

# **PHY Link Channel**

## **Resource Allocation, Overhead, Impact on Procedures**

Nicola Varanese (Qualcomm)

# Summary

- A PHY Control Channel (PLC) is needed for
    - Aiding PHY initialization and CNU bring-up
    - Broadcasting information strictly necessary to enable the operation of the PHY layer (e.g., proper demodulation and decoding of data)
    - Collecting CNU-specific information (e.g., supported MCS in DS)
    - It does **not** convey MAC Control information (GATE/REPORT messages)
  - This presentation aims at providing more details on the PLC proposal presented in San Antonio
    - Information carried on the PLC (DS/US)
    - Amount of required resources and proposal for resource allocation
    - Implications on buffering requirements and impact on upper layers
- Assumptions:
    - MMP with MCS per profile (**no** bit-loading)
    - In order to ensure **scalability**, each PHY channel (192MHz) has its own, dedicated PLC

# Downstream PLC

# Purpose of PLC - Downstream

- PLC Reference Signal: Aids PHY acquisition
    - Carrier frequency recovery
    - PHY frame synchronization
  
  - PLC Data: Broadcasts network information + **CNU-specific information**
    - OFDM channel ID
    - DS Bandwidth (Number of available sub-carriers in this OFDM channel)
    - Exclusion bands, e.g.,
      - Start frequency of each EB
      - Bandwidth of each EB
    - Info regarding PHY frame structure
      - TDD split in terms of US/DS OFDM symbols and guard interval
      - FDD US information: carrier frequency, number of available subcarriers
    - Frequency Interleaving pattern (logical to physical subcarrier mapping)
    - Time Interleaving depth for DS
    - Active profiles (active MCS's)
    - **Timing advance information for specific CNU(s)**
    - **Assignment of specific CNU(s) to a given DS/US profile**
    - **Power control information for specific CNU(s)**
- } Needs definition of a PHY address

# Evaluation of Necessary Resources (Upper Bound)

| Feature                       | Item                  | Value   |
|-------------------------------|-----------------------|---|
| <b>MMP</b>                    | Number of profiles    | 2 bits (max 4 profiles)                               |
|                               | MCS index             | 4 bits (max 16 MCS)                                   |
| <b>Exclusion Bands (6MHz)</b> | Number of EBs DS      | 4 bits (max 16 EBs)                                   |
|                               | Index of EB DS        | 7 bits (2MHz grid)                                    |
|                               | Number of EBs US      | 4 bits (max 16 EBs)                                   |
|                               | Index of EB US        | 7 bits (2MHz grid)                                    |
| <b>Channel Structure</b>      | DS channel width      | 13 bits (8k FFT)                                      |
|                               | US carrier frequency  | 10 bits (2MHz grid)                                   |
|                               | US channel width      | 13 bits (8k FFT)                                      |
|                               | TDD Frame Structure   | 4 bits (16 possible splits)                           |
| <b>Interleaving</b>           | DS Interleaving depth | 4 bits (16 possible values)                           |
| <b>CNU-specific message</b>   | CNU ID                | 48 bits (full Eth. address)                           |
|                               | Timing advance        | 16 bits (1.2 ns resolution with 80us symbol duration) |
|                               | Power control         | 4 bits  |
|                               | DS profile assigned   | 2 bits  |
|                               | US profile assigned   | 2 bits  |
| <b>Error Control</b>          | CRC                   | 16 bits   |

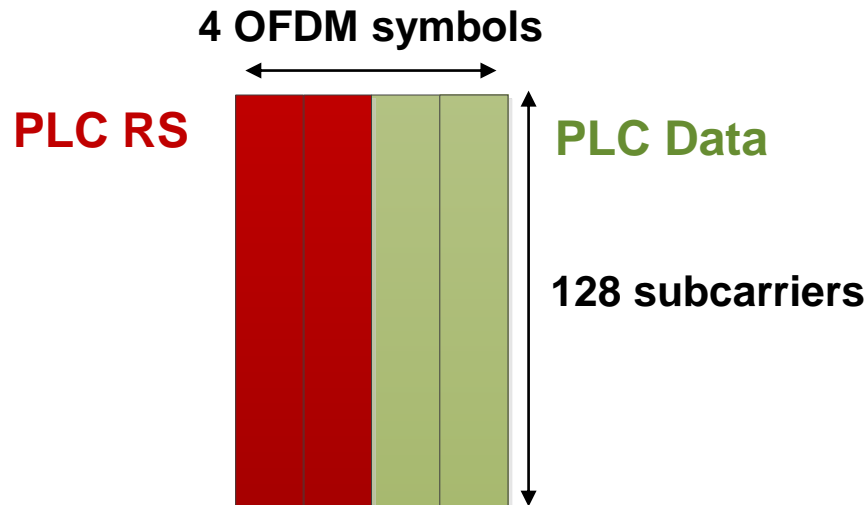
**Total: <50 Bytes**  
(accounting also for an additional PLC header field)

**Resources needed:**

- **648 bits (802.11n code rate 3/4)**
- **162 QAM symbols (16QAM modulation)**

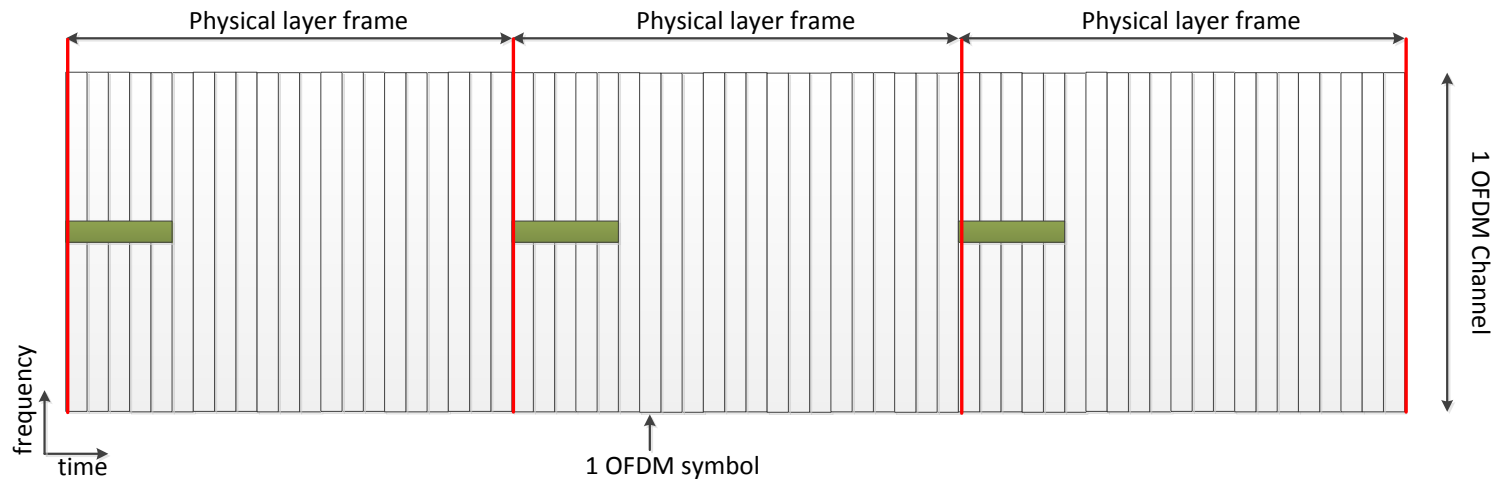
# A First Proposal for DS PHY Link

- We propose to allocate a **limited** number of symbols for PLC Data in order to perform
  - Time interleaving
  - Repetition of PLC Data
- PLC includes reference signals (RS) for PHY acquisition (“preamble”)
- Example configuration (8k FFT – would scale accordingly for a different FFT size):



- Why it is good to have a wide-band preamble:
  - Pre-FFT detection (**time-domain processing**)
  - Resilient to:
    - Residual Timing Offset (FFT window placement)
    - **Residual Carrier Frequency Offset (causes inter-carrier-interference)**
    - **Channel delay spread (non-coherent detection)**

# Resources Reserved for PLC - Downstream



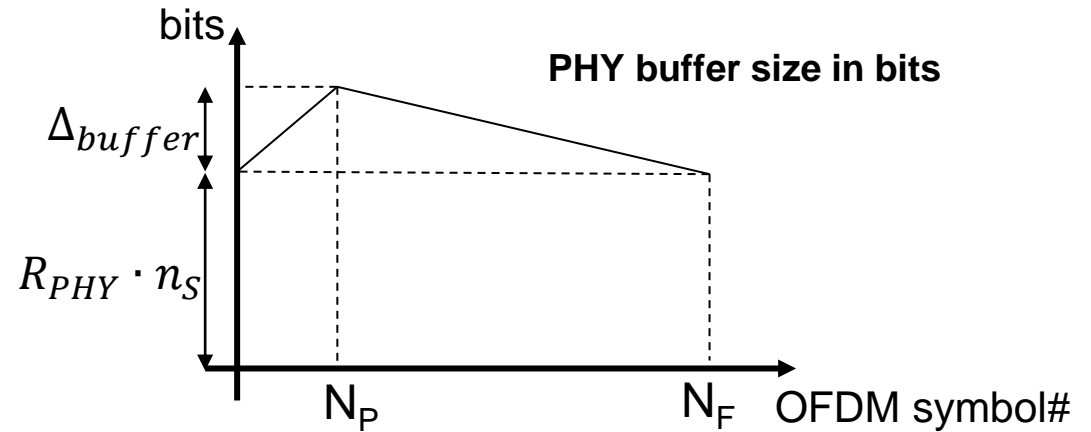
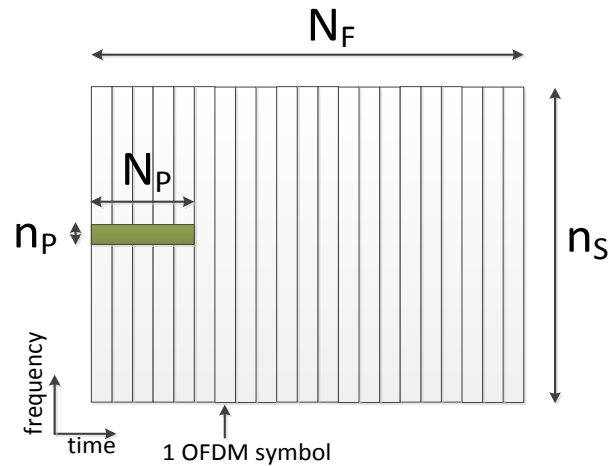
- *Frequency location:*

- We propose to locate the PLC around the center frequency of the OFDM channel
  - *Does it really make sense to center an OFDM channel right on top of an Exclusion Band ?*
  - Alternatively, we may define a *small* set of pre-defined center frequencies on the 2MHz grid.

- *Time location:*

- A fixed number of subcarriers within the first few symbols of a PHY frame
  - Incurring overhead is the bare minimum
  - Rate adaptation is performed according to the net PHY rate (accounting for all overheads)
    - » MAC is aware of the net PHY rate (accounting for all overheads).
  - Moderate increase of buffering requirements at the PHY (see next slide)

# Buffering Requirements



- No interleaving (worst case): proportional to the PLC overhead and PHY frame duration in symbols ( $N_{FRAME}$ )
  - $R_{PHY}$  is the maximum possible PHY rate in bps/Hz (accounting for all overheads)
  - Buffer increase can be quantified as

$$\Delta_{buffer} = R_{PHY} \cdot n_P \cdot N_P \text{ [bits]}$$

- The *relative* buffer increase can be computed as

$$\Delta_{buffer\%} = \frac{R_{PHY} \cdot n_P \cdot N_P}{R_{PHY} \cdot n_S} \times 100$$

**Nominal Buffering requirements for a single OFDM symbol**

$$= \frac{n_P \cdot N_P}{n_S \cdot N_F} \cdot N_F \times 100$$

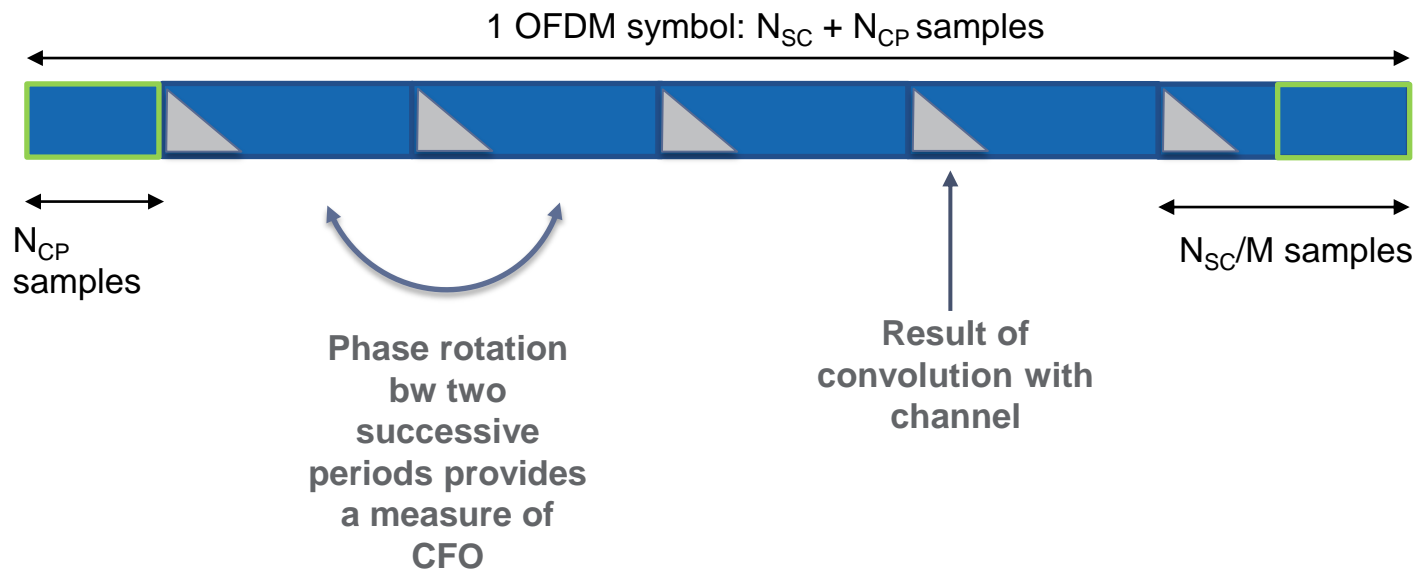
**Buffer increase % =  $OH_{PLC} \times N_F \times 100$**

- Same buffering requirements apply at both TX and RX side



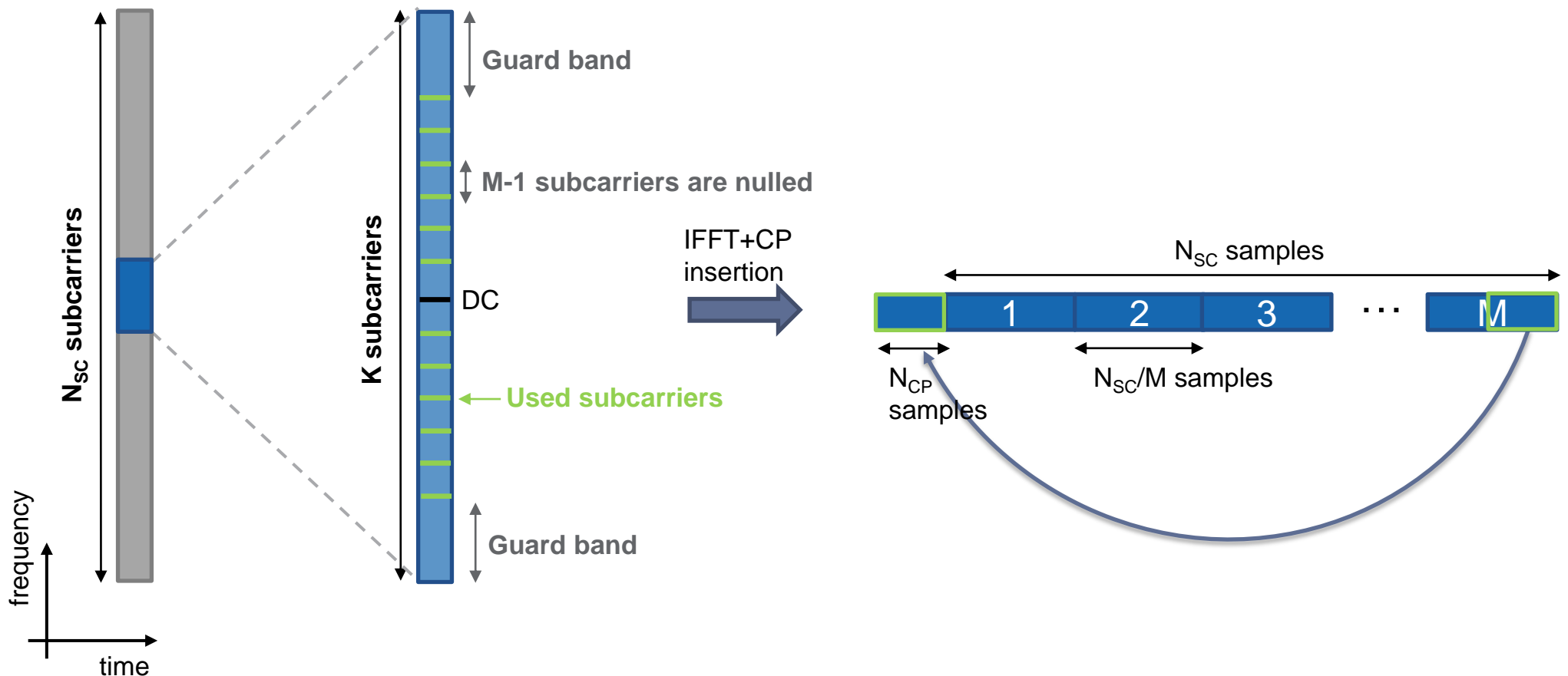
# Preamble Structure in Time

- The preamble signal is designed so that in the time domain (after band-pass filtering) it appears as a periodic/repeating sequence
  - Periodic structure is not affected by convolution with channel
  - Periodic structure facilitates CFO and Rx I/Q imbalance estimation
  - Also, the resulting estimate already makes use of all the energy coming from the channel, without the need for a multi-finger correlation

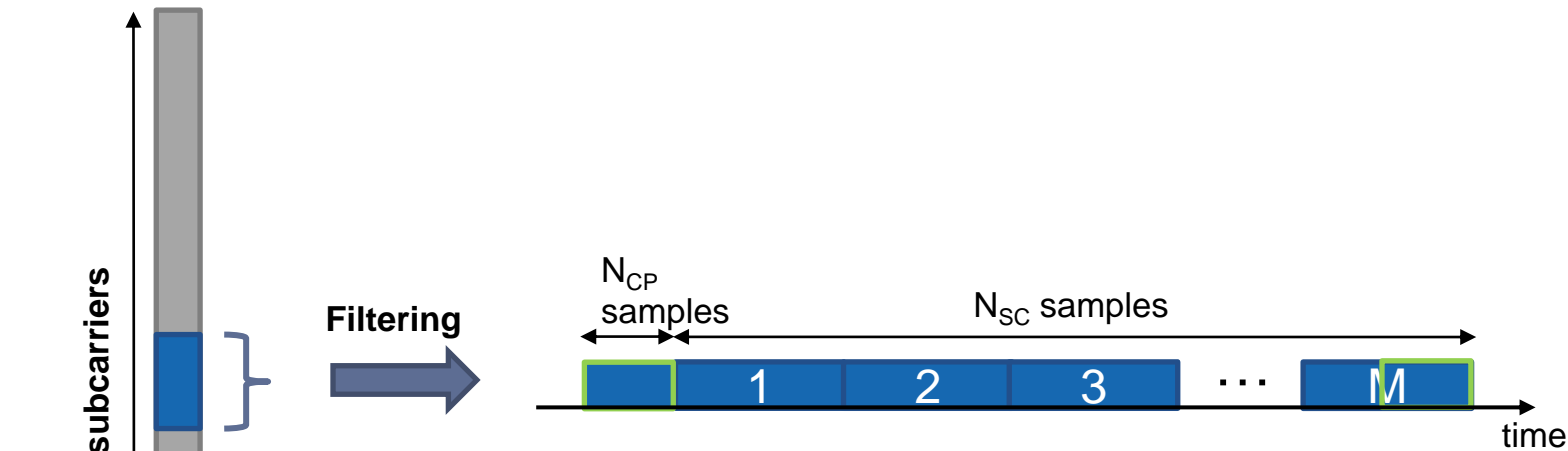


# Preamble Structure in Frequency and Time

- Time-periodic structure with  $M$  repetitions is induced by employing only 1 subcarrier out of  $M$  in the frequency domain
- Energy loss due to subcarrier nulling can be partially or entirely recovered by boosting the power of preamble QAM symbols
  - E.g.,  $M=2$  leads to an energy loss of 3dB. This can be compensated by a 3dB power boost of the Preamble subcarriers.



# Initial PHY Acquisition Procedure



## ■ Time-domain searcher at the CNU (pre-FFT)

- Time-domain processing**
1. **Filter** subcarriers where we expect to find the PLC
  2. Run preamble detector
  3. Estimate PHY parameters
    - Carrier Frequency Offset
    - Raw symbol timing synchronization
    - Gain control
    - Others as needed
  4. Find FFT boundaries (fine symbol timing synchronization)
  5. **Perform IFFT** and decode PLC information only

# Upstream PLC

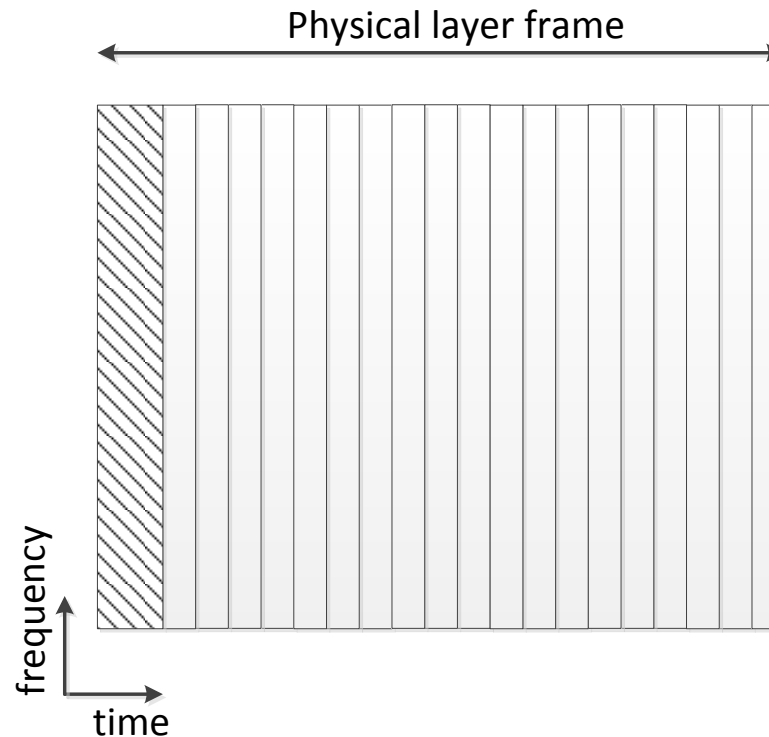
# Purpose of PLC - Upstream

- PLC Reference Signal: Aids PHY procedures
  - Timing advance estimation for the CNU which is transmitting
  - Assignment of the CNU to a specific US profile (evaluation of US MCS)
- PLC Data: CNU-specific information
  - PHY address identifying this CNU
  - Supported MCS for DS for this CNU (needed to assign CNU to a specific DS profile)
  - Unusable sub-carriers in DS for this CNU (needed by CLT to determine Exclusion Bands in DS)
  - Protected with a strong FEC code

| Feature              | Item                 | Value                       |
|----------------------|----------------------|-----------------------------|
| <b>CNU-specific</b>  | CNU ID               | 48 bits (full Eth. address) |
|                      | DS profile supported | 2 bits                      |
|                      | Number of EBs DS     | 4 bits (max 16 EBs)         |
|                      | Index of EB DS       | 7 bits (2MHz grid)          |
| <b>Error Control</b> | CRC                  | 16 bits                     |

# Resources Reserved for PLC - Upstream

- The upstream PLC is accessed with a **contention-based protocol**
- Resources need to be reserved for the PLC in upstream
  - Reserve a fixed number of OFDM symbols within a PHY frame



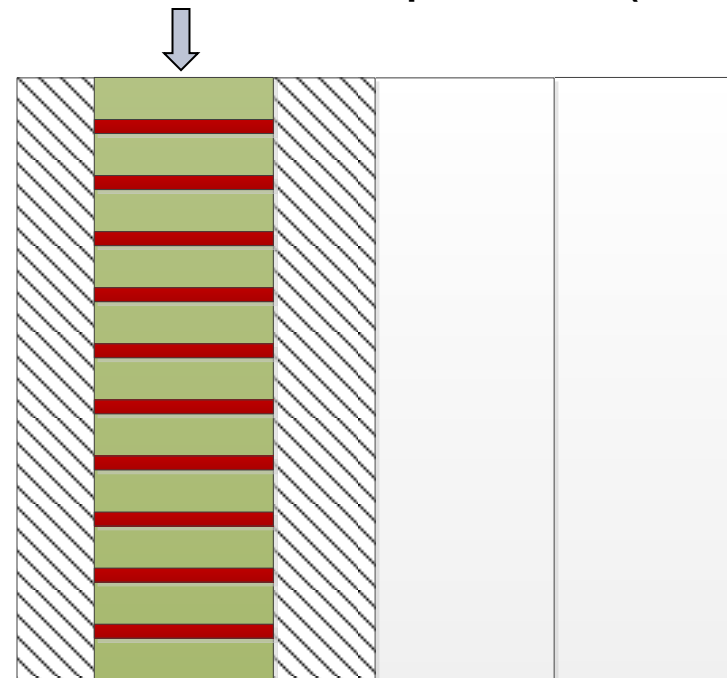
# Resources Reserved for PLC - Upstream

- The upstream PLC is accessed with a **contention-based protocol**
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  - Reserve a fixed number of OFDM symbols within a PHY frame

## Features:

- CLT estimates **full channel** for the user and assigns US profile
- CLT estimates timing advance (to compensate propagation delay)
- CLT estimates optimal power control settings
- CNU is identified after decoding PLC Data

Transmission from a specific CNU (no collisions)



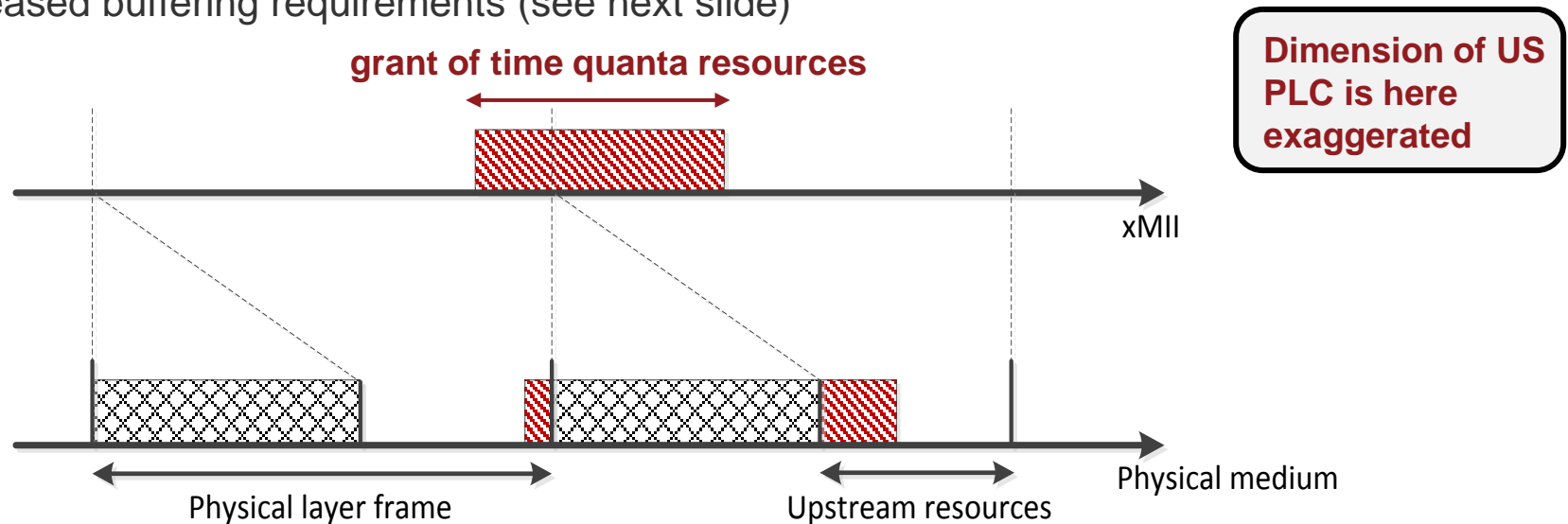
Propagation delay

PLC Data

PLC RS

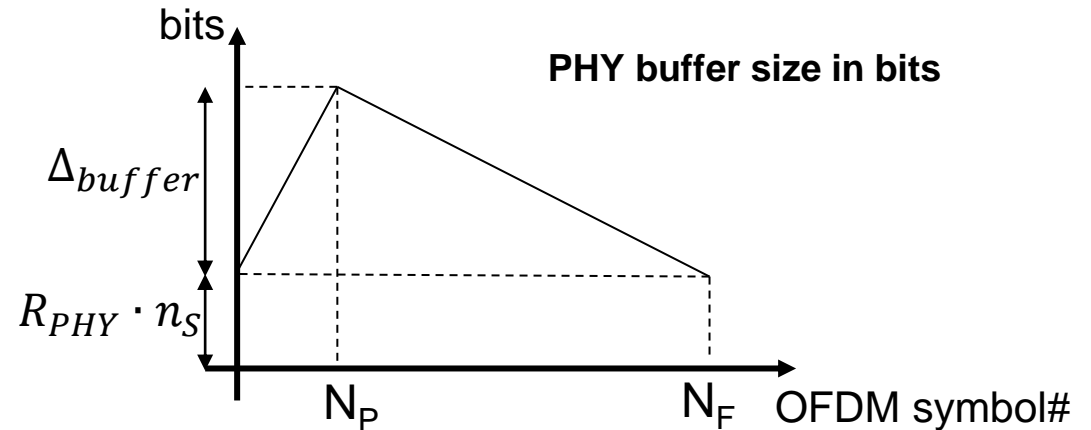
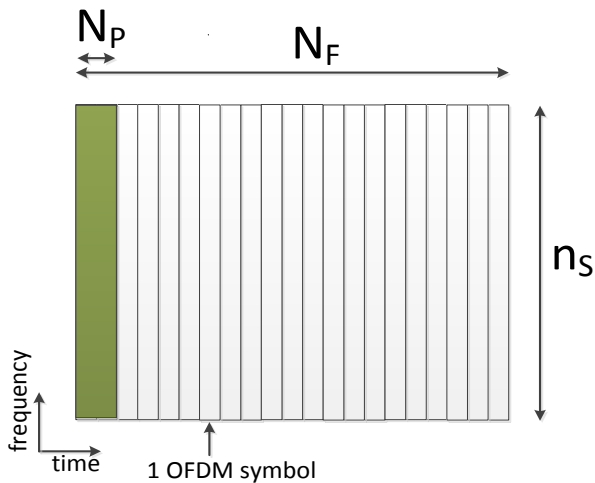
# Resource Mapping

- With the proposed frame structure for upstream, data transmission is not allowed in the two (or more) OFDM symbols dedicated for the US PLC.
- However, at the xMII interface, we require a full-duplex, continuous transmission
- This can be enforced by a proper **mapping** between the xMII time scale and the PHY time scale
  - One-to-one map of time intervals (see figure)
  - An additional 1D-to-2D map may be needed to map time intervals to time/frequency resources
  - Increased buffering requirements (see next slide)





# Buffering Requirements



- Analysis is analogous to DS case:
  - $R_{PHY}$  is the maximum possible PHY rate in bps/Hz (accounting for all overheads)
  - Buffer increase can be quantified as

$$\Delta_{buffer} = R_{PHY} \cdot n_S \cdot N_P \text{ [bits]}$$

- The *relative* buffer increase can be computed as

$$\Delta_{buffer\%} = \frac{R_{PHY} \cdot n_S \cdot N_P}{R_{PHY} \cdot n_S} \times 100$$

**Nominal Buffering requirements  
for a single OFDM symbol**

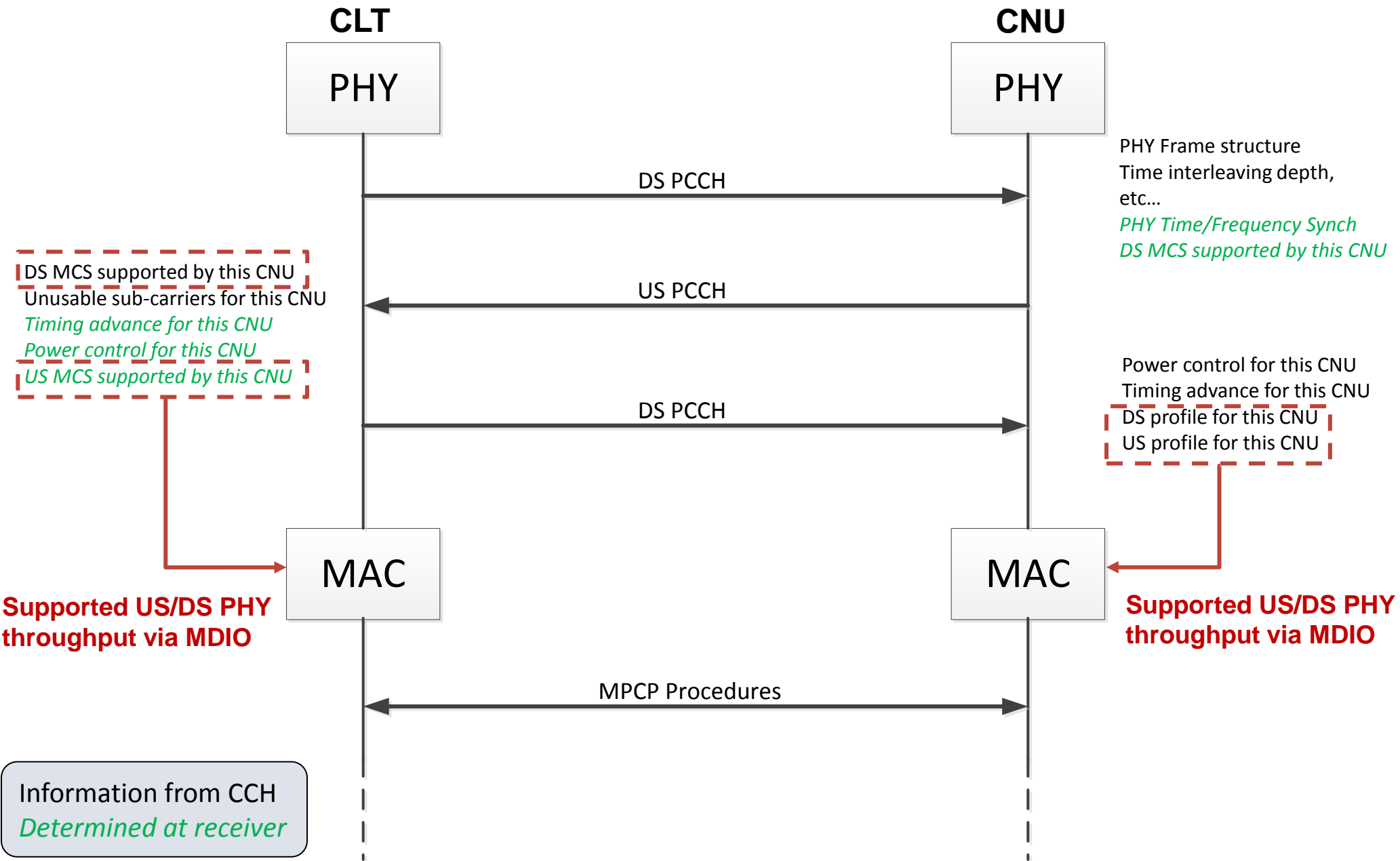
$$= \frac{N_P}{N_F} \cdot N_F \times 100$$

**Buffer increase % =  $OH_{PLC} \times N_F \times 100$**

- This requirement (and the related delay) applies at both TX and RX side

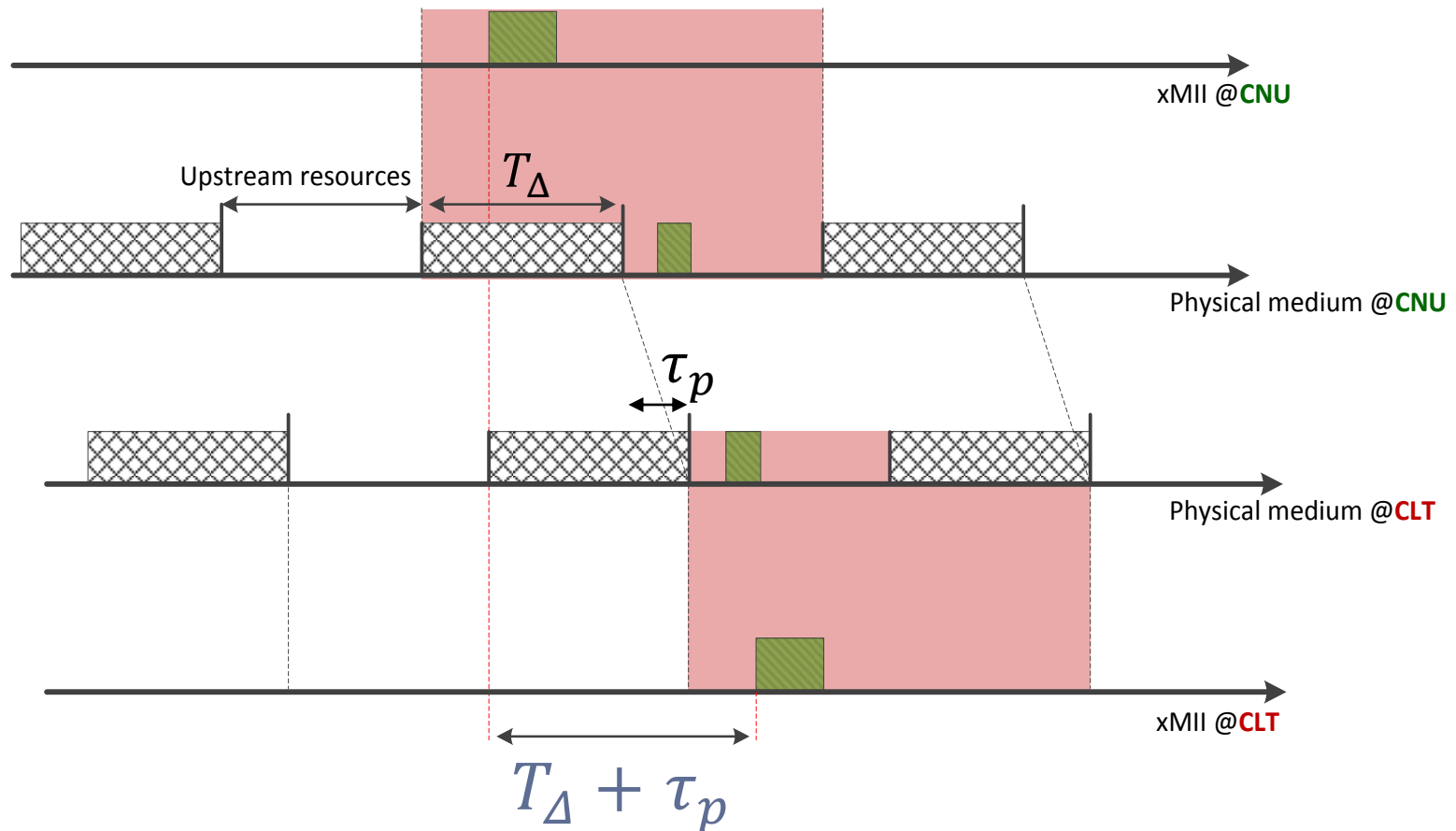
# Initialization Procedure

# Timeline of PHY Initialization Procedure

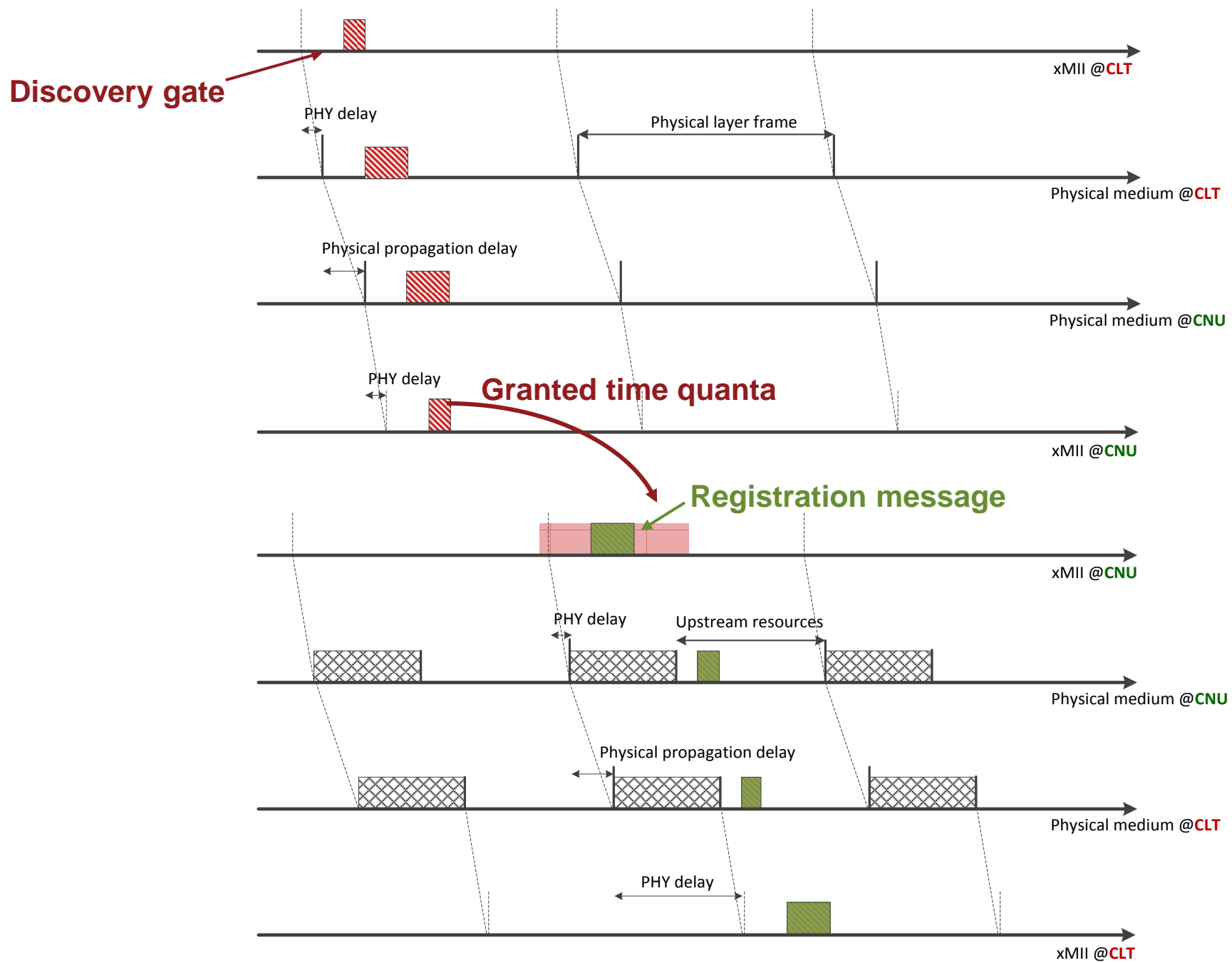


# Overall Delay for Upstream Transmission

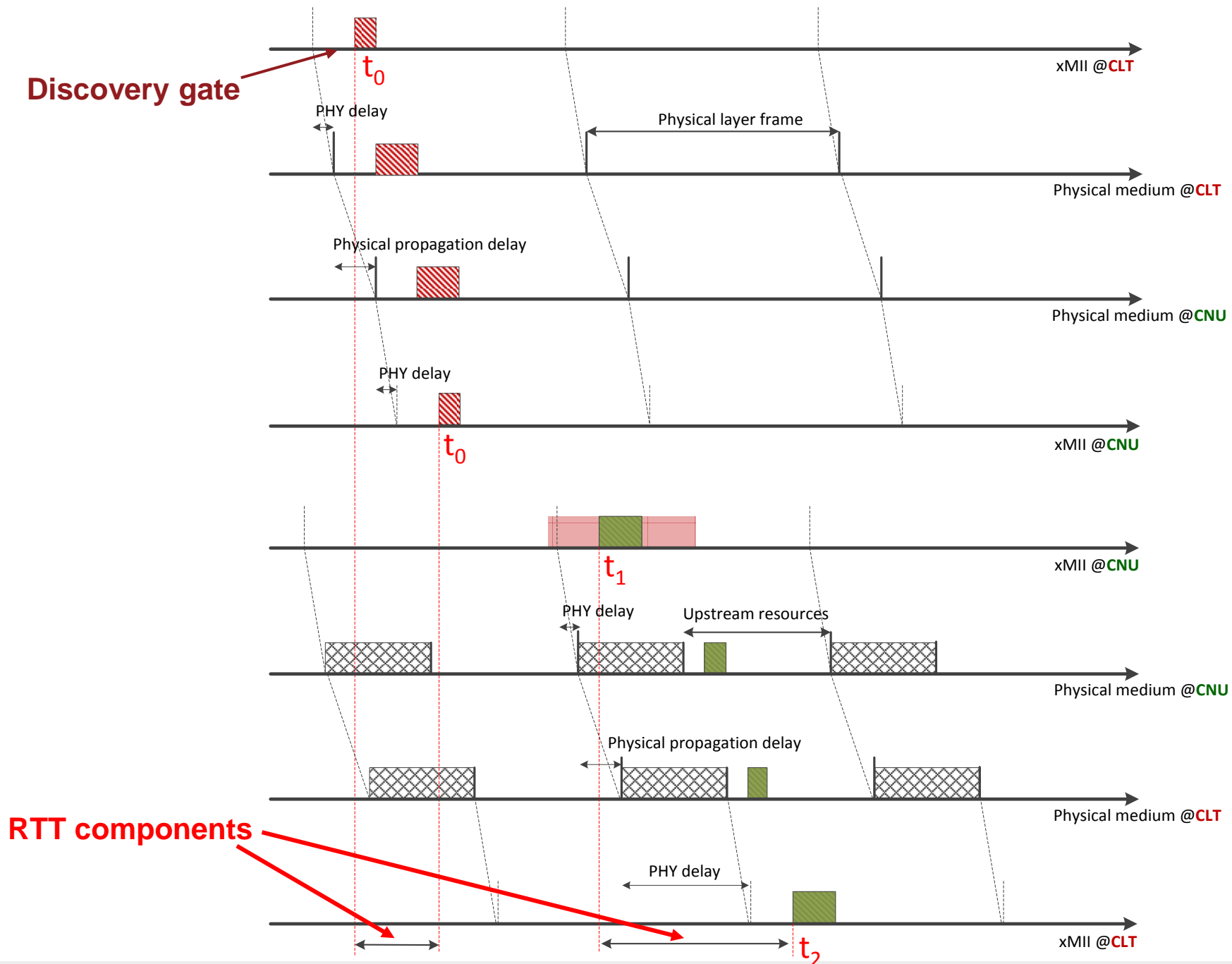
- Let's assume that we have **no** PHY processing delays and we have only propagation delays
- Let's assume that PHY initialization has been successful
  - Proper timing advance is performed at the PHY layer
- We can evaluate the **overall upstream delay** at the xMII interface:



# RTT Measurement (including PHY processing delays)



# RTT Measurement (including PHY processing delays)



# RTT Measurement

- The sum of the two RTT components is (assuming symmetric PHY processing delays for simplicity)

$$\begin{aligned} RTT &= (2\tau_{PHY\ delay} + \tau_p) + (2\tau_{PHY\ delay} + \tau_p + T_\Delta) \\ &= 4\tau_{PHY\ delay} + 2\tau_p + T_\Delta \end{aligned}$$

- Increase in RTT is limited to the time interval when transmission is not allowed ( $T_\Delta$ )
  - 2 OFDM symbols in our case
- RTT measurement can be carried out at the xMII level using the same procedures employed in EPON.

# Notes on PHY Initialization Procedures

- There is no need for a complex protocol for exchanging information over the PLC
  - Simple three-way exchange
- DS PLC is a broadcast channel
  - i.e., information on the PLC can be decoded by all CNU's
  - It contains both broadcast (network info) and unicast (CNU-specific) information
- US PLC carries only CNU-specific information
- RTT measurement and upper-layer procedures are not affected by the amount of resources occupied by PLC
  - Buffering requirements have been quantified



# Proposals

- For Downstream, PLC is carried only in selected few OFDM symbols within a PHY frame
- Simple PHY initialization procedure (Slide 19)