.3 networks. For frames that originate within the MAC (e.g., MAC-embedded management frames), the ordering of bits within the MAC Information field is <u>defined-specified</u> by the MAC <u>specification.standard</u>

For most purposes, the difference in the bit ordering used to represent transmission of the octets of the MAC Information field is of no consequence, whether considered within a given MAC type, or across different MAC types. Each octet of user data is mapped to and from the appropriate ordering, symmetrically by the transmitting and receiving MAC entities. An unfortunate exception has occurred, however, where the octets concerned are those of an EUI-48 that is embedded, as user data, in the MAC Information field.

9.9.2 Recommendation

Designers of protocols that operate above the DLL are strongly recommended to avoid specifying new protocols that result in frames of noncanonical format.

9. EtherTypes and SNAP Protocol identifiers

9.1 Introduction

This clause defines a method describes methods that allows multiple network layer protocols to coexist in an IEEE 802 network. This protocol provides for the coexistence of multiple network layer protocols, the migration of existing networks to future standard protocols, and allows future higher layer protocols to be accommodated. These methods provide for

EtherType shall be supported for protocol identification at the LLC sublayer in new IEEE 802 standard.

9.2 Basic concepts

- Coexistence the coexistence of multiple network layer protocols.
- <u>the migration of existing networks to future standard protocols, and</u>
- <u>the accomodation of future higher layer protocols.</u>

Within a given layer, entities can exchange data by a mutually agreed upon protocol mechanism. A pair of entities that do not support a common protocol cannot communicate with each other. For multiple protocols to coexist within a layer, it is necessary to determine which protocol is to be invoked to process a service data unit delivered by the lower layer.

9.2.1 Multiple protocols above the LLC sublayer

Various network <u>and higher</u> layer protocols have been assigned reserved LLC <u>addressesaddresses</u> or <u>EtherTypes</u>, as recorded by the IEEE Registration Authority¹³. These addresses permit multiple network layer protocols to coexist at a single MAC station. <u>One half of the LLC address space is reserved for such</u> assignment.

Other protocols are accommodated in two ways. One way is by local assignment of LSAPs, for which the other half of the LLC address space is available. Thus, users can agree to use locally assigned LSAPs for either an instance of communication or a type of communication.

The second way is through the use of a particular reserved LLC address value that has been assigned for use in conjunction with the SNAP identifiers, as described in 9.5, which provides for multiplexing and demultiplexing of public and private protocols among multiple users of a data link.

9.2.2 Multiple protocols above the alternative sublayer

The Ethernet MAC frame format includes a 16-bit type value, whose function is to identify the particular protocol pertaining to the user data contained in the frame. See 9.3 for further details.

This clause describes the protocol identifiers used for the LPD and EPD methods as well as a protocol identifier based on OUI-36.

The EPD method shall be the primary specified means for protocol identification at the LLC sublayer in IEEE 802 standards developed after January 2011¹⁴, excluding amendements to existing standards.

¹³More information can be found at http://standards.ieee.org/develop/regauth/llc

^{54 &}lt;sup>14</sup>IEEE Std 802.2[™]-1989 (reaffirmed 2003) was administratively withdrawn as an IEEE standard on 11 January 2011.

9.3 EtherTypes

9.3.1 Format, function, and administration

Protocol discrimination <u>performed by the EPD method</u> is based on <u>parsing EtherType</u> <u>informationEtherTypes</u>. <u>The For example, the</u> value of the Type/Length field in the IEEE Std 802.3 MAC frame format directs the protocol parser into the LLC space if the value is less than 1536. This allows frames of both formats to be freely intermixed on a given IEEE 802 network and at a given station.

The administration of the registration of EtherType values was originally undertaken by the Xerox Corporation under the name "Type Value". The operation of the EtherType registration authority along with full recognition of all assignments of EtherTypes made by the predecessor administration is now the responsibility of the IEEE Registration Authority.

EtherTypes protocol identification values are assigned by the IEEE Registration Authority¹⁵ and are used <u>to</u> identify the protocol that is to be invoked to process the user data in the frame. An EtherType is a sequence of two octets, interpreted as a 16-bit numeric value with the first octet containing the most significant 8 bits and the second octet containing the least significant 8 bits. Values in the range 0–1535 are not available for use in order to retain legacy compatibility with Length field based protocols.

Examples of EtherTypes are 0x0800 and 0x8DD, which are used to identify IPV4 and IPV6, respectively.

It is strongly recommended when designing new protocols to be identified by an EtherType, that fields be assigned to provide for sub-typing. The format used for subtyping in a protocol described in 9.2.3 is preferredrecommended.

9.3.2 EtherTypes for prototype and vendor-specific protocol development

The existing EtherType identifier space is a finite resource. In order to develop protocols that use an EtherType value as a protocol identifier, it has historically been necessary for vendors to apply for type values from this limited identifier space, both for development purposes and for assignment to the final protocol. This can lead to waste of the identifier space for no useful purpose, as some of these protocol developments do not result in viable or usable protocols. The mechanisms identified in this clause allow prototype and experimental protocols to be developed without consuming type values, and also provides a means whereby protocol developers can assign permanent protocol identifier values without consuming type values from this limited resource.

The EtherType identifier space is a finite resource. The vendor specific protocol identifier is a means whereby protocol developers may assign permanent protocol identifier values without consuming type values from this limited resource. This can be useful for prototype, experimental and private/proprietary protocols to be developed without impacting the global EtherType namespace.

These objectives are supported by following EtherType assignments, and associated rules for their use:

- a) Two EtherType values, known as the Local Experimental EtherTypes, as defined specified in 9.2.3, assigned, as the name implies, for experimental use within a local area; and
- b) A single EtherType value, known as the OUI Extended EtherType, as <u>defined-specified in 9.2.4</u>, assigned for the identification of vendor-specific protocols.

 ¹⁵More information on EtherTypes can be found on the IEEE Registration Authority web site, http://standards.ieee.org/develop/
 regauth/ethertype/

The values of the Local Experimental EtherTypes and the OUI Extended EtherType are <u>defined-listed</u> in Table 1.

Name	Value
Local Experimental EtherType 1	88-B5
Local Experimental EtherType 2	88-B6
OUI Extended EtherType	88-B7

Table 1—Assigned EtherType values

9.3.3 Local Experimental EtherTypes

The Local Experimental EtherTypes are intended for use in conjunction with experimental protocol development within a privately administered development network; for example within an experimental network that has no wide area connectivity. Within that network, a local administrator is free to use a Local Experimental EtherType and to assign subtypes for protocol development purposes. However, by virtue of the way these EtherTypes are intended to be used, the following practical and administrative constraints apply to their use:

- a) Since the format for protocols using the Local Experimental EtherTypes does not contain a means to identify the administrative domain, it may not be possible to identify the protocol of a frame if protocols developed within different administrative domains using Local Experimental EtherTypes are used in the same network. Hence, the use of these EtherTypes to identify protocols can only be achieved reliably if all uses of the EtherTypes are within the control of a single administrative domain. Therefore, these EtherTypes shall not be used in protocols or products that are to be released for use in the wider networking community, as freeware, shareware, or as any part of a company's commercial product offering. Products shall be transitioned to a product EtherType before it is deployed in an environment outside the developing organization's administrative control; for example, when deployed with a customer or any other connected environments for testing.
- b) Any request by any individual or organization to have the value of a Local Experimental EtherType shall not be permanently assigned for use with a given protocol or protocols will be summarily refused protocols.
- c) It is recommended that end-End stations that bound any administrative domain <u>should</u> be configured to prevent frames containing a Local Experimental EtherType from passing either into or out of a domain in which its contents can be misinterpreted. For example, the default configuration of any firewall should be to not pass this EtherType.

A Local Experimental EtherType is used in the normal way, as a value that is placed in the Type/Length field of an Ethernet frame, or as described in 9.4 for encapsulation of Ethernet frames over LLC using SNAP identifiers, as described in 9.5.

A Local Experimental EtherType is processed by the PDE in the same manner as other Ethertype values.

In order to allow for different experimental protocols, sub-protocols, and versions, to coexist within the same experimental network, a protocol subtype and a protocol version identifier shall be used in conjunction with the Local Experimental EtherType value. This is illustrated in Figure 12, which shows the format of an Ethernet-IEEE Std 802.3 frame carrying a Local Experimental EtherType. The lengths of the protocol subtype and the protocol version identifier fields, and their order of appearance within the frame, are not constrained by this standard.

1		AC header —	1		F			
Destination MAC address	Source MAC address	Local experimental EtherType (2 octets)	Protocol subtype	Protocol version	Protocol data (N octets)	FCS		
Protocol identification field Protocol data field								

Figure 12—Example of an IEEE Std 802.3 frame carrying the Local Experimental EtherType

Two Local Experimental EtherType values are provided, to allow protocols that need more than one distinct EtherType value, or two distinct protocols, to be developed within a single administrative domain. In particular, the provision of two Local Experimental EtherTypes allows for cases where it is necessary to be able to distinguish protocols or sub-protocols at the EtherType level, in order to facilitate the use of filtering actions in bridges.

The combination of the Local Experimental EtherType value, the protocol subtype, and the protocol version, provide the protocol identifier for the experimental protocol. The values assigned to the protocol subtype and protocol version are locally administered; their meaning cannot therefore be correctly interpreted outside of the administrative domain within which the value was allocated.

NOTE—The use of this format provides for a simple migration path to the use of a distinct EtherType permanently assigned to the protocol. The routine examination of proposals made to the IEEE Registration Authority for the allocation of EtherTypes includes a check that the proposed protocol format has sufficient subtype capability to withstand enhancement by the originator without the need for the assignment of a further EtherType in the future, and inclusion of the subtype and version values could be deemed to meet this requirement. While the existence of such a mechanism in the protocol specification is not in itself sufficient to ensure that an application for an EtherType succeeds, its existence is a necessary element of an acceptable protocol design. The subtyping mechanism defined_described_here offers one way that this requirement may be met.

9.3.4 OUI Extended EtherType

The OUI Extended EtherType provides a means of protocol identification similar to that offered by the SNAP identifier described in <u>9.59.5.1</u>. Like the SNAP identifier, the OUI Extended EtherType allows an organization to use protocol identifiers, as described in 9.5. An organization allocates protocol identifiers to its own protocols, in a manner that ensures that the protocol identifier is globally unique.

NOTE—The requirement for global uniqueness of protocol identifiers means that if protocol identifier X has been allocated for use by an organization's protocol, then that protocol identifier can be used with either the SNAP identifier or the OUI Extended EtherType to identify that protocol. Conversely, it means that protocol identifier X cannot be used to identify any other protocol.

The OUI Extended EtherType is used in-processed by the normal way, as a value that is placed PDE in the Type/Length field of an Ethernet frame, or same manner as described in 9.4 for encapsulation of Ethernet frames over LLCother Ethertype values. Immediately following the EtherType value is a protocol identifier, as described in 9.5, consisting of a three-octet OUI value followed by two octets administered by the OUI assignee. The OUI value provides an administrative context within which the assignee can allocate values to a 16-bit protocol subtype. This approach is closely similar to the LLCLPD-based SNAP identifier mechanism defined specified in Clause 99.5; however, the OUI Extended EtherType is used in place of the LLC header.

NOTE—The two octets of the protocol identifier that are administered by the OUI assignee can be used in any way that the assignee chooses; however, as OUIs are a finite resource, it is advisable not to choose an allocation approach that is wasteful, as would be the case, for example, if the assignee chose to use these two octets to encode a length value.

Figure 13 shows the format of an IEEE Std 802.3 frame carrying the OUI Extended EtherType in the Length/Type field. The value used for the OUI component of the protocol identifier is an OUI value

assigned to the organization that has developed the vendor-specific protocol. The combination of the OUI Extended EtherType, the OUI value, and the 16-bit value administered by the OUI assignee provide a unique protocol identifier for the vendor-specific protocol. The 16-bit values are administered by the organization to which the OUI has been assigned; their meaning can therefore only be correctly interpreted by reference to the organization that owns the OUI concerned.

■ IEE	E Std 802.3 M	AC header	◀	MAC information	
Destination	Source	OUI extended	Protocol identifier	Protocol data	FCS
MAC address	MAC address	EtherType (2 octets)	(5 octets)	(N octets)	100
		Protocol ident	tification field	Protocol data field	

Figure 13—IEEE Std 802.3 frame with the OUI Extended EtherType encoded in the Length/Type field

NOTE—As the protocol designer is free to <u>define-specify</u> the structure of the Protocol Data field, pad octets can be included in the definition of this field, for example, for the purposes of alignment with 4-octet or 8-octet boundaries.

As discussed in 9.2.3, it is good protocol development practice to use a protocol subtype, along with a protocol version identifier in order to avoid having to allocate a new protocol identifier when a protocol is revised or enhanced. Users of the OUI Extended EtherType are therefore encouraged to include protocol subtype and version information in the specification of the protocol data for their protocols.

It is the intention that this method of protocol identification be used in products or protocols that are planned to be released into multi-vendor environments outside of the control of the administration that assigns the protocol identifier. The use of this mechanism allows such protocols to be developed and distributed without the need for a specific EtherType to be assigned for the use of each protocol.

As the OUI Extended EtherType is a normal EtherType value, it is possible to use the encoding described in 9.4 to carry its value within an LLC PDU, using a SNAP identifier with the RFC 1042 OUI. Figure 14 shows the format of an IEEE Std 802.3 frame carrying the OUI Extended EtherType encoded in this way. In this case, it would be more appropriate to use SNAP identifier directly (i.e., omit the RFC 1042 OUI and OUI Extended EtherType fields shown in Figure 14); however, this is a valid encoding of the OUI Extended EtherType that can result from the application of the encapsulation described in 9.4.

← IEEE Std 802.3 MAC header → ← LLC PDU header and SNAP identification field → SNAP data field →										
Destination MAC address	Source MAC address	Length	SNAP "AA"	SNAP "AA"	UI "03"	RFC 1042 OUI 00-00-00	OUI extended EtherType	Protocol identifier	Protocol data	FCS
							SNAP identi	fier field	Protocol data field	

Figure 14—IEEE Std 802.3 frame with the OUI Extended EtherType encoded in an LLC PDU

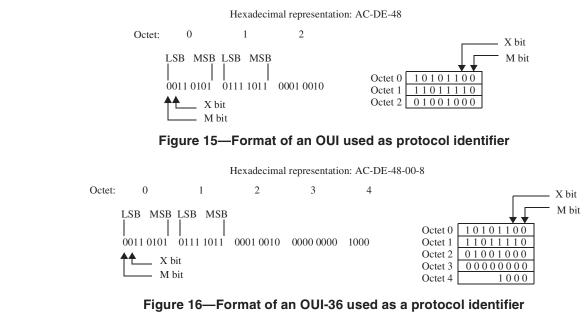
9.4 OUI and OUI-36 as protocol identifiers

An organization that has an OUI or OUI-36 assigned to it may use its OUI or OUI-36 to assign universally unique protocol identifiers to its own protocols, for use with various protocols described in IEEE 802 standards, potentially with additional octets as part of the identifier.

The LSB of the first octet of a OUI, as shown in Figure 15, or OUI-36, as shown in Figure 16, used as a protocol identifier is referred to as the M bit. All OUI & OUI-36 identifiers assigned by the IEEE have the M bit set to zero. Values with the M bit set to one are reserved.

The X bit of a protocol identifier is the bit of the first octet adjacent to the M bit. All OUI and OUI-36 identifiers assigned by the IEEE with the X bit set to zero may also be used to create EUI-48 and EUI-64

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addresses. An OUI or OUI-36 identifier assigned by the IEEE with the X bit set one shall only be used as an OUI or OUI-36 protocol identifier, respectively. Any EUI-48 or EUI-64 addresses created with these OUI or OUI-36 have no relationship to the IEEE-assigned values; they may be used, but there is no assurance of uniqueness.

9.5 Encapsulation of Ethernet frames over LLC with LPD

This subclause specifies the standard method for conveying Ethernet frames across IEEE 802 networks that offer only the <u>LLC sublayer, LPD function</u> and not the <u>alternative sublayer, directly above EPD function in</u> the <u>MAC-LLC</u> sublayer.

An Ethernet frame conveyed on an <u>LLCLPD</u>-only IEEE 802 network shall be encapsulated in a SNAP data unit contained in an LLC PDU of type UI, as follows:

- a) The Protocol Identification field of the SNAP data unit shall contain a SNAP identifier in which
 - 1) The three OUI octets each take the value zero.
 - 2) The two remaining octets take the values, in the same order, of the two octets of the Ethernet frame's EtherType.
- b) The Protocol Data field of the SNAP data unit shall contain the user data octets, in order, of the Ethernet frame.
- c) The values of the Destination MAC Address field and Source MAC Address field of the Ethernet frame shall be used in the Destination MAC Address field and Source MAC Address field, respectively, of the MAC frame in which the SNAP data unit is conveyed.

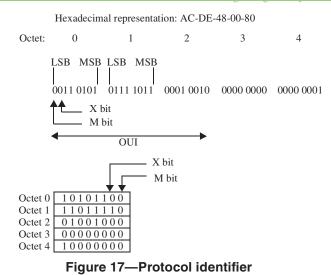
NOTE—This encapsulation was originally specified in RFC 1042 [B1], which contains recommendations relating to its use. Further recommendations are contained in RFC 1390 [B2].

9.6 SNAP identifiers SNAP

<u>SNAP</u> provides a method for multiplexing and demultiplexing of private and public protocols among multiple users of a data link. An organization that has an OUI assigned to it may use its OUI to assign universally unique protocol identifiers to its own protocols, for use in the protocol identification field of <u>SNAP</u> data units.

9.6.1 SNAP identifier

The protocol identifier is five octets in length and follows the LLC header in a frame. The first three octets of the protocol identifier consist of the OUI in exactly the same fashion as in EUI-48. The remaining two octets are administered by the assignee. In the protocol identifier, an example of which is shown in Figure 17, the OUI is contained in octets 0, 1, 2 with octets 3, 4 being assigned by the assignee of the OUI.



The standard representation of a protocol identifier is as a string of five octets using the hexadecimal representation.

The LSB of the first octet of a protocol identifier is referred to as the M bit. All identifiers derived from OUIs assigned by the IEEE shall have the M bit set to zero. Values with the M bit set to one are reserved.

Protocol identifiers may be assigned universally or locally. The X bit of a protocol identifier is the bit of the first octet adjacent to the M bit. All identifiers derived from OUIs assigned by the IEEE have the X bit set to zero and are universally assigned. Values with the X bit set to one are locally assigned and have no relationship to the IEEE-assigned values. They may be used, but there is no assurance of uniqueness.

9.6.2 SNAP address

The reserved LLC address for use with SNAP is called the SNAP address. It is <u>defined specified</u> to be the bit pattern (starting with the LSB) Z1010101, in which the symbol Z indicates that either value 0 or 1 can occur, depending on the context in which the address appears (as specified in ISO/IEC 8802-2). The two possible values have hexadecimal representation AA and AB.

The SNAP address identifies, at each MSAP, a single LSAP for standard, public, and private protocol usage. To permit multiple public and private network layer protocols to coexist at one MSAP, each public or private protocol using SNAP <u>must-shall</u> employ a protocol identifier that enables SNAP to discriminate among these protocols.

9.6.3 SNAP data unit format

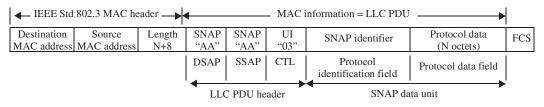
Each SNAP data unit shall conform to the format shown in Figure 18 and shall form the entire content of the LLC information field.

In Figure 18, the Protocol Identification field is a five-octet field containing a protocol identifier whose format and administration are as described in <u>8.59.5.1</u>. The Protocol Data field is a field whose length, format, and content are <u>defined specified</u> by a public or private protocol specification. Each public or private protocol begins its PDU format with the Protocol Identification field, which shall contain the protocol identifier assigned to the protocol.

Octet:	0 4	1	5	(N+4)
	SNAP identifier		Protocol data	

Figure 18—SNAP data unit format

Figure 19 illustrates how a SNAP data unit appears in a complete MAC frame (the IEEE Std 802.3 MAC format is used for the example). The LLC control field (CTL) is shown for PDU type UI, Unnumbered Information, which is the most commonly used PDU type in this context; however, other information-carrying LLC PDU types may also be used with SNAP identifiers.





10. Allocation of object identifier (OID) values in IEEE 802 standards

10.1 General

From time to time, various IEEE 802 standards have a requirement to allocate OID values—the most common example being for the purpose of defining management information base (MIB) objects for SNMP, but other examples exist. MIB modules describe the structure of the management data of a device subsystem and use a hierarchical name space based on OIDs to identify variables. This clause defines specifies a simple and consistent OID hierarchy, based on the use of the OID value that has been assigned by ISO to identify the IEEE 802 series of standards. This hierarchy should be used by all current and future IEEE 802 Working Groups, and can be used flexibly to meet the needs of the standards defined_developed_by those working groups. This establishes a consistent practice within IEEE 802 for the development and allocation of OIDs. Consistency of OID allocation facilitates implementation and operation of IEEE 802 compliant equipment.

10.2 OIDs and ISO standards

An OID is an ASN.1 data type, <u>defined specified</u> in ITU-T Recommendation X.660, that is used as a means of defining unique identifiers for objects. Values of the OID data type can then be used to name the objects to which they relate.

The OID data type consists of a sequence of one or more non-negative integers, often referred to as arcs, that define <u>specify</u> a hierarchy, or tree, of OID values. The first arc in the sequence identifies the registration authority responsible for allocating the values of the second and subsequent arcs. For example:

iso (1)

indicates that an initial arc value of 1 identifies ISO as the registration authority. Subsequent arcs in the sequence are determined by ISO, or are allocated by registration authorities subordinate to ISO.

Under the iso arc, a second arc has been allocated to identify organizations recognized by ISO, such as the IEEE; hence, the two-integer sequence

iso (1) iso-identified-organization (3)

Under the iso-identified-organization arc, a subsequent arc has been allocated to identify the IEEE; hence, the three-integer sequence

iso (1) iso-identified-organization (3) ieee (111)

indicates that the fourth integer identifies a particular registry within the IEEE, and that the allocation of the fourth and subsequent arcs is the responsibility of the IEEE. Under the ieee arc, the IEEE Registration
 Authority has defined specified an arc for the numbered series of IEEE standards; hence, the four-integer sequence

iso (1) iso-identified-organization (3) ieee (111) standards-association-numbered-series-standards (2)

indicates that the fifth integer is used to identify a particular IEEE numbered series standard. The actual
 number corresponding to the numbered series standard is used in the fifth arc; hence the following identifies
 the IEEE 802 series of standards:

1 2 3		iso (1) iso-identified-organization (3) ieee (111) standards-association-numbered-series-standards (2) ieee-802 (802)
4 5 6		The responsibility for allocating the subsequent arcs under iso (1) iso-identified-organization (3) ieee (111) standards-association-numbered-series-standards (2) ieee-802 (802) lies with IEEE 802.
7 8 9 10 11 12		As the standard number 802 is used to identify the member of the family of IEEE 802 standards, this particular sequence of integer values can form the basis of an OID hierarchy for use by the individual standards in the IEEE 802 family. The act of assigning a number to a standard has the effect of automatically assigning an OID arc to that standard, and therefore no further administrative effort is needed before that standard can allocate OID values under that point in the tree, using the subsequent arcs.
13 14 15		10.3 The OID hierarchy for IEEE 802 standards
15 16 17		The OID value assigned to the family of IEEE 802 standards is:
18 19 20		iso (1) iso-identified-organization (3) ieee (111) standards-association-numbered-series-standards (2) ieee-802 (802)
21 22 23 24	I	The next arc under iso (1) iso-identified-organization (3) ieee (111) standards-association-numbered-series- standards (2) ieee-802 (802) shall-be-is_used to differentiate between members of the family of IEEE 802 standards, by using it as a working group designator, as follows:
25 26 27		iso (1) iso-identified-organization (3) ieee (111) standards-association-numbered-series-standards (2) ieee-802 (802) ieee802dotX (X)
28 29 30		where X is the working group number of the IEEE 802 Working Group responsible for that standard. These arcs are assigned for use in all current and future IEEE 802.X standards.
31 32 33 34	I	For example, under this hierarchy, the value used within the <u>for</u> standards <u>defined developed</u> by the IEEE 802.3 Working Group is:
35 36 37		iso (1) iso-identified-organization (3) ieee (111) standards-association-numbered-series-standards (2) ieee-802 (802) ieee802dot3 (3)
38 39	I	and the value used within the for IEEE 802.11 standards is:
40 41 42 43		iso (1) iso-identified-organization (3) ieee (111) standards-association-numbered-series-standards (2) ieee-802 (802) ieee802dot11 (11)
44 45		The working group concerned is free to decide how further arcs are allocated within their standards, in a manner that makes sense for their particular needs.
46 47 48 49 50 51 52 52		It is the responsibility of each working group to ensure that any values that are allocated to the fifth and subsequent arcs are documented, in a manner that ensures that the same OID value cannot be assigned to two different objects. In the IEEE 802.1 Working Group, this has been achieved in the past by placing tables of OID allocations in an annex within the standard concerned ¹⁶ ; in the IEEE 802.3 Working Group, a master spreadsheet of allocated OID values is maintained by the Chair and posted on their website. For future allocations, adopting a master spreadsheet approach is appropriate.
53 54		¹⁶ More information on IEEE 802.1 OIDs can be found on the working group web site, http://www.ieee802.org/1/pages/OIDS.html

It is important that the allocation scheme for the fifth and subsequent arcs is constructed in a manner that leaves appropriate "escapes" for uses that cannot be foreseen. The simple expedient of allocating a "type of allocation" value as the fifth arc is sufficient to ensure that such an escape is always available.

10.4 The OID hierarchy under iso(1) std(0) iso8802(8802)

The 2001 revision of this standard documented the use of iso(1) std(0) iso8802(8802) as the root arc under which IEEE 802 <u>Standards standards</u> would develop their OID hierarchies. The use of this root arc is deprecated.

10.5 Migration from previous OID allocations

The OID hierarchy described in this clause need not have any effect upon existing IEEE 802 standards that have already solved this problem by using a specific allocation obtained elsewhere (for example, from ANSI). The primary aims of documenting this procedure are:

- a) To ensure that OIDs can be allocated under iso(1) std(0) iso8802(8802) in a manner that ensures that they are unique, and
- b) To avoid the need for any further administrative overhead (such as applying for the use of an OID are) for any future uses of OIDs in IEEE 802 standards.

With the hierarchy as <u>defined specified</u> in this clause, as new working groups are created in IEEE 802, their base OID arc is also created automatically, so no administrative effort is required on the part of the working group, other than to determine how the fifth and subsequent arcs are used in their standards.

For those working groups that have already made use of other allocation schemes (e.g., IEEE Std-802.3 and IEEE Std-802.1), it may be considered appropriate to migrate existing allocations to the hierarchy defined specified in this clause. In considering this, the following should be borne in mind:

— While it might be perceived as "tidy" to have all IEEE 802 OIDs allocated under a single arc of the OID tree, this is not a requirement for any other reason; one OID value is no better or no worse than any other from a technical point of view (with the possible exception that the encoded length can vary with the number of arcs to be encoded), as long as any given OID identifies a single object.

— If migration is desired, there is no requirement to remove the old OID values¹⁷. Indeed, this is not permitted for objects defined in SNMP MIB modules that are not obsolete, as specified in IETF RFC 2578, nor is it permitted to associate such objects with more than one OID value. Instead, new definitions are required to be created and registered under the desired OID tree¹⁸.

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^{51 &}lt;sup>17</sup>There is no general requirement that an object should only have a single identifier; if it has more than one, then it can be "reached" by following more than one set of branches of the naming tree, just as a map can provide more than one path to a destination.

 ¹⁸This appears to contradict the earlier statement and footnote that indicate that it doesn't matter if multiple object identifiers point at the same object; however, this is a specific requirement imposed on MIB objects for SNMP by the relevant Internet Engineering Task
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⁵⁴ Force (IETF) standards, and not a general rule.

Annex A

(informative)

Bibliography

Bibliographic references are resources that provide additional or helpful material but do not need to be understood or used to implement this standard. Reference to these resources is made for informational use only.

A.1 General IEEE 802 standards

[B1] IEEE Std 802.1ABTM, IEEE Standard for Local and Metropolitan Area Networks—Station and Medium Access Control Connectivity Discovery.¹⁹

[B2] IEEE Std 802.1ACTM, IEEE Standard for Local and Metropolitan Area Networks—Media Access Control (MAC) Service definition.

[B3] IEEE Std 802.1AE[™], IEEE Standard for Local and Metropolitan Area Networks: Media Access Control (MAC) Security.

[B4] IEEE Std 802.1ARTM, IEEE Standard for Local and Metropolitan Area Networks—Secure Device Identity.

[B5] IEEE Std 802.1ASTM, IEEE Standard for Local and Metropolitan Area Networks—Timing and Synchronization for Time-Sensitive Applications in Bridged Local Area Networks.

[B6] IEEE Std 802.1AX[™], IEEE Standard for Local and Metropolitan Area Networks—Link Aggregation.

[B7] IEEE Std 802.1BA™, IEEE Standard for Local and Metropolitan Area Networks—Audio Video Bridging (AVB) Systems.

[B8] IEEE Std 802.1BRTM, IEEE Standard for Local and Metropolitan Area Networks—Virtual Bridged Local Area Networks—Bridge Port Extension

[B9] IEEE Std 802.1D[™], IEEE Standard for Local and Metropolitan Area Networks: Media Access Control (MAC) Bridges.

[B10] IEEE Std 802.1Q[™], IEEE Standard for Local and Metropolitan Area Networks—Media Access Control (MAC) Bridges and Virtual Bridged Local Area Networks.

[B11] IEEE Std 802.1XTM, IEEE Standard for Local and Metropolitan Area Networks—Port-Based Network Access Control.

[B12] IEEE Std 802.3TM, IEEE Standard for Ethernet.

[B13] IEEE Std 802.3.1[™], IEEE Standard for Management Information Base (MIB) Definitions for Ethernet

¹⁹The IEEE standards and products referred to in Annex A are trademarks owned by the Institute of Electrical and Electronies-Engineers, Incorporated.

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[B14] IEEE Std 802.11TM, IEEE Standard for Information technology—Telecommunications and Information Exchange Between Systems—Local and Metropolitan Area Networks—Specific Requirements—Part 11: Wireless LAN Medium Access Control (MAC) and Physical Layer (PHY) Specifications.

[B15] IEEE Std 802.15.1[™], IEEE Standard for Information technology—Telecommunications and information exchange between systems—Local and Metropolitan Area Networks—Specific requirements—Part 15.1: Wireless LAN medium access control (MAC) and physical layer (PHY) specifications for wireless personal area networks (WPANs).

[B16] IEEE Std 802.15.2[™], IEEE Recommended Practice for Information technology—Telecommunications and Information Exchange Between Systems—Local and Metropolitan Area Networks—Specific Requirements—Part 15.2: Coexistence of Wireless Personal Area Networks with Other Wireless Devices Operating in Unlicensed Frequency Bands.

[B17] IEEE Std 802.15.3[™], IEEE Standard for Information technology—Telecommunications and Information Exchange Between Systems—Local and Metropolitan Area Networks—Specific Requirements— Part 15.3: Wireless Medium Access Control (MAC) and Physical Layer (PHY) Specifications for High Rate Wireless Personal Area Networks (WPANs).

[B18] IEEE Std 802.15.4TM, IEEE Standard for Local and Metropolitan Area Networks—Part 15.4: Low-Rate Wireless Personal Area Networks (LR-WPANs).

[B19] IEEE Std 802.15.5TM, IEEE Recommended Practice for Information technology—Telecommunications and information exchange between systems—Local and metropolitan area networks—Specific requirements—Part 15.5: Mesh Topology Capability in Wireless Personal Area Networks (WPANs).

[B20] IEEE Std 802.15.7TM, IEEE Standard for Local and Metropolitan Area Networks—Part 15.7: Short-Range Wireless Optical Communication Using Visible Light.

[B21] IEEE Std 802.16[™], IEEE Standard for Air Interface for Broadband Wireless Access Systems.

[B22] IEEE Std 802.16.1[™], IEEE Standard for WirelessMAN-Advanced Air Interface for Broadband Wireless Access Systems.

[B23] IEEE Std 802.16.2[™], IEEE Recommended Practice for Local and Metropolitan Area Networks – Coexistence of Fixed Broadband Wireless Access Systems.

[B24] IEEE Std 802.17TM, IEEE Standard for Information technology—Telecommunications and information exchange between systems—Local and Metropolitan Area Networks—Specific requirements—Part 17: Resilient packet ring (RPR) access method and physical layer.

[B25] IEEE Std 802.20TM, IEEE Standard for Local and Metropolitan Area Networks—Part 20: Air Interface for Mobile Broadband Wireless Access Systems Supporting Vehicular Mobility—Physical and Media Access Control Layer Specification.

[B26] IEEE Std 802.20.2TM, IEEE Standard for Conformance to IEEE 802.20 Systems—Protocol Implementation Conformance Statement (PICS) Proforma.

[B27] IEEE Std 802.20.3[™], IEEE Standard for Minimum Performance Characteristics of IEEE 802.20 Terminals and Base Stations/Access Nodes

[B28] IEEE Std 802.21[™], IEEE Standard for Local and Metropolitan Area Networks—Media Independent Handover Services.

[B29] IEEE Std 802.22[™], IEEE Standard for Information Technology—Telecommunications and information exchange between systems Wireless Regional Area Networks (WRAN)—Specific requirements Part 22: Cognitive Wireless RAN Medium Access Control (MAC) and Physical Layer (PHY) Specifications: Policies and Procedures for Operation in the TV Bands

[B30] IEEE Std 802.22.1TM, IEEE Standard for Information Technology—Telecommunications and information exchange between systems—Local and Metropolitan Area Networks—Specific requirements Part 22.1: Standard to Enhance Harmful Interference Protection for Low-Power Licensed Devices Operating in TV Broadcast Bands

A.2 Other standards developing organizations

[B31] IETF RFC 1042, A Standard for the Transmission of IP Datagrams over IEEE 802 Networks. Postel, J., and Reynolds, J., February 1988.²⁰

[B32] IETF RFC 1390, Transmission of IP and ARP over FDDI Networks. Katz, D., January 1993.

[B33] IETF RFC 2578, STD 58, Structure of Management Information Version 2 (SMIv2), McCloghrie, K., Perkins, D., Schoenwaelder, J., Case, J., Rose, M. and S. Waldbusser, April 1999.

[B34] IETF RFC 2579, STD 58, Textual Conventions for SMIv2, McCloghrie, K., Perkins, D., Schoenwaelder, J., Case, J., Rose, M. and S. Waldbusser, April 1999.

[B35] IETF RFC 2580, STD 58, Conformance Statements for SMIv2, McCloghrie, K., Perkins, D., Schoenwaelder, J., Case, J., Rose, M. and S. Waldbusser, April 1999.

[B36] IETF RFC 3411, STD 62, An Architecture for Describing Simple Network Management Protocol (SNMP) Management Frameworks.

[B37] IETF RFC 5677, IEEE 802.21 Mobility Services Framework Design (MSFD). Melia, T., Bajko, G., Das, S., Golmie, N., and Zuniga, JCJ. C., December 2009.

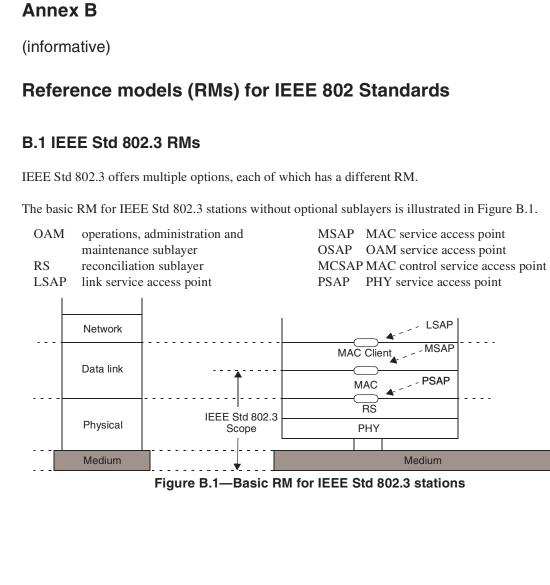
[B38]

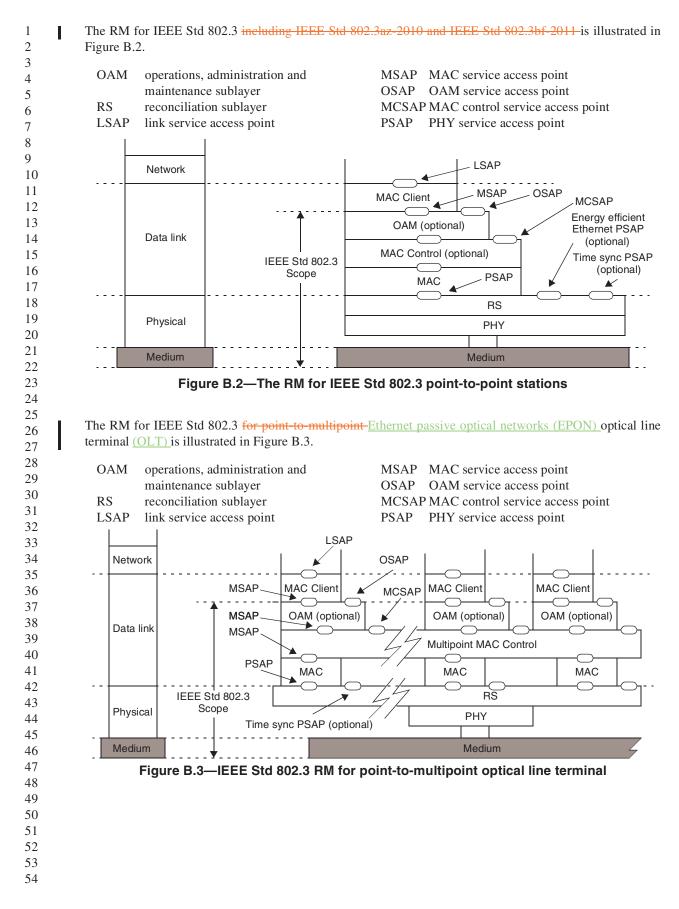
[B39] ISO/IEC 7498-1:1994, Information technology—Open Systems Interconnection—Basic Reference Model: The Basic Model.

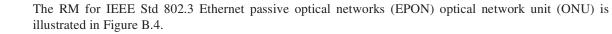
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54	$^{20} \mathrm{Internet}\ \mathrm{RFCs}$ are available from the IETF at http://tools.ietf.org/index.

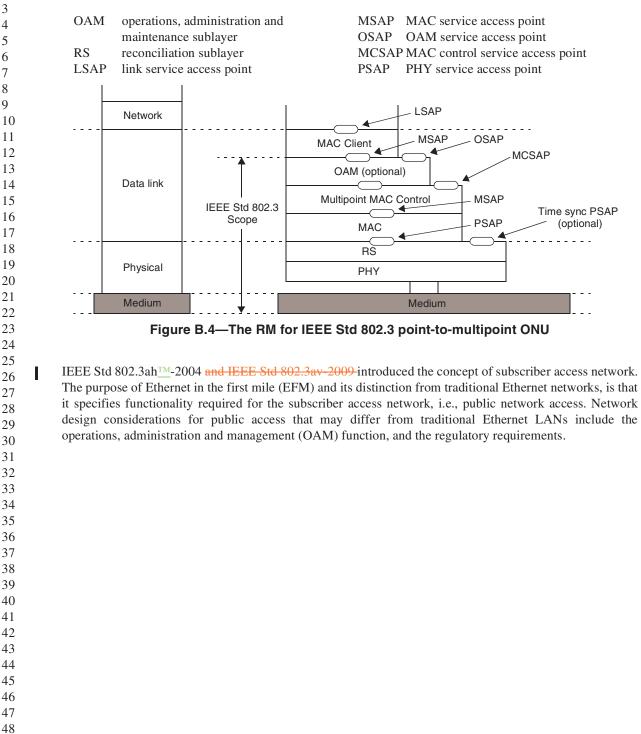
LSAP

MSA









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B.2 IEEE Std 802.11 RM

The IEEE Std 802.11-11-2012 RM is based on the general station (STA) model, as shown in Figure B.5.

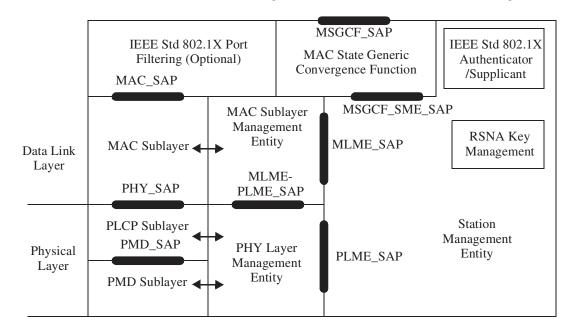


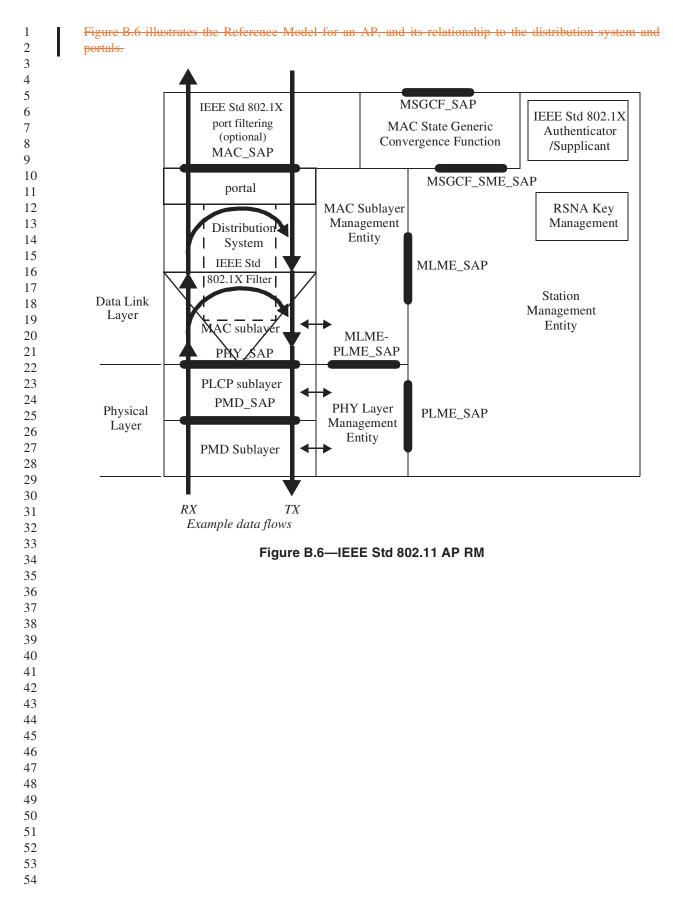
Figure B.5—IEEE Std 802.11-2012 STA RM

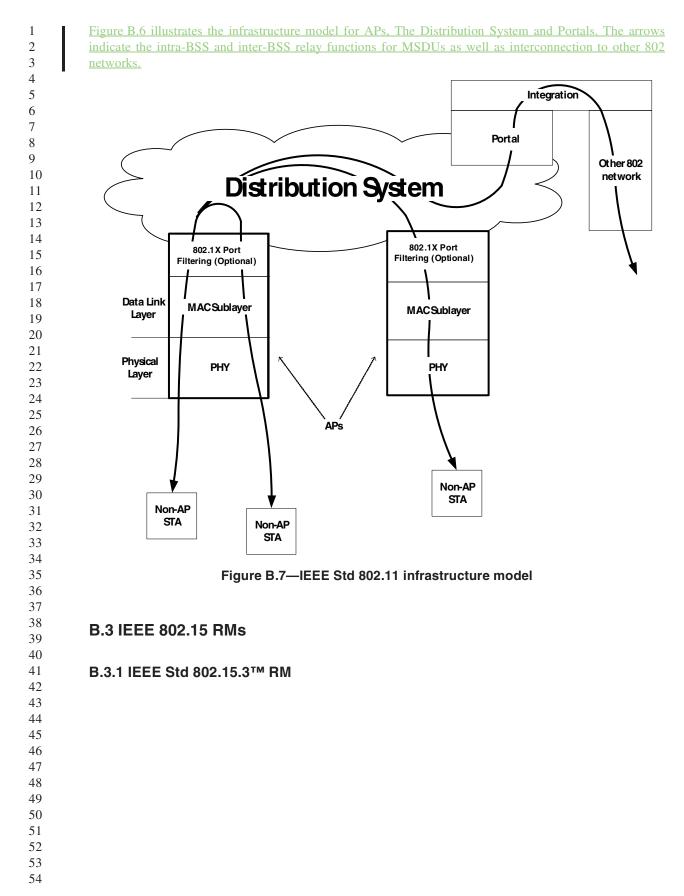
The interconnections between IEEE Std 802.11-11-2012 STAs follow two general models.

Several types of peer-to-peer, direct, pair-wise communication between STAs are defined<u>provided</u>, each applicable in differing use scenarios. In these direct communications, the pair STAs are symmetrical, with each STA generally matching the simple STA model, although they may take on different behavioral roles for the purpose of establishing and maintaining the interconnection link.

The other interconnection <u>model_model</u>, the infrastructure model, supports multiple STAs, collected into one or more wireless access domains. These access domains are interconnected via the distribution system, and can interwork with other IEEE 802 networks via one or more portals.

Each access domain in the infrastructure model is established by an access point (AP), which extends the basic STA model to include repeating and <u>bridging_forwarding_functions</u> that allow communications between non-AP STAs that do not directly interconnect. The AP acts as a repeater to enable communications between non-AP STAs within the access <u>domaindomain (intra-BSS relay)</u>. The AP acts as <u>performs_a bridgeforwarding_function</u>, via the <u>distribution_systemDistribution_System</u>, to enable communications between non-AP STAs in different IEEE Std 802.11 wireless access <u>domainsdomains (inter-BSS relay)</u>. Finally, via <u>portalsPortals</u>, APs support communications between IEEE Std 802.11 STAs and stations attached to other IEEE 802 networks.





The RM for IEEE Std 802.15.3 is illustrated in Figure B.7.

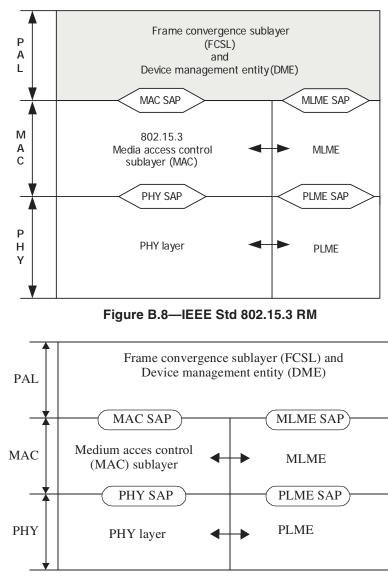


Figure B.9—IEEE Std 802.15.3 RM

The PHY SAP and physical layer management entity (PLME) SAP are not <u>defined-specified</u> in IEEE Std 802.15.3 as they are rarely, if ever, exposed in a typical implementation. The PHY management objects and attributes are accessed through the MAC sublayer management entity (MLME) SAP with the generic management primitives used to access the MAC management objects and attributes.

The MAC SAP and MLME SAP are <u>defined specified</u> as logical interfaces to access the services provided by IEEE Std 802.15.3 end stations.

The PLME and MLME are logical entities that control the PHY and MAC, respectively, based on request from the higher layers.

The frame convergence sublayer (FCSL) is used to allow multiple protocols to simultaneously access the services of an IEEE Std 802.15.3 PAN. IEEE Std 802.15.3 defines specifies an FCSL for connection to the ISO/IEC 8802-2 LLC.

B.3.2 IEEE Std 802.15.4 RM

The RM for IEEE Std 802.15.4 is illustrated in Figure B.8.

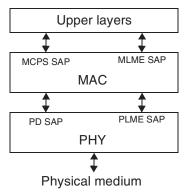


Figure B.10—IEEE Std 802.15.4 RM

The upper layers shown in Figure B.8 consist of a network layer, which provides network configuration, manipulation, and message routing, and an application layer, which provides the intended function of the device. The upper layers are not <u>defined specified</u> in IEEE Std 802.15.4.

B.3.3 IEEE Std 802.15.6™ RM

The RM for IEEE Std 802.15.6 hub or node are shown in Figure B.9.

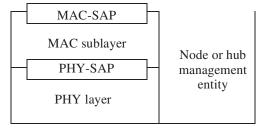
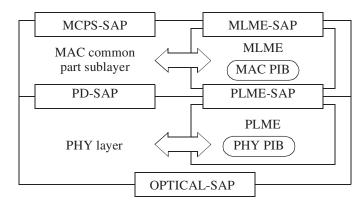


Figure B.11—IEEE Std 802.15.6 RM

B.3.4 IEEE Std 802.15.7™ RM

The RM for IEEE Std 802.15.7 is shown in Figure B.10.





The MAC sublayer provides the following two services, accessed through two SAPs:

- a) The MAC data service, accessed through the MAC common part sublayer (MCPS) data SAP (MCPS-SAP), and
- b) The MAC management service, accessed through the MLME-SAP.

In addition to these external interfaces, an implicit interface also exists between the MLME and the MAC common part sublayer that allows the MLME to use the MAC data service.

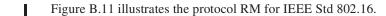
The PHY provides two services, accessed through two SAPs: the PHY data service, accessed through the PHY data SAP (PD-SAP), and the PHY management service, accessed through the PLME's SAP (PLME-SAP). The optical SAP (OPTICAL-SAP) provides an interface between the PHY layer and the optical channel and is not specified in the standard.

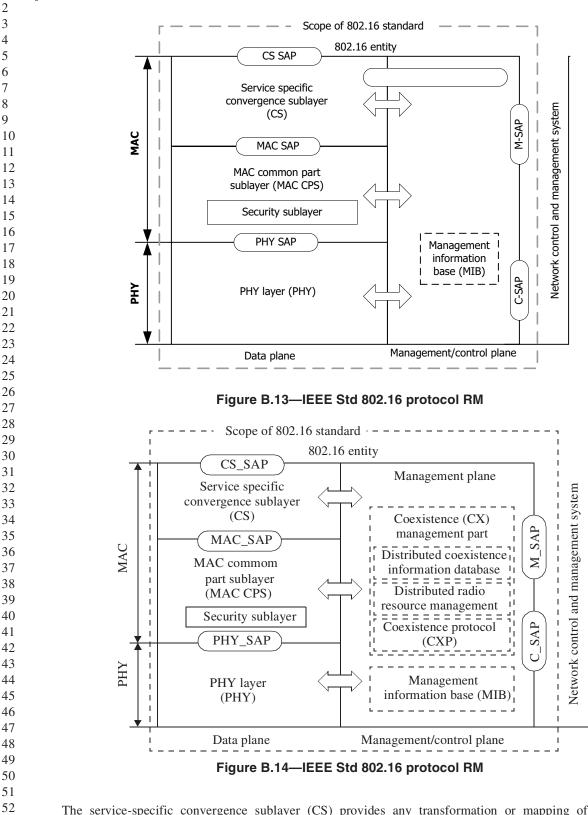
B.4 IEEE Std 802.16 RM

B.4.1 Protocol RM

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The service-specific convergence sublayer (CS) provides any transformation or mapping of external
 network data, received through the CS service access point (SAP), into MAC service data units (SDUs)
 received by the MAC common part sublayer (CPS) through the MAC SAP. This includes classifying

external network SDUs and associating them to the proper MAC service flow identifier and connection identifier. Multiple CS specifications are provided for interfacing with various protocols.

The MAC CPS provides the core MAC functionality of system access, bandwidth allocation, connection establishment, and connection maintenance. Quality of service (QoS) is applied to the transmission and scheduling of data over the PHY.

The security sublayer in the MAC provides authentication, secure key exchange, and encryption.

Data, PHY control, and statistics are transferred between the MAC CPS and the PHY via the PHY SAP (which is implementation specific).

The PHY definition includes multiple specifications, each appropriate to a particular frequency range and application.

B.4.2 Network reference model

Figure B.12 describes a simplified network RM for IEEE Std 802.16.

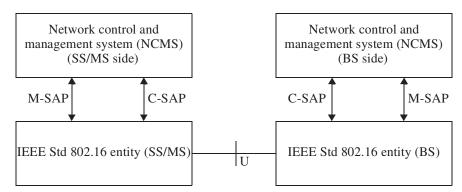


Figure B.15—IEEE Std 802.16 network RM

The network control and management system (NCMS) abstraction allows the PHY/MAC layers specified in IEEE Std 802.16 to be independent of the network architecture, the transport network, and the protocols used at the backend and therefore allows greater flexibility.

NCMS logically exists at base station (BS) side and subscriber station/mobile subscriber station (SS/MS)
 side of the radio interface, termed NCMS(BS) and NCMS(SS/MS), respectively. Any necessary inter-BS
 coordination is handled through the NCMS(BS).

The control SAP (C-SAP) and management SAP (M-SAP) exposes the control plane and management plane functions to upper layers. The NCMS uses the C-SAP and M-SAP to interface with the IEEE Std 802.16 entity. In order to provide correct MAC operation, NCMS is present within each SS/MS. The NCMS is a layer independent entity that may be viewed as a management entity or control entity. General system management entities can perform functions through NCMS and standard management protocols can be implemented in the NCMS.

An IEEE Std 802.16 entity is defined as the logical entity in an SS/MS or BS that comprises the PHY and MAC layers of the data plane and the management/control plane. The IEEE Std 802.16 end stations can include SS, MS, or BS. Multiple SS or MS may be attached to a BS.

SS or MS communicate to the BS over the U interface using a primary management connection, a basic
 connection, or a secondary management connection.

B.5 IEEE Std 802.21 RM

Figure B.13 shows an implementation view of a dual-mode IEEE Std 802.11/IEEE Std 802.16 station with IEEE Std 802.21 MIH functionality. The MIHF provides the required services to perform handovers between IEEE Std 802.11 and IEEE Std 802.16 access technologies. Also, the MIHF becomes a higher layer when it requires data transport services to communicate with an IEEE Std 802.21 MIH peer. In the case of layer 2 transport of MIH data, services are provided by the IEEE Std 802.16 CS_SAP, or the IEEE Std 802.11 LSAP. In case of layer 3 transport, services are provided as described in RFC 5677 [B6].

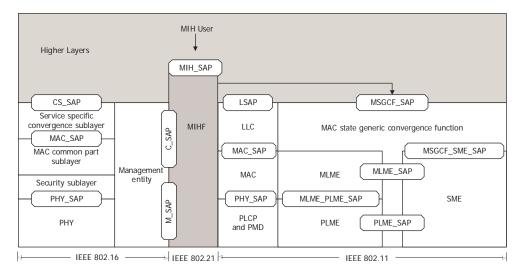


Figure B.16—Example of dual-mode IEEE Std 802.11 and IEEE Std 802.16 end station with IEEE Std 802.21 end-station RM

B.6 IEEE Std 802.22 RM

The RM of IEEE Std 802.22 is depicted in Figure B.14. A unique characteristic of this architecture is its cognitive components which is used to allow for dynamic frequency selection, and avoid interference to incumbents on a real-time basis.

B.6.1 Data plane

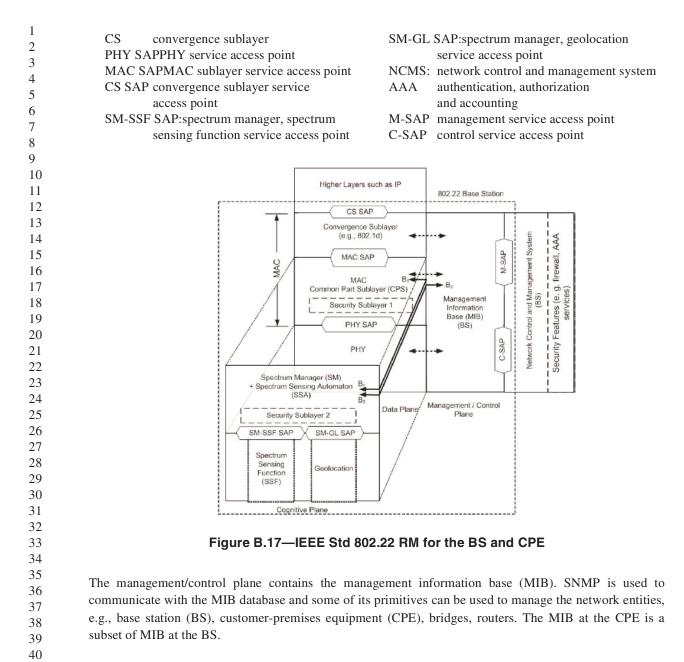
The service-specific convergence sublayer (CS) provides the transformation or mapping of external network data that is received through the CS SAP, into MAC SDUs and data that is received by the MAC CPS through the MAC SAP. Multiple CS specifications are provided for interfacing with various protocols.

The MAC CPS provides the core MAC functionality of system access, connection establishment, and connection maintenance. The data that the MAC layer receives from the various CSs through the MAC SAP is classified according to the particular MAC connections.

The security sublayer 1 provides mechanisms for authentication, secure key exchange, encryption, etc.

Data, PHY control, and radio statistics are transferred between the MAC CPS and the PHY via the PHY SAP.

B.6.2 Management/control plane



B.6.3 Cognitive plane

The SM maintains spectrum availability information, manages channel lists, manages quiet periods scheduling, implements self-coexistence mechanisms and processes requests from the MAC/PHY. The SM is the central point at the BS where all the information on the spectrum availability resulting from the database service and the SSF is gathered. Based on this combined information, local regulations, and predefined SM policies, the SM provides the necessary configuration information to the BS MAC, which then remotely configures all the registered CPEs. Connection B2 is used for configuration of the SM at the BS, transmission of the available TV channel list to the SM, as well as to report the RF environment information via the MIB objects. Connection B1 is used by the SM to initiate a channel move, to configure the SSA at the CPE (e.g., backup/candidate channel list, etc.) as well as to gather information from the CPEs (e.g., local sensing information, local geolocation information, etc.).

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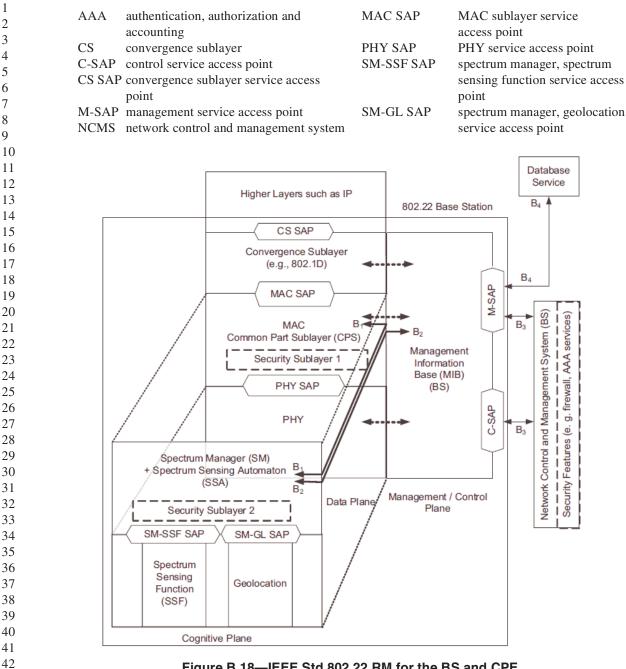


Figure B.18—IEEE Std 802.22 RM for the BS and CPE

The spectrum sensing automaton (SSA), is present at the BS and at the CPEs and independently implements specific procedures for sensing the RF environment at initialization of the BS and before the registration of a CPE with the BS. The SSA at the CPE also includes features to allow proper operation when the CPE is not under the control of a BS. At any other time, the SSA at the CPE is under the control of the SM. The SSA at the BS is also active when the BS is not transmitting to conduct out-of-band sensing. The SSA located at the BS can also carry out sensing to clear channels when the base station is not transmitting.

The spectrum sensing function (SSF) implements spectrum sensing algorithms while the geolocation (GL) module provides the information to determine the location of the IEEE Std 802.22 end station (BS or CPE).

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1 2	The role of the security sublayer 2 is to provide enhanced protection to the incumbents as well as necessary protection to the IEEE Std 802.22 stations.
3	protection to the field Std 802.22 stations.
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Annex C

(informative)

Examples of bit ordering for addresses

C.1 General

This Annex illustrates the various bit- and octet-transmission scenarios that can occur, and it is intended as a basis for clarifying the issue of bit-ordering for EUI-48s across different MACs. Throughout, the examples make use of the OUI value AC-DE-48, introduced in 8.4.1. This three-octet value is considered in its two possible roles: as the first part of a five-octet protocol identifier, and as the first part of a six-octet EUI-48. The consistent representations of the OUI in its role as part of a protocol identifier are contrasted with the sometimes variable representations that apply to its role as part of an EUI-48.

NOTE—Protocol identifiers always form part of the normal user data in a MAC Information field; hence, there is nothing special about OUI octets in their protocol identifier role.

C.2 Illustrative examples

For the examples, the bit significance of an OUI in general is defined to be as illustrated in Figure C.1.

	MSB							LSB	
Octe	t0 h	g	f	е	d	С	b	a	
Octe	t1 p	0	n	m	l	k	j	i	
Octe	t2 x	W	v	u	t	S	r	d	
	When used in an EUI-48: Bit "a" of the OUI = I/G address bit. Bit "b" of the OUI = U/L address bit.								
	When used in a protocol identifiers: Bit "a" of the OUI = M bit. Bit "b" of the OUI (always								

Figure C.1—Bit significance of an OUI

When transmitted on a network with all data octets of the OUI transmitted LSB first, the OUI portions of a protocol identifier and of an EUI-48 appear as in Figure C.2. When transmitted on a network with the data octets of the OUI transmitted MSB first, the OUI portions of a protocol identifier, and of an EUI-48 contained in a MAC Address field, appear as in Figure C.3.

In some circumstances, it is necessary to convey EUI-48s as data within MAC Information fields, e.g., as part of a management protocol or a network layer routing protocol.

For network types in which Figure C.2 applies, such as IEEE Std 802.3, the bit-ordering within the octets of an EUI-48 conveyed as data is the same as both the ordering when the address appears in a MAC Address field and the ordering for octets of nonaddress information.

For network types in which Figure C.3 applies there appears to be a choice of representations for EUI-48s
 conveyed as data, as follows:

53 — Canonical format: The octets of the EUI-48 can be treated like any other data octets and transmitted 54 with the bit-ordering of Figure C.3(a). The canonical format is illustrated in Figure C.4.

1		
2	General OUI	OUI = AC-DE-48
3	abcdefgh ijklmnop qrstuvwx	00110101 01111011 00010010
4 5	time	time
6		AC DE 48
7		time
8	a) OUI within a protocol identifier (in a Ma	AC Information field, as normal data)
9 10	OUI within a MAC Address field	OUI = AC-DE-48
11	abcdefgh ijklmnop qrstuvwx	00110101 01111011 00010010 time
12 13		AC DE 48
14		time
15 16	b) OUI within a	MAC Address field
17	Figure C.2—Order of bit and octet transmi	ssion for an OUI with LSB transmitted first
18 19		
20	General OUI	OUI = AC-DE-48
21 22	hgfedcba ponmlkji xwvutsrq	10101100 11011110 01001000 time
22		AC DE 48
24		time
25 26	a) OUI within a protocol identifier (in a MA	C Information field, as normal data)
27	OUI within a MAC Address field	OUI = AC-DE-48
28 29	abcdefgh ijklmnop qrstuvwx	00110101 01111011 00010010
30	→ time	time
31 32		AC DE 48
33	b) OUI within a M	AC Address field
34 35	Figure C.3—Order of bit and octet transmis	ssion for an OUI with MSB transmitted first
36		
37	General OUI	OUI = AC-DE-48
38 39	hgfedcba ponmlkji xwvutsrq	00110101 01111011 00010010
40	time	time
41		AC DE 48
42 43		time
44	Figure C.4—Order of bit and octet trar	nsmission for an OUI in an EUI-48 with
45	MSB transmitted fire	st, canonical format.
46 47		ure C.3(b) is treated as a property of the EUI-48 rather
48		in MAC frames, and the EUI-48 octets are transmitted
49		normal data octets. The noncanonical format is illus-
50	trated in Figure C.5.	
51 52	The nonconcepted formation that the surfactory (anona that applications are the in and
52 53	The noncanonical format has the unfortunate conse containing a mixture of LAN types have to handle d	
54	environment in which the EUI-48 is to be used.	merent representations of EOT-40s, according to the

1			
2	General OUI	OUI = AC-DE-48	
3			
4	abcdefgh ijklmnop qrstuvwx	00110101 01111011 00010010 time	
5			
6		35 7B 12	
7		time	
8			
9	with MSB transmitted first, noncanonical format.		
10 11			
11	In Figure C 2 Figure C 3 Figure C 4 and Figure C $^{\prime}$	5, it can be seen that the interpretation of OUI bits as	
12		er applies only to all six octets (not just the OUI) of an	
13	EUI-48 placed in the MAC Information field of a frame by a protocol that uses the bit-reversed view of the		
15		ng, or possibly containing, such EUI-48s are described	
16	as having noncanonical format. Frames that cannot contain such EUI-48s are described as having canonical		
17	format.		
18			
19	Note that there is no way of knowing, from MAC la	yer information only, whether a particular frame is in	
20	canonical or noncanonical format. In general, this dep	ends on which higher layer protocols are present in the	
21	frame.		
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Annex D

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(informative)

List of IEEE 802 standards

This annex contains a list of approved IEEE 802 standards that was current when this standards was completed.

IEEE Std 802.1ABTM, IEEE Standard for Local and Metropolitan Area Networks-Station and Medium Access Control Connectivity Discovery.²⁰

IEEE Std 802.1ACTM, IEEE Standard for Local and Metropolitan Area Networks—Media Access Control (MAC) Service definition.

IEEE Std 802.1AETM, IEEE Standard for Local and Metropolitan Area Networks: Media Access Control (MAC) Security.

IEEE Std 802.1ARTM, IEEE Standard for Local and Metropolitan Area Networks—Secure Device Identity.

IEEE Std 802.1ASTM, IEEE Standard for Local and Metropolitan Area Networks—Timing and Synchronization for Time-Sensitive Applications in Bridged Local Area Networks.

IEEE Std 802.1AXTM, IEEE Standard for Local and Metropolitan Area Networks—Link Aggregation.

IEEE Std 802.1BATM, IEEE Standard for Local and Metropolitan Area Networks—Audio Video Bridging (AVB) Systems.

IEEE Std 802.1BRTM, IEEE Standard for Local and Metropolitan Area Networks—Virtual Bridged Local Area Networks - Bridge Port Extension

IEEE Std 802.1DTM, IEEE Standard for Local and Metropolitan Area Networks: Media Access Control (MAC) Bridges.

IEEE Std 802.1QTM, IEEE Standard for Local and Metropolitan Area Networks—Media Access Control (MAC) Bridges and Virtual Bridged Local Area Networks.

IEEE Std 802.1XTM, IEEE Standard for Local and Metropolitan Area Networks—Port-Based Network Access Control. 43

IEEE Std 802.3TM, IEEE Standard for Ethernet. 45

47 IEEE Std 802.3.1TM, IEEE Standard for Management Information Base (MIB) Definitions for Ethernet

49 IEEE Std 802.11TM, IEEE Standard for Information technology—Telecommunications and Information 50 Exchange Between Systems-Local and Metropolitan Area Networks-Specific Requirements-Part 11: 51 Wireless LAN Medium Access Control (MAC) and Physical Layer (PHY) Specifications. 52

54 Engineers, Incorporated.

⁵³ ²⁰The IEEE standards and products referred to in Annex D are trademarks owned by the Institute of Electrical and Electronics

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IEEE Std 802.15.1TM, IEEE Standard for Information technology—Telecommunications and information
 exchange between systems—Local and Metropolitan Area Networks—Specific requirements—Part 15.1:
 Wireless LAN medium access control (MAC) and physical layer (PHY) specifications for wireless personal
 area networks (WPANs).

IEEE Std 802.15.2TM, IEEE Recommended Practice for Information technology—Telecommunications and
 Information Exchange Between Systems—Local and Metropolitan Area Networks—Specific Require ments—Part 15.2: Coexistence of Wireless Personal Area Networks with Other Wireless Devices Operating
 in Unlicensed Frequency Bands.

- IEEE Std 802.15.3TM, IEEE Standard for Information technology—Telecommunications and Information
 Exchange Between Systems—Local and Metropolitan Area Networks—Specific Requirements—Part 15.3:
 Wireless Medium Access Control (MAC) and Physical Layer (PHY) Specifications for High Rate Wireless
 Personal Area Networks (WPANs).
- 16 IEEE Std 802.15.4TM, IEEE Standard for Local and Metropolitan Area Networks—Part 15.4: Low-Rate
 17 Wireless Personal Area Networks (LR-WPANs).

19 IEEE Std 802.15.5TM, IEEE Recommended Practice for Information technology—Telecommunications and
 20 information exchange between systems—Local and metropolitan area networks—Specific requirements—
 21 Part 15.5: Mesh Topology Capability in Wireless Personal Area Networks (WPANs).

- IEEE Std 802.15.7[™], IEEE Standard for Local and Metropolitan Area Networks—Part 15.7: Short-Range
 Wireless Optical Communication Using Visible Light.
- IEEE Std 802.16TM, IEEE Standard for Air Interface for Broadband Wireless Access Systems.
- IEEE Std 802.16.1TM, IEEE Standard for WirelessMAN-Advanced Air Interface for Broadband Wireless
 Access Systems.
- IEEE Std 802.16.2TM, IEEE Recommended Practice for Local and Metropolitan Area Networks -Coexist ence of Fixed Broadband Wireless Access Systems.
- IEEE Std 802.17[™], IEEE Standard for Information technology—Telecommunications and information
 exchange between systems—Local and Metropolitan Area Networks—Specific requirements—Part 17:
 Resilient packet ring (RPR) access method and physical layer.
- IEEE Std 802.20TM, IEEE Standard for Local and Metropolitan Area Networks—Part 20: Air Interface for
 Mobile Broadband Wireless Access Systems Supporting Vehicular Mobility—Physical and Media Access
 Control Layer Specification.
- IEEE Std 802.20.2TM, IEEE Standard for Conformance to IEEE 802.20 Systems—Protocol Implementation
 Conformance Statement (PICS) Proforma.
- IEEE Std 802.20.3TM, IEEE Standard for Minimum Performance Characteristics of IEEE 802.20 Terminals
 and Base Stations/Access Nodes
- IEEE Std 802.21TM, IEEE Standard for Local and Metropolitan Area Networks—Media Independent Han dover Services.
- 51 IEEE Std 802.22[™], IEEE Standard for Information Technology—Telecommunications and information
 52 exchange between systems Wireless Regional Area Networks (WRAN)—Specific requirements Part 22:
 53 Cognitive Wireless RAN Medium Access Control (MAC) and Physical Layer (PHY) Specifications: Policies and Procedures for Operation in the TV Bands