Draft Standard for
Information Technology - Telecommunications and information exchange between systems - Local and metropolitan area networks - Specific requirements - Resilient Packet Ring Access Method & Physical Layer Specifications

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Computer Society, LAN/MAN Standards Committee

Abstract: A set of protocols for transferring packets over physical and logical ring topologies is specified. The primary application area is for metropolitan area networks (MANs), but the protocols are applicable to other environments with full-duplex point-to-point cable connections.

Keywords: resilient ring protocol, MAN

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Introduction

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Proposals for
Resilient Packet Ring (RPR)

1. Overview

This document specifies the RPR MAC layer protocol for use with ring based media.

The primary requirements for RPR are as follows:

- Efficient use of bandwidth due to spatial reuse and minimal protocol overhead
- Support for 3 traffic priorities
- Scalability across a large number of stations attached to a ring
- "Plug and play" design without a software based station management transfer (SMT) protocol or ring master negotiation as seen in other ring based MAC protocols [1][2]
- Weighted Fairness among nodes using the ring (Each station can be assigned a proportion of the ring bandwidth).
- Support for ring based redundancy (error detection, ring wrap, etc.) similar to that found in SONET BLSR specifications.
- Independence of physical layer (layer 1) media type.

This document defines the terminology used with RPR, packet formats, the protocol format, protocol operation and associated protocol finite state machines.

1.1 Document scope and purpose

The following scope and purpose statements, as stated in the project PAR, apply to this standards activity.

Scope: Define a Resilient Packet Ring Access Protocol for use in Local, Metropolitan, and Wide Area Networks, along with appropriate Physical Layer specifications for transfer of data packets at rates scalable to multiple gigabits per second.

Purpose: The standard will define a very high-speed network protocol that is optimized for packet transmission in resilient ring topologies.
2. Terms and Taxonomy

2.1 Ring Terminology

RPR uses a bidirectional ring. This can be seen as two symmetric counter-rotating rings. Most of the protocol finite state machines (FSMs) are duplicated for the two rings.

The bidirectional ring allows for ring-wrapping in case of media or station failure, as in FDDI [1] or SONET/SDH [3]. The wrapping is controlled by the Intelligent Protection Switching (IPS) protocol.

To distinguish between the two rings, one is referred to as the “inner” ring, the other the “outer” ring. The RPR protocol operates by sending data traffic in one direction (known as “downstream”) and its corresponding control information in the opposite direction (known as “upstream”) on the opposite ring.

2.2 Spatial Reuse

Spatial Reuse is a concept used in rings to increase the overall aggregate bandwidth of the ring. This is possible because unicast traffic is only passed along ring spans between source and destination nodes rather than the whole ring as in earlier ring based protocols such as token ring and FDDI.

Figure 1 below outlines how spatial reuse works. In this example, node 1 is sending traffic to node 4, node 2 to node 3 and node 5 to node 6. Having the destination node strip unicast data from the ring allows other nodes on the ring who are downstream to have full access to the ring bandwidth. In the example given this means node 5 has full bandwidth access to node 6 while other traffic is being simultaneously transmitted on other parts of the ring.
2.3 Fairness

Since the ring is a shared media, some sort of access control is necessary to ensure fairness and to bound latency. Access control can be broken into two types which can operate in tandem:

Global access control - controls access so that everyone gets a fair share of the global bandwidth of the ring.

Local access control - grants additional access beyond that allocated globally to take advantage of segments of the ring that are less than fully utilized.

As an example of a case where both global and local access are required, refer again to Figure 1. Nodes 1, 2, and 5 will get 1/2 of the bandwidth on a global allocation basis. But from a local perspective, node 5 should be able to get all of the bandwidth since its bandwidth does not interfere with the fair shares of nodes 1 and 2.

2.4 Transit Buffer

To be able to detect when to transmit and receive packets from the ring, RPR makes use of a transit buffer as shown in Figure 2 below. Traffic will be separated into three priorities, High, Medium and Low. High priority will be placed into one fifo queue, and Medium and Low priority will utilize another.
3. RPR Overview

3.1 Receive Operation Overview

Receive Packets entering a node are copied to the receive buffer if a Destination Address (DA) match is made. If a DA matched packet is also a unicast, then the packet will be stripped. If a packet does not DA match or is a multicast and the packet does not Source Address (SA) match, then the packet is placed into the Transit Buffer (TB) for forwarding to the next node if the packet passes Time To Live and Cyclic Redundancy Check (CRC) tests.

3.2 Transmit Operation Overview

Data sent from the node is either forwarded data from the TB or transmit data originating from the node via the Tx Buffer. High priority forwarded data always gets sent first. High priority transmit data may be sent as long as the Low Priority Transit Buffer (LPTB) is not almost full. Medium Priority transmit traffic will be assigned a Committed Access Rate (CAR). Any traffic within the CAR is treated as if it is HP, and can be sent as long as the LPTB is not almost full. Medium Priority traffic above the CAR will be treated as LP traffic, and will be referred to as “excess” MP traffic, or eMP.

A set of usage counters monitor the rate at which Low Priority and excess Medium Priority transmit data are sent. This traffic may be sent as long as the usage counters does not exceed an allowed usage governed by the RPR-fa rules and the LPTB has not exceeded the low priority threshold.

3.3 RPR Fairness Algorithm (RPR-fa) Overview

The RPR-fa is a mechanism that enforces fairness among the nodes on the ring. It applies only to LP and eMP traffic coming from the MAC client. Each node is assigned a weight, which allows the user to allocate more ring bandwidth to certain nodes.

There are two possible implementations of RPR-fa. Basic RPR-fa is implemented completely in the MAC, and does not understand the ring topology. Multi-choke RPR-fa is an enhancement to Basic RPR-fa that utilizes topology information along with per-destination transmit queuing to increase ring utilization.

In Basic RPR-fa, if a node experiences congestion, it will advertise the value of its transmit usage counter to upstream nodes via the opposite ring. The usage counter is run through a low pass filter function and divided by the node’s weight. The low-pass filter stabilizes the feedback, and the division by weight normalizes the transmitted value to a weight of 1.0. When they receive an advertised usage value, upstream nodes will adjust their transmit rates so as not to exceed the advertised value (adjusted by their weights). Nodes also propagate the advertised value received to their immediate upstream neighbor. Nodes receiving advertised values who are also congested propagate the minimum of their normalized low pass filtered transmit usage and the received usage.

Multi-choke RPR-fa is an enhancement to RPR-fa that deals with the case where a node wants to send traffic to a destination that is closer than a congested link. As an example, consider the case where node 1 wants to send traffic to node 2, and the link between nodes 2 and 3 is congested. Basic RPR-fa will limit node 1’s traffic, even though the congestion point is further away than the destination. Multi-Choke RPR-fa will allow node 1 to send as much traffic as it wants to node 2, and will only limit traffic to nodes beyond the congested link.

In Multi-choke RPR-fa each node will track advertised usage values for n congested nodes, where n is adjustable from 1 to 4. A node is allowed to send unlimited traffic to any node between itself and the first congested node (choke point). It can send traffic to nodes between the first and second choke point based on
the first choke point’s advertised usage value. In general, a node can send traffic to a particular destination if it has satisfied the usage conditions for all choke points between itself and the destination.

Congestion is detected when the depth of the low priority transit buffer reaches a congestion threshold.

Usage messages are generated periodically and also act as keepalives informing the upstream station that a valid data link exists.

### 3.3.1 Inter operability between single/dual transit buffer fairness schemes

If a ring consists of mixed RPR nodes (single and dual transit buffer nodes), their fairness schemes will need to interact. This will require a global congestion message format.

The RPR node can easily interact with a non-RPR single transit buffer node. Upon receiving a foreign congestion message the RPR node will cut its allowed usage by a pre-determined percentage, and then forward the message upstream. Over time, the RPR node will increase its allowed usage back to its pre-determined maximum value.

Also, when in a mixed ring, the RPR nodes should be rate limited on their outputs to a predetermined percentage of line rate. This will be done by inserting gaps between packets on the output line. This is needed because small single transit buffers require idle cycles in the traffic stream to ensure that they empty occasionally. In this type of implementation if the transit buffer does not empty, no transmit traffic will be sent. The large dual transit buffers in an RPR node can burst at line rate for long periods of time, which might starve a neighboring single transit buffer node.

### 3.3.2 MAC client interface considerations

An RPR node will have 3 software configurable rate shapers that control access from the MAC client. There is one shaper each for HP, MP, and LP traffic. The shapers are simple token buckets, and if a bucket empties the RPR node communicates with the MAC client on 3 pins: STOP_HIGH, STOP_MED and STOP_LOW. If the client ignores the pins and sends the traffic anyway, the RPR node will not schedule the client until the token bucket has a token in it.

The MAC-client interface also includes special information in Multi-choke RPR-fa. In this mode, the MAC will pass choke point information to the MAC client. The information will include the MAC address and normalized usage value for any congested nodes. The information will come directly from the received usage messages.

### 3.4 Intelligent Protection Switching (IPS) Protocol Overview

Resiliency is one of RPR objectives. The goal is to provide protection within 50ms in case of ring or node failure. There are two known mechanisms: wrapping and steering. IPS will support both mechanisms.

During the topology discovery, every node will indicate if it supports wrap protection or not. If it is a homogenous network where all nodes are able to wrap, IPS will use the wrap protection scheme. Otherwise, IPS will use the steering protection scheme.

#### 3.4.1 Wrap Protection

An RPR Ring is composed of two counter-rotating, single fiber rings. If an equipment or fiber facility failure is detected, traffic going towards and from the failure direction is wrapped (looped) back to go in the opposite direction on the other ring (subject to the protection hierarchy). Wrapping takes place on the nodes adja-
sent to the failure, under control of the IPS protocol. The wrap re-routes the traffic away from the failed span.

An example of the data paths taken before and after a wrap are shown in Figure 3 and Figure 4. Before the fiber cut, N4 sends to N1 via the path N4->N5->N6->N1.

If there is a fiber cut between N5 and N6, N5 and N6 will wrap the inner ring traffic to the outer ring. After the wraps have been set up, traffic from N4 to N1 initially goes through the non-optimal path N4->N5->N4->N3->N2->N1->N6->N1.

Subsequently a new ring topology is discovered and a new optimal path N4->N3->N2-N1 is used, as shown in Figure 5. Note that the topology discovery and the subsequent optimal path selection are not part of the IPS protocol.

The ring wrap is controlled through SONET BLSR [3][4] style IPS signaling. It is an objective to perform the wrapping as fast as in the SONET equipment or faster.

The IPS protocol processes the following request types (in the order of priority, from highest to lowest):

1. Forced Switch (FS): operator originated, performs a protection switch on a requested span (wraps at both ends of the span)
2. **Signal Fail (SF):** automatic, caused by a media Signal Failure or RPR keep-alive failure - performs a protection switch on a requested span

3. **Signal Degrade (SD):** automatic, caused by a media Signal Degrade (e.g. excessive Bit Error Rate) - performs a protection switch on a requested span

4. **Manual Switch (MS):** operator originated, like Forced Switched but of a lower priority

5. **Wait to Restore (WTR):** automatic, entered after the working channel meets the restoration criteria after SF or SD condition disappears. IPS waits WTR period before restoring traffic in order to prevent protection switch oscillations
If a protection (either automatic or operator originated) is requested for a given span, the node on which the protection has been requested issues a protection request to the node on the other end of the span using both the short path (over the failed span, as the failure may be unidirectional) and the long path (around the ring).

As the protection requests travel around the ring, the protection hierarchy is applied. If the requested protection switch is of the highest priority (e.g., Signal Fail request is of higher priority than the Signal Degrade) then this protection switch takes place and the lower priority switches elsewhere in the ring are taken down, as appropriate. When a lower priority request is presented, it is not allowed if a higher priority request is present in the ring. The only exception is multiple SF and FS switches, which can coexist in the ring.

All protection switches are performed bidirectionally (wraps at both ends of a span for both transmit and receive directions, even if a failure is only unidirectional).

### 3.4.2 Steering Protection

Steering protection will not wrap the failed span. An IPS request message will be sent to every node to indicate there is a fiber cut just like in the wrap protection scheme. When nodes receive the IPS request message indicating the failure, the topology will be updated accordingly.

Packets that have been transmitted onto the ring that are destined to a node beyond the point of failure before the topology is updated at the source node will be dropped at the failure point since there is no delivery mechanism available.
4. Packet Formats

This section describes the packet formats used by RPR. Packets can be sent over any point to point link layer (e.g. SONET/SDH, point to point ETHERNET connections). The maximum transfer unit (MTU) is 9216 octets. The minimum transfer unit for data packets is 42 octets.

These limits include everything listed in Figure 6 but are exclusive of the frame delineation (e.g. for RPR over SONET/SDH, the flags used for frame delineation are not included in the size limits).

The following packet format does not include any layer 1 frame delineation. For RPR over POS, there will be an additional flag that delineates start and end of frame.

4.1 Overall Packet Format

The overall packet format is show below in Figure 6:

```
        15 14 13 12 11 10  9  8  7  6  5  4  3  2  1  0

RPR Header
   HEC

47 Destination Address
   0

47 Source Address
   0

Protocol Type

32 (Optional) Customer Separation ID
   0

PAYLOAD

31 FCS
   0
```

Figure 6—Overall Packet Format

4.1.1 Destination Address

The destination address is a globally unique 48 bit address assigned by the IEEE.

4.1.2 Source Address

The source address is a globally unique 48 bit address assigned by the IEEE.
4.1.3 Protocol Type

The protocol type is a two octet field like that used in EtherType representation. Current defined values relevant to RPR are defined in Table 2:

<table>
<thead>
<tr>
<th>Value</th>
<th>Protocol Type</th>
</tr>
</thead>
<tbody>
<tr>
<td>0x2007</td>
<td>RPR Control</td>
</tr>
<tr>
<td>0x0800</td>
<td>IP version 4</td>
</tr>
<tr>
<td>0x0806</td>
<td>ARP</td>
</tr>
</tbody>
</table>

4.1.4 Customer Separation ID

This is an optional field. It can be used to differentiate customer data flows.

4.1.5 HEC Field

This is a 16 bit HEC calculated on the first 16 bits.

4.1.6 FCS

The frame check sequence (FCS) is a 32-bit cyclic redundancy check (CRC) as specified in RFC-1662 and is the same CRC as used in Packet Over SONET (POS - specified in RFC-2615). The generator polynomial is:

CRC-32 = x^32 + x^26 + x^23 + x^22 + x^16 + x^12 + x^11 + x^10 + x^8 + x^7 + x^5 + x^4 + x^2 + x + 1

The FCS is computed over the destination address, source address, protocol type, optional CID and payload. It does not include the RPR header.

Note that the packet format after the RPR header is identical to Ethernet Version 2.
4.2 Generic Packet Header Format

Each packet has a fixed-sized header. The packet header format is shown in Figure 7.

<table>
<thead>
<tr>
<th>15</th>
<th>14</th>
<th>13</th>
<th>12</th>
<th>11</th>
<th>10</th>
<th>9</th>
<th>8</th>
<th>7</th>
<th>6</th>
<th>5</th>
<th>4</th>
<th>3</th>
<th>2</th>
<th>1</th>
<th>0</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>TTL</td>
<td>Mode(0x7)</td>
<td>W</td>
<td>Pri</td>
<td>S</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Figure 7—Detailed Packet Header Format**

The fields are described below:

4.2.1 Time To Live (TTL)

This 8 bit field is a hop-count that must be decremented every time a node forwards a packet. If the TTL reaches zero it is stripped off the ring. This allows for a total node space of 256 nodes on a ring. However, due to certain failure conditions (e.g. when the ring is wrapped) the total number of nodes that are supported by RPR is 128. When a packet is first sent onto the ring the TTL should be set to at least twice the total number of nodes on the ring.

4.2.2 Mode Field

This three bit field is used to identify the mode of the packet. The following modes are defined in Table 1:

<table>
<thead>
<tr>
<th>Value (bin)</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>000</td>
<td>Reserved</td>
</tr>
<tr>
<td>001</td>
<td>Reserved</td>
</tr>
<tr>
<td>010</td>
<td>Reserved</td>
</tr>
<tr>
<td>011</td>
<td>Reserved</td>
</tr>
<tr>
<td>100</td>
<td>IPS Packet</td>
</tr>
<tr>
<td>101</td>
<td>Topology Discovery</td>
</tr>
<tr>
<td>110</td>
<td>Usage Packet</td>
</tr>
</tbody>
</table>
These modes will be further explained in later sections.

4.2.3 Wrap Bit

This bit indicates that the packet has been wrapped when it is set to 1.

4.2.4 Priority Field (PRI)

This three bit field indicates the priority level of the RPR packet (0 through 7). The higher the value the higher the priority. Since there are only two queues in the transit buffer (HPTB and LPTB) a packet is treated as either low or high priority once it is on the ring. Each node determines the threshold value for determining what is considered a high priority packet and what is considered a low priority packet. However, the full 8 levels of priority in the RPR header can be used prior to transmission onto the ring (transmit queues) as well as after reception from the ring (receive queues).

4.2.5 Steer Bit (P-bit)

The Steer bit indicates that this packet should not be wrapped.

Table 1—Mode Values

<table>
<thead>
<tr>
<th>Value (bin)</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>111</td>
<td>Data Packet</td>
</tr>
</tbody>
</table>

4.3 RPR Usage Packet Format

RPR usage packets are sent out periodically to propagate allowed usage information to upstream nodes. RPR usage packets also perform a keepalive function. RPR usage packets should be sent approximately every 106 usec.

If a receive interface has not seen a usage packet within the keepalive time-out interval it will trigger an L2 keepalive time-out interrupt/event. The IPS software will subsequently mark that interface as faulty and initiate a protection switch around that interface. The keepalive time-out interval should be set to 16 times the RPR usage packet transmission interval.

Figure 8—Usage Packet Format

<table>
<thead>
<tr>
<th>15</th>
<th>14</th>
<th>13</th>
<th>12</th>
<th>11</th>
<th>10</th>
<th>9</th>
<th>8</th>
<th>7</th>
<th>6</th>
<th>5</th>
<th>4</th>
<th>3</th>
<th>2</th>
<th>1</th>
<th>0</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Time To Live</td>
<td>Mode(0x6)</td>
<td>W</td>
<td>Pri</td>
<td>S</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>----</td>
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<td></td>
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<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>47</td>
<td>Source Address</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>15</td>
<td>Reserved Message</td>
<td>0</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>15</td>
<td>Usage</td>
<td>0</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>31</td>
<td>FCS</td>
<td>0</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

A USAGE of all ones indicates a value of NULL.
4.4 RPR Control Packet Format

If the MODE bits are set to 10X (RPR control) then this indicates a control message. Control messages are always received and stripped by the adjacent node. They are by definition unicast, and do not need any addressing information. The destination address field for control packets should be set to 0’s. The source address field for a control packet should be set to the source address of the transmitting node.

Two types of controls messages are defined: Pass to host and Locally buffered. Pass to host messages can be passed to the host software by whatever means is convenient. This is most often the same path used to transfer data packets to the host. Locally buffered control messages are usually reserved for protection messages. These are normally buffered locally in order to not contend for resources with data packets. The actual method of handling these messages is up to the implementor.

The control packet format is shown in Figure 9.

The priority (PRI) value should be set to 0x7 (all one’s) when sending control packets and should be queued to the highest priority transmit queue available. The Time to Live is not relevant since all packets will be received and stripped by the nearest downstream neighbor and can be set to any value (preferably this should be set to 001).

4.4.1 Control Ver

This one octet field is the version number associated with the control type field. Initially, all control types will be version 0.
4.4.2 Control Type

This one octet field represents the control message type. Table 3 contains the currently defined control types.

<table>
<thead>
<tr>
<th>Control Type</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>0x01</td>
<td>Topology Discovery</td>
</tr>
<tr>
<td>0x02</td>
<td>IPS message</td>
</tr>
<tr>
<td>0x03</td>
<td>Ring ID Discovery message</td>
</tr>
<tr>
<td>0x04 - 0xFF</td>
<td>Reserved</td>
</tr>
</tbody>
</table>

Table 3—Control Types

4.4.3 Control TTL

The Control TTL is a control layer hop-count that must be decremented every time a node forwards a control packet. If a node receives a control packet with a control TTL <= 1, then it should accept the packet but not forward it.

Note that the control layer hop count is separate from the RPR L2 TTL which is always set to 1 for control messages.

The originator of the control message should set the initial value of the control TTL to the RPR L2 TTL normally used for data packets.

4.4.4 Control Checksum

The checksum field is the 16 bit one’s complement of the one’s complement sum of all 16 bit words starting with the control version. If there are an odd number of octets to be checksummed, the last octet is padded on the right with zeros to form a 16 bit word for checksum purposes. The pad is not transmitted as part of the segment. While computing the checksum, the checksum field itself is replaced with zeros. This is the same checksum algorithm as that used for TCP. The checksum does not cover the 32 bit RPR FCS.

4.4.5 Payload

The payload is a variable length field dependent on the control type.

4.4.6 Addressing

All nodes must have a globally unique IEEE 48 bit MAC address. A multicast bit is defined using canonical addressing conventions i.e. the multicast bit is the least significant bit of the most significant octet in the destination address. It is acceptable but not advisable to change a node’s MAC address to one that is known to
be unique within the administrative layer 2 domain (that is the RPR ring itself along with any networks connected to the RPR ring via a layer 2 transparent bridge).

![Multicast Bit Position](image)

Note that for SONET media, the network order is MSB of each octet first, so that as viewed on the line, the multicast bit will be the 8th bit of the destination address sent. (For RPR on Ethernet media, the multicast bit would be sent first).

4.5 Ring Identifier Discovery

The Ring Identifier is used to distinguish the inner/outer ring in RPR control protocol like topology discovery. This Ring Identifier can be predefined for the inner/outer rings. However, that requires the proper cabling attention. Otherwise the ring will not operate properly if the Ring Identifier is not consistent between nodes within the same ring.

The Ring Identifier discovery mechanism is used to automatically discover the Ring Identifier while a node is joining the ring.

4.5.1 Ring Identifier Discovery State

There are four states in the Ring Identifier discovery phase.

4.5.1.1 Init Mode

This is the initialization state. A Ring Identifier query packet will be sent to the ring and the node will wait for the response.

4.5.1.2 Active Query Mode

After the node sends out the Ring Identifier query, it will stay in this mode and wait for the response.

4.5.1.3 Passive Listen Mode

If a node receives a Ring Identifier query with a smaller SA before receiving its query response, the node will enter into this mode. Because there is a node with a higher privilege querying the Ring Identifier.

4.5.1.4 Discovered Mode

The global Ring Identifier is assigned to the ring. The node has gotten the Ring Identifier.

4.5.2 Ring ID Discovery Rule

R.I.1

While a node is coming up, it assigns a local Ring ID to its MAC and inverse to its Mate
R.I.2

A Ring ID query will be sent to the ring right after a RPR node joins the ring

R.I.3

A node in the Discovered Mode will respond the Ring ID query by providing its Ring ID

R.I.4

If there is not a node in the Discovered Mode, the Ring ID assignment of the node with the smallest MAC address will overwrite others

R.I.5

A node in Active Query Mode will compare the original SA in Ring ID query packet with its MAC address—

- If node’s MAC address is bigger than the SA, the query packet will be passed to the downstream node
- If node’s MAC address is smaller than the SA, the query packet will be dropped

R.I.6

If the Ring ID query packet is circulated around the whole ring and received back by the original node from the same ring, this node must have the smallest MAC address. Hence, it will assign the global Ring ID.

4.5.3 Ring ID Discovery State Transition

- Init Mode
  - Send the Ring ID query packet into ring
  - Enter Active Query Mode
  - Receive the Ring ID response
    - Set the Ring ID based on the Ring ID response
    - Enter Discovered Mode

- Active Query Mode
  - Receiving other Ring ID query packet with a larger MAC address
    - Drop the packet
  - Receiving other Ring ID query packet with a smaller MAC address
    - Forward the packet
    - Enter Passive Listen Mode
  - Receiving the Ring ID response
    - Set the Ring ID based on the Ring ID response
    - Enter Discovered Mode
  - Receiving the original Ring ID query
    - Set the Ring ID
    - Broadcast the Ring ID response to both ring
    - Enter Discovered Mode
  - Timeout
    - Enter Init Mode
— Passive Listen Mode
  — Receiving the original Ring ID query
  — Enter Init Mode
  — Receiving the Ring ID response
  — Set the Ring ID
  — Enter the Discovered Mode
  — Timeout
  — Enter Init Mode

— Discovered Mode
  — Receiving a Ring ID query
  — Sent a Ring ID response
  — Receiving an unmatched Ring ID response
  — Enter the Init Mode

Figure 11—Ring ID Discovery State Transition Diagram
4.5.4 Ring ID Discovery Packet Format

<table>
<thead>
<tr>
<th>Bit</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
<td>Query(0)/Response(1)</td>
</tr>
<tr>
<td>1</td>
<td>Ring ID (1 or 0)</td>
</tr>
<tr>
<td>2-7</td>
<td>Reserved</td>
</tr>
</tbody>
</table>

4.5.5 Ring ID Octet

The Ring ID octet contains the Ring ID discovery packet type information. The format of the Ring ID octet is as follows:

This 8 bit field is encoded as follows:

<table>
<thead>
<tr>
<th>Bit</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
<td>Query(0)/Response(1)</td>
</tr>
<tr>
<td>1</td>
<td>Ring ID (1 or 0)</td>
</tr>
<tr>
<td>2-7</td>
<td>Reserved</td>
</tr>
</tbody>
</table>

4.6 Topology Discovery

Each node performs a topology discovery by sending out topology discovery packets on one or both rings. The node originating a topology packet marks the packet with the egressing ring id, appends the node’s mac binding to the packet and sets the length field in the packet before sending. This packet is a point-to-point packet which hops around the ring from node to node. Each node appends its mac address binding, updates the length field and sends it to the next hop on the ring. If there is a wrap on the ring, the wrapped node will
indicate a wrap when appending its mac binding and then wrap the packet. When the topology packets travel on the wrapped section with the ring identifier being different from that of the topology packet itself, the mac address bindings are not added to the packet.

Eventually the node that generated the topology discovery packet gets back the packet. The node makes sure that the packet has the same ingress and egress ring id before accepting the packet. A topology map is changed only after receiving two topology packets which indicate the same new topology (to prevent topology changes on transient conditions).

Besides periodical topology discovery, the topology could be updated accordingly whenever an IPS request message is received or a fiber failure is detected by local node.

Note that the topology map only contains the reachable nodes. It does not correspond to the failure-free ring in case of wraps and ring segmentations.

Note that the Source address should be set to the source address of the TRANSMITTING node (which is not necessarily the ORIGINATING node).

![Topology Packet Format](image)
4.6.1 Topology Length

This two octet field represents the length of the topology message in octets starting with the first MAC Type/MAC Address binding.

4.6.2 Topology Originator

A topology discovery packet is determined to have been originated by a node if the originator’s globally unique MAC address of the packet is that node’s globally unique MAC address (assigned by the IEEE).

Because the mac addresses could be changed at a node, the IEEE MAC address ensures that a unique identifier is used to determine that the topology packet has gone around the ring and is to be consumed.

4.6.3 MAC bindings

Each MAC binding shall consist of a MAC Type field followed by the node’s 48 bit MAC address. The first MAC binding shall be the MAC binding of the originator. Usually the originator’s MAC address will be it’s globally unique MAC Address but some implementations may allow this value to be overridden by the network administrator.

4.6.4 MAC Type Format

This 8 bit field is encoded as follows:

<table>
<thead>
<tr>
<th>Bit</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
<td>Reserved</td>
</tr>
<tr>
<td>1</td>
<td>Ring ID (1 or 0)</td>
</tr>
<tr>
<td>2</td>
<td>Wrapped Node (1) / Unwrapped Node (0)</td>
</tr>
<tr>
<td>3</td>
<td>Wrap Protection (1) / Steer Protection(0)</td>
</tr>
<tr>
<td>4-7</td>
<td>Reserved</td>
</tr>
</tbody>
</table>

Table 5—MAC Type Format

Determination of whether a packet’s egress and ingress Ring ID’s are a match should be done by using the Ring ID found in the MAC Type field of the last MAC binding as the ingress Ring ID.

The topology information is not required for the IPS protection mechanism. This information can be used to calculate the number of nodes in the ring as well as to calculate hop distances to nodes to determine the shortest path to a node (since there are two counter-rotating rings).

The implementation of the topology discovery mechanism could be a periodic activity or on “a need to discover” basis. In the periodic implementation, each node generates the topology packet periodically and uses the cached topology map until it gets a new one. In the need to discover implementation, each node generates a topology discovery packet whenever they need one e.g., on first entering a ring or detecting a wrap.
4.7 Intelligent Protection Switching (IPS)

IPS is a method for automatically recovering from various ring failures and line degradation scenarios. The IPS packet format is outlined in Figure 14 below.

![Figure 14—IPS Packet Format](image)

(Note: originator MAC address is removed from the IPS packet since it is the same as source address if the IPS message is in multicast format)

The IPS specific fields are detailed below.

4.7.1 Destination MAC Address

The Destination MAC address is a pre-registered multicast address for IPS packets. Therefore the transmission delay for IPS packet can be minimized.

4.7.2 Source MAC Address

This is the MAC address of the originator of the IPS message.

4.7.3 IPS Octet

The IPS octet contains specific protection information. The format of the IPS octet is as follows:

<table>
<thead>
<tr>
<th>Bit</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>0-3</td>
<td><strong>IPS Request Type</strong></td>
</tr>
<tr>
<td></td>
<td>1101 - Forced Switch (FS)</td>
</tr>
<tr>
<td></td>
<td>1011 - Signal Fail (SF)</td>
</tr>
<tr>
<td></td>
<td>1000 - Signal Degrade (SD)</td>
</tr>
<tr>
<td></td>
<td>0110 - Manual Switch (MS)</td>
</tr>
<tr>
<td></td>
<td>0101 - Wait to Restore (WTR)</td>
</tr>
<tr>
<td></td>
<td>0000 - No Request (IDLE)</td>
</tr>
</tbody>
</table>
### Table 6—IPS Octet Format

<table>
<thead>
<tr>
<th>Bit</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>4</td>
<td><strong>Path Indicator</strong></td>
</tr>
<tr>
<td></td>
<td>0 - Short (S)</td>
</tr>
<tr>
<td></td>
<td>1 - Long (L)</td>
</tr>
<tr>
<td>5-7</td>
<td><strong>Status Code</strong></td>
</tr>
<tr>
<td></td>
<td>010 - Protection Switch Completed - Traffic Wrapped (W)</td>
</tr>
<tr>
<td></td>
<td>000 - Idle</td>
</tr>
</tbody>
</table>

The currently defined request types with values, hierarchy and interpretation are as used in SONET BLSR [3], [4], except as noted.

#### 4.8 Circulating packet detection (stripping)

Packets continue to circulate when transmitted packets fail to get stripped. Unicast packets are normally stripped by the destination station or by the source station if the destination station has failed. Multicast packets are only stripped by the source station. If both the source and destination stations drop out of the ring while a unicast packet is in flight, or if the source node drops out while its multicast packet is in flight, the packet will rotate around the ring continuously.

The solution to this problem is to have a TTL or Time To Live field in each packet that is set to at least twice the number of nodes in the ring. As each node forwards the packet, it decrements the TTL. If the TTL reaches zero it is stripped off of the ring.

The Wrap bit is used to qualify all stripping and receive decisions. This is necessary to handle the case where packets are being wrapped by some node in the ring. The sending node may see its packet on the reverse ring prior to reaching its destination so must not source strip it. The exception is if a node is in wrap. Logically, a node in wrap “sees” the packet on both rings. However the usual implementation is to receive the packet on one ring and to transmit it on the other ring. Therefore, a node that is in the wrap state reversed the Wrap bit when making stripping and receiving decisions.

A potential optimization would be to allow Wrap bit independent destination stripping of unicast packets. One problem with this is that packets may be delivered out of order during a transition to a wrap condition. For this reason, the Wrap bit should always be used as a qualifier for all strip and receive decisions.
5. Packet acceptance and stripping

A series of decisions based on the type of packet (mode), source and destination addresses are made on the MAC incoming packets. Packets can either be control or data packets. Control packets are stripped once the information is extracted. The source and destination addresses are checked in the case of data packets. The rules for reception and stripping are given below as well as in the flow chart in Figure 15.

1. Decrement TTL on receipt of a packet, discard if it gets to zero; do not forward.
2. Strip unicast packets at the destination station. Accept and strip “control” packets.
3. Do not process packets other than for TTL and forwarding if the Wrap bit is set for the direction in which they are received unless the node is in wrap. If the node is in wrap then the Wrap bit will be inverted.
4. Do not process packets other than for TTL and forwarding if the mode is not supported by the node (e.g. reserved modes).
5. Packets accepted by the host because of the destination address should be discarded at the upper level if there is CRC error.
6. Control messages are point to point between neighbors and should always be accepted and stripped.
7. Packets whose source address is that of the receiving station and whose Wrap bit is clear must be stripped. If a node is in wrap then ignore Wrap bit.

![Figure 15—RPR Receive Flowchart](image-url)
Notes: Host is responsible for discarding CRC errored packets. Conditionals (if statements) branch to the right if true and branch down if false.

5.1 Transmission and forwarding with priority

A node can transmit six types of packets:

1. High priority packets from the high priority transit buffer.
2. Medium priority packets from the low priority transit buffer.
3. Low priority packets from the low priority transit buffer.
4. High priority packets from the host Tx high priority fifo.
5. Medium priority packets from the host Tx medium priority fifo.
6. Low priority packets from the host Tx low priority fifo.

High priority packets from the transit buffer are always sent first. High priority packets from the host are sent as long as the low priority transit buffer is not almost full. Medium priority packets from the host are sent if the medium priority traffic has not exceeded its CAR and the low priority transit buffer is not almost full. The buffer is determined to be “almost” full if it surpasses a software controlled high priority threshold value.

Excess Medium priority host traffic and low priority host traffic is sent if the low priority transit buffer has not crossed the low priority threshold and the RPR-fa rules allow it (my_usage < allowed_usage).
If nothing else can be sent, low priority packets from the low priority transit buffer are sent. This decision tree is shown in Figure 16.

5.2 Wrapping of Data

Normally, transmitted data is sent on the same ring to the downstream neighbor. However, if a node is in the wrapped state, transmitted data is sent on the opposite ring to the upstream neighbor.
6. Fairness Algorithms

6.1 Basic RPR-fa Rules Of Operation

The RPR-fa governs access to the ring. The RPR-fa only applies to Low priority and excess Medium priority (eMP) traffic. High priority and “within CAR” Medium priority traffic does not follow RPR-fa rules and may be transmitted at any time as long as there is sufficient low priority transit buffer space.

The RPR-fa requires three counters which control the traffic forwarded and sourced on the RPR ring. The counters are my_usage (tracks the amount of LP and eMP traffic sourced on the ring), forward_rate (amount of LP and MP traffic forwarded on to the ring from the LP transit buffer) and allowed_usage (the current maximum transmit usage for that node).

With no congestion, all nodes increment allowed_usage periodically. The maximum value for allowed_usage is max_usage. Max_usage is a per node parameter that limits the maximum amount of LP/eMP traffic that a node can send.

When a node sees congestion it starts to advertise a normalized usage value to upstream nodes. The value (nlp_my_usage) is obtained by passing my_usage through a low pass filter and then dividing by its weight. In this way, the usage values passed on the links are always normalized to a weight of 1.0. Congestion is observed when the LP transit buffer depth crosses a threshold.

A node that receives a non-null usage message (rcvd_usage) will set its allowed_usage to the rcvd_usage value multiplied by its weight. This allows a node with a weight of N to utilize N times as much bandwidth as a node with a weight of 1.0. If the source of the rcvd_usage is the same node that received it then the rcvd_usage shall be treated as a null value. When comparing the rcvd_usage source address on the links are always normalized to a weight of 1.0. Congestion is observed when the LP transit buffer depth crosses a threshold.

Nodes that are congested and that receive a non-null rcvd_usage generally propagate rcvd_usage to their upstream neighbor else propagate a null value of usage (all 1’s). An exception occurs when an opportunity for local reuse is detected. The node compares its forward_rate (low pass filtered) to allowed_usage divided by its weight. If the forward_rate is less than the normalized allowed_usage, then a null value is propagated to the upstream neighbor instead of the rcvd_usage.

Nodes that are congested propagate the smaller of normalized lp_my_usage and rcvd_usage.

Convergence is dependent upon number of nodes and distance. Simulation has shown simulation convergence within 100 msec for rings of several hundred miles.

6.2 Multi-Choke RPR-fa

Multi-Choke RPR-fa uses the same algorithms for deciding fairness as Basic RPR-fa. The difference is that it keeps track of 1-4 congestion locations (choke points), and uses this information to increase ring utilization and spacial reuse.

Multi-Choke RPR-fa requires access to topology information as well as per destination queuing in the MAC client. This will allow the MAC client to determine which destinations are located before the first choke point, which are between the first 2 choke points, etc.

The standard RPR-fa counters are kept for each destination queue. When a decision needs to be made for a choke point, the total of the my_usage values for all of the queues after the choke point are used as a
total_my_usage value. This value is normalized and compared to the rcvd_usage value associated with the choke point, as in the basic RPR-fa algorithm. To determine if a source node can send to a destination node, this calculation must be done (and satisfied) for each choke point until the destination. Also the total usage of all queues must be compared against max_usage.

As an example, imagine that there are 3 choke points at nodes 2, 4, and 6. Node 1 wants to send to node 5. The algorithm will check the total usage for destination nodes 3, 4, 5, ... against the rcvd_usage for the choke point at 2. If that test passes, it will check the total usage for destination nodes 5, 6, ... against the rcvd_usage value for the choke point at node 4. Then a final check of the total usage for all nodes against max_usage will be performed. If all tests pass then node 1 is allowed to send to node 5.

The benefit is obvious. Using Basic RPR-fa, all traffic is limited using rcvd_usage. If a node is trying to send to its neighbor, and the congestion point is a few nodes away, this traffic will be penalized without reason. With Multi-Choke RPR-fa, though, this traffic will continue, and the link utilization before the choke point will increase.

### 6.3 RPR-fa pseudo-code

A more precise definition of the fairness algorithm is shown below.

**Variables:**

- `lo_tb_depth`  low priority transit buffer depth
- `my_usage` count of LP and eMP octets transmitted by host
- `lp_my_usage` my_usage run through a low pass filter
- `nlp_my_usage` `lp_my_usage` / WEIGHT
- `my_usage_ok` flag indicating that host is allowed to transmit
- `allowed_usage` the fair amount each node is allowed to transmit
- `forward_rate` count of octets forwarded from the LP transit buffer
- `lp_forward_rate` forward_rate run through low pass filter
- `congestednode` cannot transmit host traffic without the TB buffer filling beyond its congestion threshold point.
- `rcvd_usage` the usage value received from the downstream neighbor
- `rev_usage` the usage value passed along to the upstream neighbor

**Constants:**

- `WEIGHT` = configurable weight for this node
- `MAX_ALLOWANCE` = configurable value for max allowed usage for this node
- `DECAY_INTERVAL` = 8000 octet times @ OC-12, 32,000 octet times @ OC-48
- `AGECOEFF` = 4 // Aging coeff for my_usage and fwd_rate
- `LP_FWD` = 64 // Low pass filter for fwd_rate
- `LP_MU` = 512 // Low pass filter for my_usage
- `LP_ALLOW` = 64 // LP filter for allow usage auto increment
- `NULL_RCVD_INFO` = All 1’s in rcvd_usage field
- `TB_LO_THRESHOLD` // TB depth at which no more LP host traffic can be sent
MAX_LRATE = AGECOEFF * DECAY_INTERVAL = 512,000 for OC-192
128,000 for OC-48
32,000 for OC-12

THESE ARE UPDATED EVERY CLOCK CYCLE:

my_usage is incremented by 1 for every LP/eMP octet that is transmitted by the host (does not include data transmitted from the Transit Buffer).

forward_rate is incremented by 1 for every octet that enters the LP Transit Buffer

if (((my_usage < allowed_usage) && !(lo_tb_depth > 0) && (forward_rate < my_usage)) && (my_usage < MAX_ALLOWANCE)) {
    my_usage_ok = true;  // true means OK to send host packets
}

UPDATED WHEN USAGE_PKT IS RECEIVED:

if (usage_pkt.SA == my_SA) && [(usage_pkt.RI == my_RingID) || (node_state == wrapped)] {
    rcvd_usage = NULL_RCVD_INFO;
} else {
    rcvd_usage = usage_pkt.usage;
}

THE FOLLOWING IS CALCULATED EVERY DECAY INTERVAL:

congested = (lo_tb_depth > TB_LO_THRESHOLD/2)
lp_my_usage = ((LP_MU-1) * lp_my_usage + my_usage) / LP_MU
nlp_my_usage = lp_my_usage / WEIGHT

my_usage is decremented by min(allow_usage/AGECOEFF, my_usage/AGECOEFF)

lp_fwd_rate = ((LP_FWD-1) * lp_forward_rate + forward_rate) / LP_FWD
fwd_rate is decremented by forward_rate/AGECOEFF
(Note: lp values calculated prior to decrement of non-lp values).

if (rcvd_usage != NULL_RCVD_INFO) {
    allowed_usage = (rcvd_usage*WEIGHT);
} else {
    allowed_usage += (MAX_LRATE - allowed_usage) / (LP_ALLOW);
}

if (congested) {
    if (nlp_my_usage < rcvd_usage) {
        rev_usage = nlp_my_usage;
    } else {
        rev_usage = rcvd_usage;
    }
} else if ((rcvd_usage != NULL_RCVD_INFO) && (lp_forward_rate > (allowed_usage/WEIGHT))) {
    rev_usage = rcvd_usage;
} else {
    rev_usage = NULL_RCVD_INFO
}

if (rev_usage > MAX_LRATE) {
    rev_usage = NULL_RCVD_INFO;
6.4 Threshold settings

The high priority transit buffer needs to hold 2 to 3 MTUs or about 30KB.

The adequate sizing of the low priority transit buffer and associated high and low threshold values (TB_HI_THRESHOLD, TB_LO_THRESHOLD) depends on the ring size and traffic profile of the ring. According to simulation results, for 100km rings 256KB is adequate. For 1000km rings 512KB and for 3000km rings 1MB of low priority transit buffer are recommended.

The goal of setting the appropriate threshold values is to deliver best possible end-to-end delay for the low priority traffic without penalizing the high priority traffic and to avoid overflow of the low priority transit buffer.

The following guidelines can be used to determine the proper threshold values:

- **TB_LO_THRESHOLD** should be set to about 25% of the total buffer available. Lower values will result in higher end-to-end delays for low priority data packets. If either low or high priority data traffic is extremely bursty, then a lower threshold value should be considered.

- **TB_HI_THRESHOLD** should be set to about 90% of the total buffer available.

If the high priority data traffic has a bursty nature a more conservative (lower) value is recommended to avoid overflow of the low priority transit buffer.
7. RPR Synchronization

Each node operates in “free-run” mode. That is, the receive clock is derived from the incoming receive stream while the transmit clock is derived from a local oscillator. This eliminates the need for expensive clock synchronization as required in existing SONET networks. Differences in clock frequency are accommodated by inserting a small amount of idle bandwidth at each node’s output.

The clock source for the transmit clock shall be selected to deviate by no more than 200 ppm from the center frequency. The overall outgoing rate of the node shall be rate shaped to accommodate the worst case difference between receive and transmit clocks of adjacent nodes. This is accomplished by monitoring the input data rate (from the line and the MAC client), and comparing that to the output data rate. If the rates differ, it can be assumed that there are differences between the clocks, and the output data rate can be adjusted appropriately.
8. IPS Protocol Description

An RPR ring is composed of two counter-rotating, single fiber rings. If an equipment or fiber facility failure is detected, traffic going towards and from the failure direction is wrapped (looped) back to go in the opposite direction on the other ring. The wrapping takes place on the nodes adjacent to the failure, under software control. This way the traffic is re-routed from the failed span.

Nodes communicate between themselves using IPS signaling on both inner and outer ring.

The IPS octet contains specific protection information. The format of the IPS octet is as follows (this table is the same as Table 6 above, but it is repeated here for convenience.)

<table>
<thead>
<tr>
<th>Bit</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>0-3</td>
<td><strong>IPS Request Type</strong>&lt;br&gt; 1101 - Forced Switch (FS)&lt;br&gt; 1011 - Signal Fail (SF)&lt;br&gt; 1000 - Signal Degraded (SD)&lt;br&gt; 0110 - Manual Switch (MS)&lt;br&gt; 0101 - Wait to Restore (WTR)&lt;br&gt; 0000 - No Request (IDLE)</td>
</tr>
<tr>
<td>4</td>
<td><strong>Path Indicator</strong>&lt;br&gt; 0 - Short (S)&lt;br&gt; 1 - Long (L)</td>
</tr>
<tr>
<td>5-7</td>
<td><strong>Status Code</strong>&lt;br&gt; 010 - Protection Switch Completed - Traffic Wrapped (W)&lt;br&gt; 000 - Idle</td>
</tr>
</tbody>
</table>

**Table 7—IPS Octet Format**

The IPS control messages are shown in this document as:

\[
\{\text{REQUEST\_TYPE}, \text{SOURCE\_ADDRESS}, \text{WRAP\_STATUS}, \text{PATH\_INDICATOR}\}
\]

8.1 The IPS Request Types

The following is a list of the request types, from the highest to the lowest priority. All requests are signaled using IPS control messages.

1. Forced Switch (FS - operator originated)<br>This command performs the ring switch from the working channel to the protection, wrapping the traffic on the node at which the command is issued and at the adjacent node to which the command is destined. Used for example to add another node to the ring in a controlled fashion.

2. Signal Fail (SF - automatic)<br>Protection caused by a media “hard failure” or RPR keep-alive failure. SONET examples of SF triggers are: Loss of Signal (LOS), Loss of Frame (LOF), Line Bit Error Rate (BER) above a preselected SF threshold, Line Alarm Indication Signal (AIS). Note that the RPR keep-alive failure provides end-to-end coverage and as a result SONET Path triggers are not necessary.

3. Signal Degrade (SD - automatic)<br>Protection caused by a media “soft failure”. SONET example of a SD is Line BER or Path BER above a preselected SD threshold.
4. Manual Switch (MS - operator originated)
   Like the FS, but of lower priority. Can be used for example to take down the WTR.
5. Wait to Restore (WTR - automatic)
   Entered after the working channel meets the restoration threshold after an SD or SF condition disappears. IPS waits WTR time-out before restoring traffic in order to prevent protection switch oscillations.

8.2 The IPS Path Indicator

There are two types of IPS messages, long and short. Short messages are sent to the other side of failed span through the opposite ring. They indicate a failure on the other ring before the source address of the IPS request packet. Long messages, on the other hand, indicate there is a failure after the source address of the IPS request packet.

8.3 RPR IPS Protocol States

Each node in the IPS protocol is in one of the following states for each of the rings:

8.3.1 Idle

In this mode the node is ready to perform the protection switches and it sends to both neighboring nodes “idle” IPS messages, which include “self” in the source address field {IDLE, SELF, I, S}

8.3.2 Wrapped

Node participates in a protection switch with a wrap present. This state is entered based on a protection request issued locally or based on received IPS messages.

8.4 IPS Protocol Rules

8.4.1 RPR IPS Packet Transfer Mechanism

R T.1:

IPS packets are transferred in a multicast packet format between nodes on the ring. A received packet (payload portion) is passed to software using an interrupt mechanism.

R T.2:

All IPS messages are triggered by self-detect or user request.

8.4.2 RPR IPS Signaling and Wrapping Mechanism

R S.1:

IPS signaling is performed using IPS control packets as defined in Figure 14 “IPS Packet Format”.

R S.2:

Node executing a local request signals the protection request on both short (across the failed span) and long (around the ring) paths after performing the wrap.
RS.3:

Node executing a short path protection request signals an idle request with wrapped status on the short (across the failed span) path.

RS.4:

A node which is neither executing a local request nor executing a short path request signals IDLE messages to its neighbors on the ring if there is no long path message passing through the node on that ring.

RS.5:

Protection IPS packets are never wrapped.

RS.6:

If the protocol calls for sending both short and long path requests on the same span (for example if a node has all fibers disconnected), only the short path request should be sent.

RS.7:

A node wraps and unwraps only on a local request or on a short path request. A node never wraps or unwraps as a result of a long path request. Long path requests are used only to maintain the protection hierarchy. (Since the long path requests do not trigger protection, there is no need for destination addresses and no need for topology maps).

8.4.3 Example

In Figure 17, Node A detects SF (local request/ self-detected request) on the span between Node A and Node B and starts sourcing {SF, A, W, S} on the outer ring and {SF, A, W, L} on the inner ring. Node B receives the protection request from Node A (short path request) and starts sourcing {IDLE, B, W, S} on the inner ring and {SF, B, W, L} on the outer ring.

![Figure 17—RPR IPS Signaling](image-url)
8.5 RPR IPS Protocol Rules

RP.1:

Protection Request Hierarchy is as follows (Highest priority to the lowest priority). In general a higher priority request preempts a lower priority request within the ring with exceptions noted as rules. The 4 bit values below correspond to the REQUEST_TYPE field in the IPS packet.

<table>
<thead>
<tr>
<th>Value</th>
<th>IPS Request Type</th>
</tr>
</thead>
<tbody>
<tr>
<td>1101</td>
<td>Forced Switch (FS)</td>
</tr>
<tr>
<td>1011</td>
<td>Signal Fail (SF)</td>
</tr>
<tr>
<td>1000</td>
<td>Signal Degrade (SD)</td>
</tr>
<tr>
<td>0110</td>
<td>Manual Switch (MS)</td>
</tr>
<tr>
<td>0101</td>
<td>Wait to Restore (WTR)</td>
</tr>
<tr>
<td>0000</td>
<td>No Request (IDLE)</td>
</tr>
</tbody>
</table>

RP.2:

Requests >= SF can coexist.

RP.3:

Requests < SF can not coexist with other requests.

RP.4:

A node always honors the highest of {short path request, self detected request} if there is no higher long path message passing through the node.

RP.5:

When there are more requests of priority < SF, the first request to complete long path signaling will take priority.

RP.6:

A Node will strip an IPS packet which was originally generated by the node itself (it has the node’s source address).

RP.7:

When a node receives a long path request and the request is >= to the highest of {short path request, self detected request}, the node checks the message to determine if the message is coming from its neighbor on the short path. If that is the case then it does not enter pass-thru and it strips the message.

RP.8:

When a node receives a long path request, it strips (terminates) the request if it is a wrapped node with a request >= than that in the request; otherwise it passes it through and unwraps.

RP.9:
Each node keeps track of the addresses of the immediate neighbors (the neighbor node address is gleaned from the short path IPS messages).

R P.10:

When a wrapped node (which initially detected the failure) discovers disappearance of the failure, it enters WTR (user-configurable WTR time-period). WTR can be configured in the 10-600 sec. range with a default value of 60 sec.

R P.11:

When a node is in WTR mode, and detects that the new neighbor (as identified from the received short path IPS message) is not the same as the old neighbor (stored at the time of wrap initiation), the node drops the WTR.

R P.12:

When a node is in WTR mode and long path request Source is not equal to the neighbor Id on the opposite side (as stored at the time of wrap initiation), the node drops the WTR.

R P.13:

When a node receives a local protection request of type SD or SF and it cannot be executed (according to protocol rules) it keeps the request pending. (The request can be kept pending outside of the protection protocol implementation).

R P.14:

If a local non-failure request (WTR, MS, FS) clears and if there are no other requests pending, the node enters idle state.

R P.15:

If there are two failures and two resulting WTR conditions on a single span, the second WTR to time out brings both the wraps down (after the WTR time expires a node does not unwrap automatically but waits till it receives idle messages from its neighbor on the previously failed span)

R P.16:

If a short path FS request is present on a given side and a SF/SD condition takes place on the same side, accept and process the SF/SD condition ignoring the FS. Without this rule a single ended wrap condition could take place. (Wrap on one end of a span only).

R P.17:

If a node receives an IPS message, it should update its topology accordingly and trigger a topology discovery process.

8.6 State Transitions

Figure 18 shows the simplified state transition diagram for the IPS protocol:
Message format: \{REQUEST\_TYPE, SOURCE, WRAP\_STATUS, PATH\_INDICATOR\}

![Simplified IPS State Transitions Diagram](image)

Legend:
mate = node on the other end of the affected span
REQ in any other context = FS, SF, SD, MS

Figure 18—Simplified IPS State Transitions Diagram

8.7 Failure Examples

8.7.1 Signal Failure - Single Fiber Cut Scenario

Sample scenario in a ring of four nodes A, B, C and D, with unidirectional failure on a fiber from A to B, detected on B. Ring is in the Idle state (all nodes are Idle) prior to failure.
8.7.1.1 Signal Fail Scenario

1. Ring in Idle, all nodes transmit (Tx) \{IDLE, SELF, I, S\} on both rings (in both directions)
2. B detects SF on the outer ring, transitions to Wrapped state (performs a wrap), Tx towards A on the inner ring/short path: \{SF, B, W, S\} and on the outer ring/long path: Tx \{SF, B, W, L\}
3. Node A receives protection request on the short path, transitions to Wrapped state, Tx towards B on short path: \{IDLE, A, W, S\} (message does not go through due to the failure) and on the long path: Tx \{SF, A, W, L\}
4. As the nodes D and C receive a switch request, they enter a pass-through mode (in each direction) which mean they stop sourcing the Idle messages and start passing the messages between A and B
5. Steady state is reached

---

8.7.1.2 Signal Fail Clears

1. SF on B clears, B does not unwrap, sets WTR timer, Tx \{WTR, B, W, S\} on inner and Tx \{WTR, B, W, L\}
2. Node A receives WTR request on the short path, does not unwrap, Tx towards B on short path: \{IDLE, A, W, S\} (message does not go through due to the failure) and on the long path: Tx \{WTR, A, W, L\}
3. Nodes C and D relay long path messages without changing the IPS octet
4. Steady state is reached
5. WTR times out on B. B transitions to idle state (unwraps) Tx \{IDLE, B, I, S\} on both inner and outer rings
6. A receives Rx \{IDLE, B, I, S\} and transitions to Idle
7. As idle messages reach C and D the nodes enter the idle state (start sourcing the Idle messages)
8. Steady state is reached

8.7.2 Signal Failure - Bidirectional Fiber Cut Scenario

Sample scenario in a ring of four nodes A, B, C and D, with a bidirectional failure between A and B. Ring is in the Idle state (all nodes are Idle) prior to failure.

8.7.2.1 Signal Fail Scenario

1. Ring in Idle, all nodes transmit (Tx) \{IDLE, SELF, I, S\} on both rings (in both directions)
2. A detects SF on the outer ring, transitions to Wrapped state (performs a wrap), Tx towards B on the inner ring/short path: \{SF, A, W, S\} and on the outer ring/long path: Tx \{SF, A, W, L\}
3. B detects SF on the outer ring, transitions to Wrapped state (performs a wrap), Tx towards A on the inner ring/short path: \{SF, B, W, S\} and on the outer ring/long path: Tx \{SF, B, W, L\}
4. As the nodes D and C receive a switch request, they enter a pass-through mode (in each direction) which mean they stop sourcing the Idle messages and start passing the messages between A and B
5. Steady state is reached
8.7.2.2 Signal Fail Clears

1. SF on A clears, A does not unwrap, sets WTR timer, Tx {WTR, A, W, S} towards B and Tx {WTR, A, W, L} on the long path
2. SF on B clears, B does not unwrap. Since it now has a short path WTR request present from A it acts upon this request. It keeps the wrap, Tx {IDLE, B, W, S} towards A and Tx {WTR, B, W, L} on the long path
3. Nodes C and D relay long path messages without changing the IPS octet
4. Steady state is reached
5. WTR times out on A. A enters the idle state (drops wraps) and starts transmitting idle in both rings
6. B sees idle request on short path and enters idle state
7. Remaining nodes in the ring enter the idle state
8. Steady state is reached

8.7.3 Failed Node Scenario

Sample scenario in a ring where node C fails. Ring is in the Idle state (all nodes are Idle) prior to failure.
8.7.3.1 Node Failure (or fiber cuts on both sides of the node)

1. Ring in Idle, all nodes transmit (Tx) \{IDLE, SELF, I, S\} on both rings (in both directions)
2. Based on the source field of the idle messages, all nodes identify the neighbors and keep track of them
3. B detects SF on the outer ring, transitions to Wrapped state (performs a wrap), Tx towards C on the inner ring/short path: \{SF, B, W, S\} and on the outer ring/long path: Tx \{SF, B, W, L\}
4. A detects SF on the inner ring, transitions to Wrapped state (performs a wrap), Tx towards C on the outer ring/short path: \{SF, A, W, S\} and on the inner ring/long path: Tx \{SF, A, W, L\}
5. As the nodes on the long path between A and B receive a SF request, they enter a pass-through mode (in each direction), stop sourcing the Idle messages and start passing the messages between A and B
6. Steady state is reached

8.7.3.2 Failed Node and One Span Return to Service

Note: Practically the node will always return to service with one span coming after the other (with the time delta potentially close to 0). Here, a node is powered up with the fibers connected and fault free.
1. Node C and a span between A and C return to service (SF between A and C disappears)
2. Node C, not seeing any faults starts to source idle messages \{IDLE, C, I, S\} in both directions.
3. Fault disappears on A and A enters a WTR (briefly)
4. Node A receives idle message from node C. Because the long path protection request \{SF, B, W, L\} received over the long span is not originating from the short path neighbor (C), node A drops the WTR and enters a PassThrough state passing requests between C and B
5. Steady state is reached

8.7.3.3 Second Span Returns to Service

The scenario is like the Bidirectional Fiber Cut fault clearing scenario.
Sample scenario in a ring where initially nodes A and B are connected. Subsequently fibers between the nodes A and B are disconnected and a new node C is inserted.

### 8.7.3.4 Bidirectional Fiber Cut

1. Ring in Idle, all nodes transmit (Tx) \{IDLE, SELF, I, S\} on both rings (in both directions)
2. Fibers are removed between nodes A and B
3. B detects SF on the outer ring, transitions to Wrapped state (performs a wrap), Tx towards A on the inner ring/short path: \{SF, B, W, S\} and on the outer ring/long path: Tx \{SF, B, W, L\}
4. A detects SF on the inner ring, transitions to Wrapped state (performs a wrap), Tx towards B on the inner ring/short path: \{SF, A, W, S\} and on the outer ring/long path: Tx \{SF, A, W, L\}
5. As the nodes on the long path between A and B receive a SF request, they enter a pass-through mode (in each direction), stop sourcing the Idle messages and start passing the messages between A an B
6. Steady state is reached

### 8.7.3.5 Node C is Powered Up and Fibers Between Nodes A and C are Reconnected

This scenario is identical to the returning a Failed Node to Service scenario.
8.7.3.6 Second Span Put Into Service

Nodes C and B are connected. The scenario is identical to Bidirectional Fiber Cut fault clearing scenario.
9. Physical Media

RPR is media independent. RPR Frame will be allowed to send over different physical media.

9.1 SONET/SDH Network

RPR may also connect to a SONET/SDH ring network via a tributary connection to a SONET/SDH ADM (Add Drop Multiplexer). The two RPR rings may be mapped into two STS-Nc connections. SONET/SDH networks typically provide fully redundant connections, so RPR mapped into two STS-Nc connections will have two levels of protection. The SONET/SDH network provides layer 1 protection, and RPR provides layer 2 protection. In this case it is recommended to hold off the RPR Signal Fail IPS triggers (which correspond to failures which can be protected by SONET/SDH) for about 100 msec in order to allow the SONET/SDH network to protect. Only if a failure persists for over 100 msec (indicating SONET/SDH protection failure) should the IPS protection take place.

Since multiple protection levels over the same physical infrastructure are not very desirable, an alternate way of connecting RPR over a SONET/SDH network is configuring SONET/SDH without protection. Since the connection is unprotected at layer 1, RPR would be the sole protection mechanism.

Hybrid RPR rings may also be built where some parts of the ring traverse over a SONET/SDH network while other parts do not.

Connections to a SONET/SDH network would have to be synchronized to network timing by some means. This can be accomplished by locking the transmit connection to the frequency of the receive connection (called loop timing) or via an external synchronization technique.

Connections made via dark fiber or over a WDM optical network should utilize internal timing as clock synchronization is not necessary in this case.

9.1.1 POS Framing

Flag delimiting on SONET/SDH uses the octet stuffing method defined for POS. The packet delimiter flags (0x7E) are required for SONET/SDH links but may not be necessary for RPR on other media types. An End-of-Packet is delineated by a flag, which might also be the next packet’s starting flag. If the data appears to be a flag (0x7E) or an escape character (0x7D) anywhere inside of a packet, the data must be marked with an escape character.

SONET/SDH framing plus POS packet delimiting allows RPR to be used directly over fiber or through an optical network (including WDM equipment).

9.1.2 GFP Framing

RPR frame will be able to encapsulate using the GFP frame format

9.2 Ethernet

RPR frames can be sent over Ethernet physical media.
10. Pass-thru mode

An optional mode of operation is pass-thru mode. In pass-thru mode, a node transparently forwards data. The node does not source packets, and does not modify any of the packets that it forwards. Data should continue to be sorted into high and low priority transit buffers with high priority transit buffers always emptied first. The node does not source any control packets (e.g. topology discovery or IPS) and basically looks like a signal regenerator with delay (caused by packets that happened to be in the transit buffer when the transition to pass-thru mode occurred). A node can enter pass-thru mode because of an operator command or due to an error condition such as a software crash.
11. References

[1] ANSI X3T9 FDDI Specification

[2] IEEE 802.5 Token Ring Specification


