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1 **Abstract:** This standard TBD  
2 **Keywords:** TBD  
3

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1 **1 Overview**

2 **1.1 Scope**

3 This standard TBD ...

4 **1.2 Purpose**

5 The purpose of this standard is to TBD ...

6 **1.3 Coverage**

7 This specification provides TBD ...

8 **1.4 Overview of clauses**

9 This subclause provides an overview of the scope of individual clauses included in this specification,  
10 namely:

11 — TBD ...

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6

## 1 **3 Definitions, acronyms, and abbreviations**

### 2 **3.1 Definitions**

3 For the purposes of this document, the following terms and definitions apply. The IEEE Standards  
4 Dictionary Online should be consulted for terms not defined in this clause.<sup>1</sup>

5 TBD

### 6 **3.2 Acronyms and abbreviations**

7 UMT - Universal Management Tunnel

8 UMTDP - Universal Management Tunnel Discovery Protocol

### 9 **3.3 Special Terms**

10 **Term:** Definition

### 11 **3.4 Notation for state diagrams**

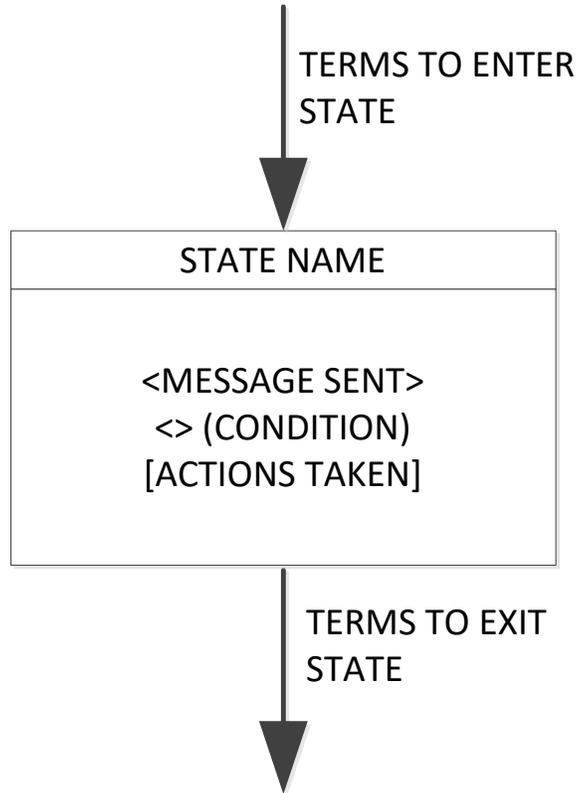
12 All the state diagrams used in this standard meet the set of requirements included in the following  
13 subclauses.

#### 14 **3.4.1 General conventions**

15 The operation of any protocol defined in this standard can be described by subdividing the protocol into a  
16 number of interrelated functions. The operation of the functions can be described by state diagrams. Each  
17 diagram represents the domain of a function and consists of a group of connected, mutually exclusive states.  
18 Only one state of a function is active at any given time (see Figure 3-1).

---

<sup>1</sup> IEEE Standards Dictionary Online subscription is available at  
[http://www.ieee.org/portal/innovate/products/standard/standards\\_dictionary.html](http://www.ieee.org/portal/innovate/products/standard/standards_dictionary.html).



1  
2 **Figure 3-1—State diagram notation example**

3 **3.4.1.1 Representation of states**

4 Each state that the function can assume is represented by a rectangle. These are divided into two parts by a  
5 horizontal line. In the upper part the state is identified by a name in capital letters. The lower part contains  
6 the body of the given state, containing description of the actions taken in this state, as defined in 3.4.3.

7 **3.4.1.2 Transitions**

8 All permissible transitions between the states of a function are represented graphically by arrows between  
9 them. A transition that is global in nature (for example, an exit condition from all states to the IDLE or  
10 RESET state) is indicated by an open arrow (an arrow with no source block). Global transitions are  
11 evaluated continuously whenever any state is evaluating its exit conditions. When the condition for a global  
12 transition becomes true, it supersedes all other transitions, including Unconditional Transition (UCT),  
13 returning control to the block pointed to by the open arrow.

14 Labels on transitions are qualifiers that are required to be fulfilled before the transition is taken. The label  
15 UCT designates an unconditional transition. Qualifiers described by short phrases are enclosed in  
16 parentheses.

17 The following terms are valid transition qualifiers:

- 18 — Boolean expressions
- 19 — An event such as the expiration of a timer: timer\_done
- 20 — An event such as the reception of a message: MAC\_DATA.indication

1 — An unconditional transition: UCT

2 — A branch taken when other exit conditions are not satisfied: ELSE

3 State transitions occur instantaneously. No transition in the state diagram can cross another transition.  
4 When possible, any two transitions with different logical conditions are not joined together into a single  
5 transition line.

### 6 **3.4.2 State diagrams and accompanying text**

7 State diagrams take precedence over text.

### 8 **3.4.3 Actions inside state blocks**

9 The actions inside a state block execute instantaneously. Actions inside state blocks are atomic (i.e.,  
10 uninterruptible).

11 After performing all the actions listed in a state block one time, the state diagram then continuously  
12 evaluates exit conditions for the given state block until one is satisfied, at which point control passes  
13 through a transition arrow to the next block. While the state awaits fulfillment of one of its exit conditions,  
14 the actions inside do not implicitly repeat.

15 Valid state actions may include generation of *indication* and *request* primitives.

16 No actions are taken outside of any blocks of the state diagram.

### 17 **3.4.4 State diagram variables**

18 Once set, variables retain their values as long as succeeding blocks contain no references to them.

19 Setting the parameter of a formal interface message assures that, on the next transmission of that message,  
20 the last parameter value set is transmitted.

21 Testing the parameter of a formal interface message tests the value of that message parameter that was  
22 received on the last transmission of said message. Message parameters may be assigned default values that  
23 persist until the first reception of the relevant message.

### 24 **3.4.5 Operators**

25 The state diagram operators are shown in Table 3-1.

26 **Table 3-1—State diagram operators**

Character	Meaning
AND	Boolean AND
OR	Boolean OR
XOR	Boolean XOR
!	Boolean NOT
<	Less than
>	More than
≤	Less than or equal to
≥	More than or equal to
==	Equals (a test of equality)
!=	Not equals
()	Indicates precedence

Character	Meaning
=	Assignment operator
	Concatenation operation that combines several sub-fields or parameters into a single aggregated field or parameter
else	No other state condition is satisfied
true	Designation of a Boolean value of TRUE
false	Designation of a Boolean value of FALSE

### 1 3.4.6 Timers

2 Some of the state diagrams use timers for various purposes, e.g., measurement of time, and confirmation of  
3 activity. All timers operate in the same fashion.

4 A timer is reset and starts counting upon entering a state where [start x\_timer, x\_timer\_value] is asserted.  
5 Time “x” after the timer has been started, “x\_timer\_done” is asserted and remains asserted until the timer is  
6 reset. At all other times, “x\_timer\_not\_done” is asserted.

7 When entering a state where [start x\_timer, x\_timer\_value] is asserted, the timer is reset and restarted even  
8 if the entered state is the same as the exited state.

9 Any timer can be stopped at any time upon entering a state where [stop x\_timer] is asserted, which aborts  
10 the operation of the “x\_timer” asserting “x\_timer\_not\_done” indication until the timer is restarted again.

### 11 3.4.7 Hexadecimal notation

12 Numerical values designated by the 0x prefix indicate a hexadecimal notation of the corresponding number,  
13 with the least significant bit shown on the right. For example: 0x0F represents an 8-bit hexadecimal value  
14 of the decimal number 15; 0x00-00-00-00 represents a 32-bit hexadecimal value of the decimal number 0;  
15 0x11-AB-11-AB represents a 32-bit hexadecimal value of the decimal number 296423851.

### 16 3.4.8 Binary notation

17 Numerical values designated by the 0b prefix indicate a binary notation of the corresponding number, with  
18 the least significant bit shown on the right. For example: 0b0001000 represents an 8-bit binary value of the  
19 decimal number 8.

## 20 3.5 Notation for PICS

21 The supplier of a device implementation that is claimed to conform to this standard is required to complete  
22 a protocol implementation conformance statement (PICS) proforma.

23 A completed PICS proforma is the PICS for the implementation in question. The PICS is a statement of  
24 which capabilities and options of this standard have been implemented. The PICS can be used for a variety  
25 of purposes by various parties, including the following:

- 26 a) As a checklist by the protocol implementer, to reduce the risk of failure to conform to the standard  
27 through oversight;
- 28 b) As a detailed indication of the capabilities of the implementation, stated relative to the common  
29 basis for understanding provided by the standard PICS proforma, by the supplier and acquirer, or  
30 potential acquirer, of the implementation;
- 31 c) As a basis for initially checking the possibility of interworking with another implementation by  
32 the user, or potential user, of the implementation (note that, while interworking can never be  
33 guaranteed, failure to interwork can often be predicted from incompatible PICS);

- 1           d) As the basis for selecting appropriate tests against which to assess the claim for conformance of  
2           the implementation, by a protocol tester.

3 Each PICS entry is uniquely identified by an item number, with the following form: [Package][Device]-  
4 [Feature][Number], where:

- 5           — [Package] is the designation of the given Package,
- 6           — [Device] identifies whether the given PICS item describes the ONU (U) or OLT (T) requirements,
- 7           — [Feature] is the identification of individual features, and finally,
- 8           — [Number] is a number allocated to each subsequent PICS entry. This item may have one of two  
9           possible formats: a decimal number or a decimal number followed by a lower-case letter. The first  
10           format is used to designate PICS with functionally distinct requirements. The latter format is used  
11           to designate PICS with functionally similar requirements.

12 For example, CU-LPTK3a represents a PICS entry for an ONU compliant with Package C for the “optical  
13 link protection, trunk type” feature, item 3, subitem a.

### 14 **3.5.1 Abbreviations and special symbols**

15 The following symbols are used in the PICS proforma:

M	mandatory field/function
!	negation
O	optional field/function
O.<n>	optional field/function, but at least one of the group of options labeled by the same numeral <n> is required
O/<n>	optional field/function, but one and only one of the group of options labeled by the same numeral <n> is required
X	prohibited field/function
<item>:	simple-predicate condition, dependent on the support marked for <item>
<item1>*<item2>:	AND-predicate condition, the requirement needs to be met if both optional items are implemented

### 16 **3.5.2 Instructions for completing the PICS proforma**

17 The first part of the PICS proforma, Implementation Identification and Protocol Summary, is to be  
18 completed as indicated with the information necessary to identify fully both the supplier and the  
19 implementation.

20 The main part of the PICS proforma is a fixed-format questionnaire divided into subclauses, each  
21 containing a group of items. Answers to the questionnaire items are to be provided in the right-most  
22 column, either by simply marking an answer to indicate a restricted choice (usually Yes, No, or Not  
23 Applicable), or by entering a value or a set or range of values. (Note that there are some items where two or  
24 more choices from a set of possible answers can apply; all relevant choices are to be marked.)

25 Each item is identified by an item reference in the first column; the second column contains the question to  
26 be answered; the third column contains the reference or references to the material that specifies the item in  
27 the main body of the standard; the fourth column contains values and/or comments pertaining to the  
28 question to be answered. The remaining columns record the status of the items—whether the support is  
29 mandatory, optional or conditional—and provide the space for the answers.

30 The supplier may also provide, or be required to provide, further information, categorized as either  
31 Additional Information or Exception Information. When present, each kind of further information is to be

1 provided in a further subclause of items labeled A<i> or X<i>, respectively, for cross-referencing purposes,  
2 where <i> is any unambiguous identification for the item (e.g., simply a numeral); there are no other  
3 restrictions on its format or presentation.

4 A completed PICS proforma, including any Additional Information and Exception Information, is the  
5 protocol implementation conformance statement for the implementation in question.

6 Note that where an implementation is capable of being configured in more than one way, according to the  
7 items listed under Major Capabilities/Options, single PICS may be able to describe all such configurations.  
8 However, the supplier has the choice of providing more than one PICS, each covering some subset of the  
9 implementation's configuration capabilities, if that would make presentation of the information easier and  
10 clearer.

### 11 **3.5.3 Additional information**

12 Items of Additional Information allow a supplier to provide further information intended to assist the  
13 interpretation of the PICS. It is not intended or expected that a large quantity be supplied, and the PICS can  
14 be considered complete without any such information. Examples might be an outline of the ways in which  
15 a (single) implementation can be set up to operate in a variety of environments and configurations; or a  
16 brief rationale, based perhaps upon specific application needs, for the exclusion of features that, although  
17 optional, are nonetheless commonly present in implementations.

18 References to items of Additional Information may be entered next to any answer in the questionnaire, and  
19 may be included in items of Exception Information.

### 20 **3.5.4 Exception information**

21 It may occasionally happen that a supplier wishes to answer an item with mandatory or prohibited status  
22 (after any conditions have been applied) in a way that conflicts with the indicated requirement. No pre-  
23 printed answer is found in the Support column for this; instead, the supplier is required to write into the  
24 Support column an X<i> reference to an item of Exception Information, and to provide the appropriate  
25 rationale in the Exception item itself.

26 An implementation for which an Exception item is required in this way does not conform to this standard.  
27 Note that a possible reason for the situation described above is that a defect in the standard has been  
28 reported, a correction for which is expected to change the requirement not met by the implementation.

### 29 **3.5.5 Conditional items**

30 The PICS proforma may contain conditional items. These are items for which both the applicability of the  
31 item itself, and its status if it does apply—mandatory, optional, or prohibited—are dependent upon whether  
32 or not certain other items are supported.

33 Individual conditional items are indicated by a conditional symbol of the form “<item>:<s>” in the Status  
34 column, where “<item>” is an item reference that appears in the first column of the table for some other  
35 item, and “<s>” is a status symbol, M (Mandatory), O (Optional), or X (Not Applicable).

36 If the item referred to by the conditional symbol is marked as supported, then:

- 37 a) the conditional item is applicable,
- 38 b) its status is given by “<s>”, and
- 39 c) the support column is to be completed in the usual way.

- 1 Each item whose reference is used in a conditional symbol is indicated by an asterisk in the Item column.

## 1 4 Universal Management Tunnel (UMT)

2 Editorial Note: this Clause will describe the UMT architecture, showing a single UMT domain  
3 interconnecting multiple L2 domains with UMT switches, and showing UMT instance between two UMT  
4 end-points. Description of the individual device functions follows (tentative names are used)

### 5 4.1 Overview

#### 6 4.1.1 Scope

7 This clause defines the Universal Management Tunnel (UMT) which is intended to be a supplemental layer  
8 in the IEEE 802 architecture. The UMT provides a mechanism for transmitting service data units for higher  
9 layer protocols across a layer-2 network in which those protocols would not normally be forwarded due to  
10 addressing conflicts or other factors.

11 UMT data from user entities is conveyed in frames called UMT Protocol Data Units (UMTPDUs).  
12 UMTPDUs contain the appropriate information to identify the encapsulated protocol for delivery to the  
13 correct receiving entity. UMTPDUs traverse one or more links and are passed between peer UMT entities,  
14 therefore UMTPDUs are forwarded by MAC clients (e.g. bridges or switches).

15  
16 ~~This standard will describe a management channel for customer premises equipment (CPE) connected to  
17 Ethernet-based subscriber access networks. The key characteristics of the specified management channel  
18 are:~~

19  
20 ~~Multi hop capabilities to allow management of various CPE devices located behind an Optical Network  
21 Unit (ONU), a Coaxial Network Unit (CNU), a Residential Gateway (RGW), etc.~~

22 ~~Extensibility to accommodate new management protocols and/or new types of CPE devices.~~

23 ~~Broadcast/multicast capabilities to allow simultaneous (synchronized) configuration of multiple devices.~~

24 ~~Encryption capabilities to ensure secure access to managed CPE devices by the network operators.~~

25 ~~The standard will describe the message format as well as processing operations and forwarding rules at the  
26 intermediate nodes.~~

#### 29 4.1.2 Summary of objectives and major concepts

30 This subclause provides details and functional requirements for the UMT objectives:

- 31 a) Bridge/Switch traversal: A mechanism is defined to forward UMTPDUs across bridges and  
32 switches.
- 33 b) Allow a single UMT User entity to send messages to one or more peer entities simultaneously  
34 using multicast or broadcast messages.

- 1 c) Allow the protocol to be extended to accommodate new user protocols to be supported in the
- 2 future.

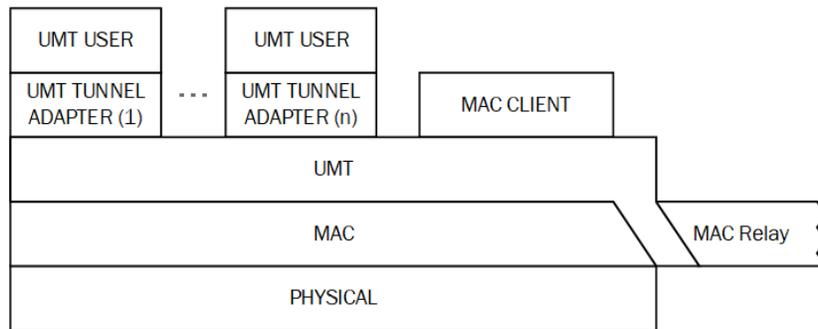
3 **4.1.3 Summary of non-objectives**

4 This subclause explicitly lists certain functions that are not addressed by UMT. These functions, while  
 5 valuable, do not fall within the scope of this standard.

- 6 a) Tunnel state/status: This standard does not define a tunnel state or status maintenance method.  
 7 UMT is a stateless protocol.
- 8 b) UMT Peer discovery: Discovery of UMT peers in the UMT network is out of scope of this  
 9 standard. This standard does not define a UMT-specific method to discover or detect UMT peers.
- 10 c) Router traversal: Router traversal is out of scope of this standard. This standard does not define  
 11 methods to forward UMTPDUs across Network-Layer clients (e.g. IP routers, IP hosts).

12 **4.1.4 Positioning of UMT in the IEEE 802 Architecture**

13 UMT comprises an optional sublayer between a superior sublayer (e.g., MAC Client) and a subordinate  
 14 sublayer (e.g., MAC or optional MAC Control sublayer). UMT is also composed of a shim between the  
 15 MAC and MAC Relay entities. Figure 4-1 shows the architectural relationship of the UMT layer to the  
 16 MAC, MAC Clients and UMT Users.



17 **Figure 4-1 - UMT relationship to the IEEE 802 model**

19 **4.1.5 Compatibility Considerations**

20 **4.1.5.1 Application**

21 UMT is intended for use in IEEE 802 networks. Nothing in this standard disallows implementation of UMT  
 22 on non-IEEE 802 networks, but description of such implementation is out of the scope of this standard.

23 A conformant implementation may implement the UMT sublayer for some ports within a system while not  
 24 implementing it for other ports on the same system.

25 **4.1.5.2 Interoperability between UMT capable DTEs**

26 A DTE is able to determine whether or not a remote DTE has UMT functionality enabled. The optional  
 27 UMT Discovery mechanism described in the annex discovers the presence of UMT peers and their  
 28 configured parameters, such as maximum allowable UMTPDU size, and supported UMT User protocols.  
 29

1 **4.1.5.3 Interface to MAC Clients**

2 The UMT Sublayer described in this standard implements a transparent pass-through for MAC clients that  
 3 generate the MA\_DATA.request service primitive (and expect the MA\_DATA.indication service  
 4 primitive). In some cases, such as OAM described in IEEE Std. 802.3 Clause 57, a protocol might be  
 5 required to operate as a MAC client and as a UMT User.

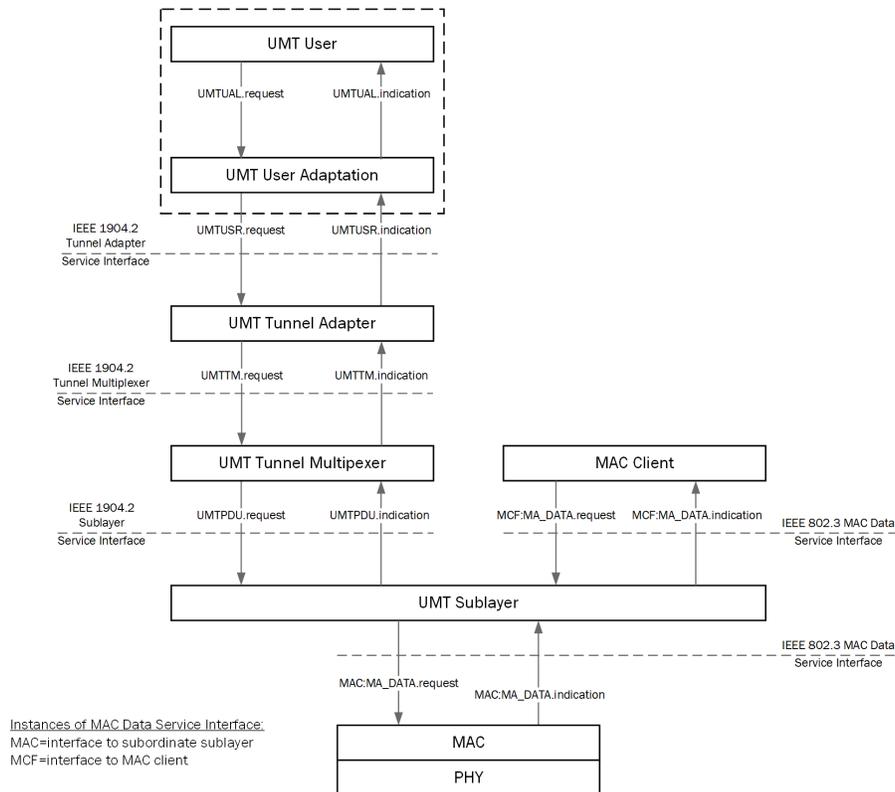
6 This standard may describe in text or depict in figures such protocols as having multiple instances – one at  
 7 the native position in the protocol stack and another at the UMT User position in the protocol stack. This  
 8 depiction is intended only to clarify the intended operation of the protocol with respect to UMT and not to  
 9 specify the method of implementation.

10 Similarly, it is out of the scope of this standard to describe the position and operation of all possible MAC  
 11 clients and MAC functions (such as MAC Control) relative to UMT. Where this standard is silent on the  
 12 operation of a protocol relative to UMT’s transparent pass-through functionality for MAC clients, that  
 13 protocol shall conform to its specification and operate as if UMT were not present.

14 **4.2 Functional Specifications**

15 **4.2.1 Interlayer Service Interfaces**

16 Figure 4-2 depicts the usage of interlayer interfaces by the UMT layer.



17

18

**Figure 4-2 - UMT interlayer service interfaces**

19 **4.2.2 Principles of Operation**

20 UMT employs the following principles and concepts:

- 1 a) UMTPDUs traverse a single bridging domain and are passed between UMT Sublayer entities.  
2 UMTPDUs are forwarded by intermediate bridges according to IEEE Std. 802.1Q and IEEE Std.  
3 802.1D.
- 4 b) UMT is a stateless/connectionless transmission method.
- 5 c) The UMT Sublayer presents a standard IEEE 802.3 MAC service interface to the superior  
6 sublayer, which is the MAC Client.
- 7 d) The UMT Sublayer employs a standard IEEE 802.3 MAC service interface to the subordinate  
8 sublayer. Subordinate sublayers include MAC and MAC Control.
- 9 e) Frames from superior sublayers are multiplexed within the UMT Sublayer with UMTPDUs.
- 10 f) The UMT Sublayer parses received frames and passes UMTPDUs to the UMT Tunnel Multiplexer.  
11 Non-UMTPDUs are passed to the superior sublayer.
- 12 g) Knowledge of the underlying Physical Layer device is not required by the UMT Sublayer.
- 13 h) The UMT Tunnel Multiplexer parses received UMTPDUs and passes them to an appropriate UMT  
14 Tunnel Adapter based on tunnel identifying fields, the source MAC address and destination MAC  
15 address.
- 16 i) The UMT Tunnel Adapter in UMT unicast operation emulates a point-to-point link to the remote  
17 UMT peer.
- 18 j) The UMT Tunnel Adapter parses received UMTPDUs and passes the UMT user service data to  
19 the appropriate UMT User.
- 20 k) The optional UMT User Adaptation layer is an abstract layer that adapts the UMT User service  
21 interface to the UMT Tunnel Adapter service interface.
- 22 l) The UMT User can be any protocol layer that could normally exist above MAC Control.

### 23 4.2.3 Instances of the MAC data service interface

24 A superior sublayer such as the MAC client communicates with the UMT Sublayer using the standard  
25 MAC data service interface specified in IEEE Std. 802.3 Clause 2. Similarly, the UMT Sublayer  
26 communicates with a subordinate sublayer such as the MAC Control or MAC using the same standard  
27 service interfaces.

28 This clause uses two instances of the MAC data service interface, therefore it is necessary to introduce a  
29 notation convention so that the reader can be clear as to which interface is being referred to at any given  
30 time. A prefix is therefore assigned to each service primitive, indicating which of the two interfaces is  
31 being invoked, as depicted in Figure 4-2. The prefixes are as follows:

- 32 a) MCF:, for primitives issued on the interface between the superior sublayer and the UMT Sublayer  
33 (MCF is an abbreviation for MAC client frame)
- 34 b) MAC:, for primitives issued on the interface between the underlying subordinate sublayer (e.g.,  
35 MAC) and the UMT Sublayer

### 36 4.2.4 UMT User

37 The UMT User is the functional block that uses the UMT to forward data across the UMT network.

#### 1 **4.2.4.1 Responsibilities of the UMT User**

2 The UMT User makes requests to the UMT User Adaption layer to send data across the UMT. The UMT  
3 User also listens to the UMT User Adaptation Layer for incoming data. Generally, interactions with the  
4 peer UMT User is out of the scope of this standard. Informative annexes have been included to guide  
5 implementors in the use of UMT. In some cases, the annex has been made normative.

#### 6 **4.2.4.2 UMT User Interactions**

7 The UMT User entity communicates with the UMT User Adaptation using the following interlayer service  
8 interfaces:

9 UMTUAL.request

10 UMTUAL.indication

11 The UMTUAL.request and UMTUAL.indication, service primitives described in this subclause are  
12 mandatory.

#### 13 **4.2.4.2.1 UMTUAL.request**

##### 14 **4.2.4.2.1.1 Function**

15 This primitive defines the transfer of data from an UMT User entity to the UMT User Adaptation entity.  
16 This primitive is specific to the UMT User protocol and is implementation specific. Informative annexes  
17 have been included to guide implementors. In some cases, the annex has been made normative.

##### 18 **4.2.4.2.1.2 Semantics of the service primitive**

19 The semantics of the primitive are implementation specific.

##### 20 **4.2.4.2.1.3 When Generated**

21 This primitive is generated by the UMT User entity whenever a user PDU is to be transferred to a peer  
22 entity.

##### 23 **4.2.4.2.1.4 Effect of Receipt**

24 The receipt of this primitive will cause the UMT User Adaptation entity to perform any required parsing  
25 and transformations of the received parameters necessary to send the UMT User PDU over the UMT. After  
26 performing these actions, the UMT User Adaptation entity asserts the UMTUSR.request primitive to the  
27 UMT Tunnel Adapter according to the procedures described in 4.2.5.2.1.

#### 28 **4.2.4.2.2 UMTUAL.indication**

##### 29 **4.2.4.2.2.1 Function**

30 This primitive defines the transfer of data from a UMT User Adaptation entity to a UMT User entity. This  
31 primitive is specific to the UMT User protocol and is implementation specific. Informative annexes have  
32 been included to guide implementors. In some cases, the annex has been made normative.

##### 33 **4.2.4.2.2.2 Semantics of the service primitive**

34 The semantics of the primitive are implementation specific.

#### 1 **4.2.4.2.2.3 When Generated**

2 This primitive is passed from the UMT User Adaptation entity to the UMT User entity to indicate the  
3 arrival of a UMPDU to the local UMT User. Such UMPDUs are reported only if they are validly formed  
4 and received without error.

#### 5 **4.2.4.2.2.4 Effect of Receipt**

6 The effect of receipt of this primitive by the UMT User is unspecified.

### 7 **4.2.5 UMT User Adaptation**

#### 8 **4.2.5.1 Responsibilities of the UMT User Adaptation**

9 The UMT User Adaptation is an intermediate layer that adapts the UMT User interfaces to the UMT  
10 Tunnel Adapter interfaces. The UMT User Adaptation receives transmit requests from the UMT User via  
11 the UMTUAL.request primitive, transforms those requests as needed, and passes the results into the UMT  
12 Tunnel Adapter via the UMTUSR.request primitive. In similar fashion, the UMT User Adaptation receives  
13 incoming data via the UMTUSR.indication primitive, transforms those requests as needed, and passes the  
14 results into the UMT User via the UMTUAL.indication primitive.

15 The required transformations are specific to the UMT User entity and are left unspecified. Example UMT  
16 User Adaptations are provided in the Annex.

#### 17 **4.2.5.2 UMT User Adaptation Interactions**

18 The UMT User Adaptation entity communicates with the UMT Tunnel Adapter using the following  
19 interlayer service interfaces:

20 UMTUSR.request

21 UMTUSR.indication

22 The UMTUSR.request and UMTUSR.indication, service primitives described in this subclause are  
23 mandatory.

#### 24 **4.2.5.2.1 UMTUSR.request**

##### 25 **4.2.5.2.1.1 Function**

26 This primitive defines the transfer of data from an UMT User Adaptation entity to a UMT Tunnel Adapter  
27 entity.

##### 28 **4.2.5.2.1.2 Semantics of the service primitive**

29 The semantics of the primitive are as follows:

30 UMTUSR.request (

31                                    umt\_subtype,

32                                    umt\_user\_sdu

33                                    )

1 The `umt_subtype` is used to identify the intended UMT User entity and is used to populate the Subtype field  
 2 of the UMT PDU. The `umt_user_sdu` parameter is used to create the Data field within the UMT PDU to be  
 3 transmitted.

#### 4 **4.2.5.2.1.3 When generated**

5 This primitive is generated by the UMT User Adaptation entity whenever a user PDU is to be transferred to  
 6 a peer entity using UMT.

#### 7 **4.2.5.2.1.4 Effect of Receipt**

8 The receipt of this primitive will cause the UMT Tunnel Adapter entity to multiplex the request with  
 9 requests from other UMT User entities and assert the UMTTM.request primitive to the UMT Tunnel  
 10 Multiplexer according to the procedures described in 4.2.7.3.1.

### 11 **4.2.5.2.2 UMTUSR.indication**

#### 12 **4.2.5.2.2.1 Function**

13 This primitive defines the transfer of data from an UMT Tunnel Adapter entity to an UMT User Adaptation  
 14 Layer entity.

#### 15 **4.2.5.2.2.2 Semantics of the service primitive**

16 The semantics of the primitive are as follows:

```
17 UMTUSR.indication (
18     destination_address,
19     source_address,
20     umt_subtype,
21     umt_user_sdu
22 )
```

23 The value of the `destination_address` parameter is copied from the `destination_address` parameter received  
 24 in the UMTTM.indication primitive. The value of the `source_address` parameter is copied from the  
 25 `source_address` parameter received in the UMTTM.indication primitive. The value of the `umt_user_sdu`  
 26 parameter is copied from the `umt_user_sdu` parameter received in the UMT PDU.indication primitive.

#### 27 **4.2.5.2.2.3 When generated**

28 This primitive is passed from the UMT Tunnel Adapter entity to the UMT User Adaptation entity to  
 29 indicate the arrival of a UMT PDU to the local UMT User. Such UMT PDUs are reported only if they are  
 30 validly formed and received without error.

#### 31 **4.2.5.2.2.4 Effect of Receipt**

32 The receipt of this primitive will cause the UMT User Adaptation entity to perform any required parsing  
 33 and transformations. After performing these actions, the UMT User Adaptation entity asserts the  
 34 UMTUAL.indication primitive to the UMT User Adaptation entity according to the procedures described  
 35 in 4.2.4.2.2.

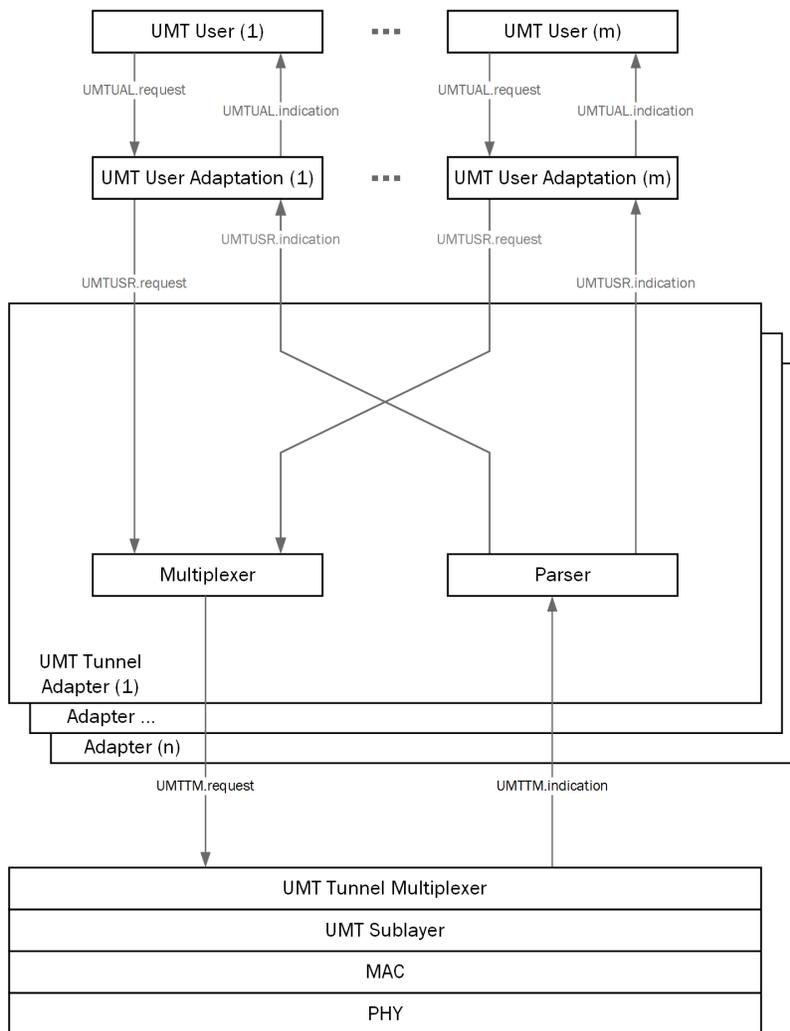
1 **4.2.6 UMT Tunnel Adapter**

2 **4.2.6.1 Responsibilities of the UMT Tunnel Adapter**

3 The UMT Tunnel Adapter multiplexes requests from multiple UMT Users and passes them to the UMT  
 4 Tunnel Multiplexer by asserting the UMTTM.request primitive. Similarly, the UMT Tunnel Adapter layer  
 5 receives UMTPDUs from the UMT Tunnel Multiplexer via the UMTTM.indication primitive and parses  
 6 the UMTPDUs for delivery to the UMT User designated by the Subtype field. Delivery to the UMT Tunnel  
 7 User Adaptation entity occurs via assertion of the UMTUSR.indication primitive.

8 **4.2.6.2 Block Diagram**

9 Figure 4-3 depicts the major blocks within the UMT Tunnel Adapter and their interrelationships with one  
 10 another and external entities.



11 **Figure 4-3 - UMT Tunnel Adapter Block Diagram**

### 1 **4.2.6.3 UMT Tunnel Adapter Interactions**

2 The UMT Tunnel Adapter entity communicates with the UMT Tunnel Multiplexer using the following  
3 interlayer service interfaces:

4 UMTTM.request

5 UMTTM.indication

6 The UMTTM.request and UMTTM.indication service primitives described in this subclause are mandatory.

#### 7 **4.2.6.3.1 UMTTM.request**

##### 8 **4.2.6.3.1.1 Function**

9 This primitive defines the transfer of data from an UMT Tunnel Adapter entity to the UMT Tunnel  
10 Multiplexer entity.

##### 11 **4.2.6.3.1.2 Semantics of the service primitive**

12 The semantics of the primitive are as follows:

```
13 UMTTM.request (
14         umt_tunnel_id
15         umt_subtype,
16         umt_user_sdu
17     )
```

18 The umt\_tunnel\_id parameter identifies the specific UMT Tunnel Adapter instance asserting the service  
19 primitive and is used by the UMT Tunnel Multiplexer to assign the source MAC address and destination  
20 MAC address. The umt\_user\_data parameter is used to create the Data field within the UMTTPDU to be  
21 transmitted. The umt\_subtype and umt\_user\_sdu are copied from the UMTUSR.request primitive.

##### 22 **4.2.6.3.1.3 When Generated**

23 This primitive is generated by the UMT Tunnel Adapter entity whenever an UMTTPDU is to be transferred  
24 to a peer entity.

##### 25 **4.2.6.3.1.4 Effect of Receipt**

26 The receipt of this primitive will cause the UMT Tunnel Multiplexer entity to multiplex the request with  
27 requests from other UMT Tunnel Adapter entities and assert the UMTTPDU.request primitive to the UMT  
28 Sublayer according to the procedures described in 4.2.7.3.1.

### 29 **4.2.6.3.2 UMTTM.indication**

#### 30 **4.2.6.3.2.1 Function**

31 This primitive defines the transfer of data from the UMT Tunnel Multiplexer entity to a single instance of a  
32 UMT Tunnel Adapter entity.

#### 1 **4.2.6.3.2.2 Semantics of the service primitive**

```

2 UMTTM.indication (
3     umt_tunnel_id,
4     destination_address,
5     source_address,
6     umt_subtype,
7     umt_user_sdu
8 )

```

9 The `umt_tunnel_id` parameter identifies the specific UMT Tunnel Adapter instance to which the service primitive is being addressed. The value of the `destination_address` parameter is copied from the `destination_address` parameter received in the `UMTPDU.indication` primitive. The value of the `source_address` parameter is copied from the `source_address` parameter received in the `UMTPDU.indication` primitive. The value of the `umt_user_sdu` parameter is copied from the `umt_user_sdu` parameter received in the `UMTPDU.indication` primitive.

#### 15 **4.2.6.3.2.3 When Generated**

16 This primitive is passed from the UMT Tunnel Multiplexer entity to a single instance of a UMT Tunnel Adapter entity to indicate the arrival of a `UMTPDU` to a local UMT User. Such `UMTPDU`s are reported only if they are validly formed and received without error.

#### 19 **4.2.6.3.2.4 Effect of Receipt**

20 The receipt of this primitive by a UMT Tunnel Adapter will cause the UMT Tunnel Adapter parser function to pass the UMT User data to the intended UMT User via the UMT User Adaptation entity based on the subtype received in the `UMTPDU` by asserting the `UMTUSR.indication` primitive according the procedures in 4.2.5.2.2.

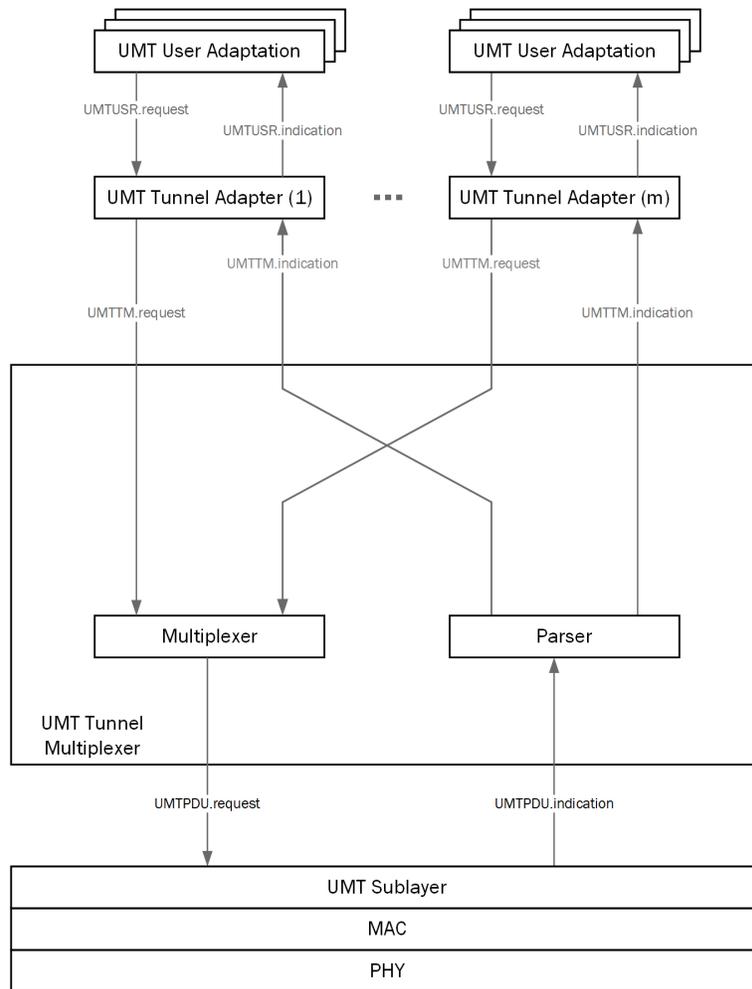
### 24 **4.2.7 UMT Tunnel Multiplexer**

#### 25 **4.2.7.1 Responsibilities of the UMT Tunnel Multiplexer**

26 The UMT Tunnel Multiplexer multiplexes requests from multiple UMT Tunnel Adapters and passes them to the UMT Sublayer by asserting the `UMTPDU.request` primitive. Similarly, the UMT Tunnel Multiplexer layer receives `UMTPDU`s from the UMT Sublayer via the `UMTPDU.indication` primitive and parses the `UMTPDU`s for delivery to the UMT Tunnel Adapter designated by the SA and DA fields. Delivery to the UMT Tunnel Adapter entity occurs via assertion of the `UMTTM.indication` primitive.

#### 31 **4.2.7.2 Block Diagram**

32 Figure 4-4 depicts the major blocks within the UMT Tunnel Multiplexer and their interrelationships with one another and external entities.



**Figure 4-4 - UMT Tunnel Multiplexer Block Diagram**

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13

**4.2.7.3 UMT Tunnel Multiplexer Interactions**

The UMT Tunnel Multiplexer entity communicates with the UMT Sublayer using the following interlayer service interfaces:

- UMTPDU.request
- UMTPDU.indication

The UMTPDU.request and UMTPDU.indication service primitives described in this subclause are mandatory.

**4.2.7.3.1 UMTPDU.request**

**4.2.7.3.1.1 Function**

This primitive defines the transfer of data from an UMT Tunnel Multiplexer entity to the UMT Sublayer entity.

#### 1 **4.2.7.3.1.2 Semantics of the service primitive**

2 The semantics of the primitive are as follows:

```
3 UMLTPDU.request (
4     destination_address,
5     source_address,
6     umt_type,
7     umt_subtype,
8     umt_user_sdu
9 )
```

10

11 The destination\_address parameter may specify either an individual or a group MAC entity address and  
 12 designates the intended UMT destination peer. The source\_address parameter, if present, must specify an  
 13 individual MAC address. If the source\_address parameter is omitted, the local MAC sublayer entity will  
 14 insert a value associated with that entity.

15 The umt\_type corresponds directly to the Length/Type parameter that is defined by IEEE Std. 802.3. The  
 16 umt\_subtype and umt\_user\_sdu are copied from the UMLTMM.request primitive.

#### 17 **4.2.7.3.1.3 When Generated**

18 This primitive is generated by the UMT Tunnel Multiplexer entity whenever an UMLTPDU is to be  
 19 transferred to a peer entity.

#### 20 **4.2.7.3.1.4 Effect of Receipt**

21 The receipt of this primitive will cause the UMT Sublayer entity to insert all UMLTPDU specific fields,  
 22 including DA, SA, Length/Type and Subtype, and pass the properly formed UMLTPDU to the lower  
 23 protocol layers for transfer to the peer UMT entity according to the procedures described in IEEE Std. 802.

#### 24 **4.2.7.3.2 UMLTPDU.indication**

##### 25 **4.2.7.3.2.1 Function**

26 This primitive defines the transfer of data from an UMT Sublayer entity to a UMT Tunnel Multiplexer  
 27 entity.

##### 28 **4.2.7.3.2.2 Semantics of the service primitive**

29 The semantics of the primitive are as follows:

```
30 UMLTPDU.indication (
31     destination_address,
32     source_address,
```

```

1         umt_type,
2         umt_subtype,
3         umt_user_sdu
4     )

```

5 The destination\_address parameter is the MAC destination address of the incoming UMT PDU. The  
6 source\_address parameter is the MAC source address of the incoming UMT PDU. The umt\_type parameter  
7 contains the value of the Length/Type field from the received UMT PDU. The umt\_subtype and  
8 umt\_user\_sdu parameters are the Subtype and Data fields, respectively, from the incoming UMT PDU.

#### 9 **4.2.7.3.2.3 When Generated**

10 This primitive is passed from the UMT Sublayer entity to the UMT Tunnel Multiplexer entity to indicate  
11 the arrival of a UMT PDU to the local UMT Sublayer entity that is destined for a local UMT User. Such  
12 UMT PDUs are reported only if they are validly formed and received without error.

#### 13 **4.2.7.3.2.4 Effect of Receipt**

14 The receipt of this primitive by the UMT Tunnel Multiplexer will cause the UMT Multiplexer parser  
15 function to pass the UMT User data to the intended UMT Tunnel Adapter by asserting the  
16 UMTTM.indication primitive according to the procedures in 4.2.6.3.2.

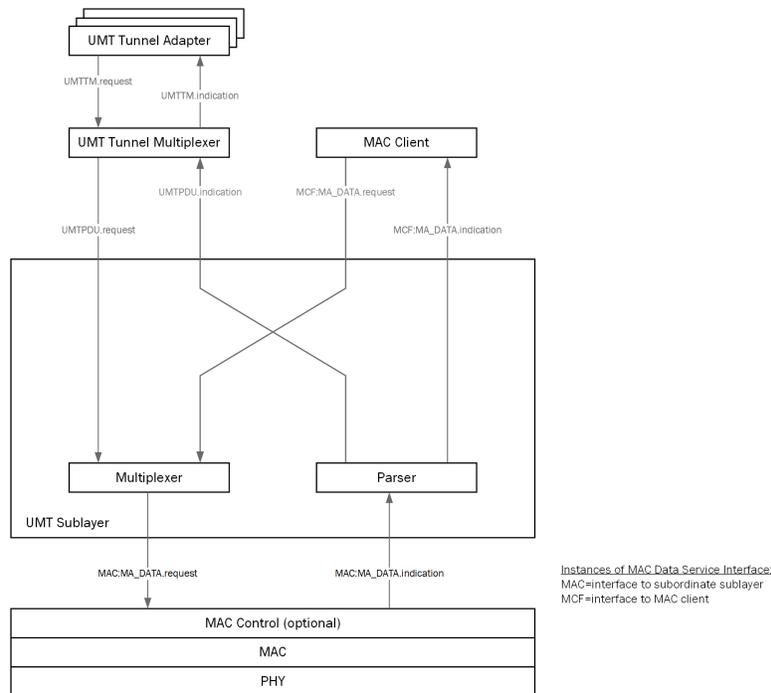
### 17 **4.2.8 UMT Sublayer**

#### 18 **4.2.8.1 Responsibilities of the UMT Sublayer**

19 The UMT sublayer is the intermediate layer that multiplexes requests from the UMT Tunnel Control layer  
20 with requests from the MAC Client. The UMT Sublayer passes these requests on to the MAC layer by  
21 asserting the MAC:MA\_DATA.request primitive. Similarly, the UMT Sublayer receives PDUs from the  
22 MAC layer via the MAC:MA\_DATA.indication primitive and parses the received PDUs for delivery to the  
23 UMT Tunnel Control layer or MAC Client based on the Type/Length field. Delivery to the MAC Client  
24 occurs via the MCF:MA\_DATA.indication primitive. Delivery to the UMT Tunnel Control layer occurs via  
25 the UMT PDU.indication primitive.

#### 26 **4.2.8.2 Block Diagram**

27 Figure 4-5 depicts the major blocks within the UMT Sublayer and their interrelationships with one another  
28 and external entities.



1

2

**Figure 4-5 - UMT Sublayer Block Diagram****4.2.8.3 UMT Sublayer Interactions**

4 The UMT Sublayer entity communicates with the MAC layer using the following interlayer service  
 5 interfaces:

6 MAC:MA\_DATA.request

7 MAC:MA\_DATA.indication

8 The UMT Sublayer entity communicates with the MAC Client using the following interlayer service  
 9 interfaces:

10 MCF:MA\_DATA.request

11 MCF:MA\_DATA.indication

12 Operation of the MA\_DATA.request and MA\_DATA.indication primitives is defined in IEEE Std. 802.3  
 13 Clause 2. The following sections describe their operation in the context of UMT. Where there is any  
 14 conflict between this standard and IEEE Std. 802.3 Clause 2, the latter takes precedence.

**4.2.8.3.1 MCF:MA\_DATA.request****4.2.8.3.1.1.1 Function**

17 See IEEE Std. 802.3 Clause 2.3.1.1

**4.2.8.3.1.1.2 Semantics of the service primitive**

19 See IEEE Std. 802.3 Clause 2.3.1.2

1 **4.2.8.3.1.1.3 When generated**

2 See IEEE Std. 802.3 Clause 2.3.1.3

3 **4.2.8.3.1.1.4 Effect of receipt**

4 The receipt of this primitive by the UMT Sublayer will cause the UMT Sublayer to call the MAC sublayer  
5 MAC:MA\_DATA.request service primitive with its parameters identical to the MCF:MA\_DATA.request  
6 primitive.

7 **4.2.8.3.2 MCF:MA\_DATA.indication**

8 **4.2.8.3.2.1.1 Function**

9 See IEEE Std. 802.3 Clause 2.3.2.1

10 **4.2.8.3.2.1.2 Semantics of the service primitive**

11 See IEEE Std. 802.3 Clause 2.3.2.2

12 **4.2.8.3.2.1.3 When generated**

13 This primitive is generated by the UMT Sublayer to indicate to the superior MAC client entity the arrival of  
14 a non-UMT PDU. The MCF:MA\_DATA.indication primitive is called with its parameters identical to the  
15 MAC:MA\_DATA.indication primitive.

16 **4.2.8.3.2.1.4 Effect of receipt**

17 See IEEE Std. 802.3 Clause 2.3.2.4

18 **4.2.8.3.3 MAC:MA\_DATA.request**

19 **4.2.8.3.3.1.1 Function**

20 See IEEE Std. 802.3 Clause 2.3.1.1

21 **4.2.8.3.3.1.2 Semantics of the service primitive**

22 See IEEE Std. 802.3 Clause 2.3.1.2

23 **4.2.8.3.3.1.3 When generated**

24 This primitive is generated by the UMT Sublayer when the superior MAC client asserts the  
25 MCF:MA\_DATA.request primitive. The MAC:MA\_DATA.request primitive is called with its parameters  
26 identical to the MCF:MA\_DATA.request primitive.

27 The MAC:MA\_DATA.request primitive is also called when a UMTPDU.request primitive is received from  
28 the UMT Tunnel Multiplexer layer. In this case, the UMT Sublayer copies the destination\_address and  
29 source\_address into the destination\_address and source\_address field of the MAC:MA\_DATA.request  
30 primitive. Further, the UMT Sublayer assembles the mac\_service\_data\_unit field by concatenating the  
31 umt\_type, umt\_subtype, and umt\_user\_sdu parameters received in the UMTPDU.request primitive.

32 **4.2.8.3.3.1.4 Effect of receipt**

33 See IEEE Std. 802.3 Clause 2.3.1.4

1 **4.2.8.3.4 MAC:MA\_DATA.indication**

2 **4.2.8.3.4.1.1 Function**

3 See IEEE Std. 802.3 Clause 2.3.2.1

4 **4.2.8.3.4.1.2 Semantics of the service primitive**

5 See IEEE Std. 802.3 Clause 2.3.2.2

6 **4.2.8.3.4.1.3 When generated**

7 See IEEE Std. 802.3 Clause 2.3.2.3

8 **4.2.8.3.4.1.4 Effect of receipt**

9 The receipt of this primitive by the UMT Sublayer will cause the UMT Sublayer to parse the incoming  
10 frame. Based on the value of the Length/Type field, the UMT Sublayer will determine whether the frame is  
11 destined for the UMT Tunnel Multiplexer or the MAC Client.

12 If the frame is destined for the MAC Client, the UMT Sublayer will generate an  
13 MCF:MA\_DATA.indication service primitive with its parameters identical to the  
14 MAC:MA\_DATA.indication primitive.

15 If the frame is destined for the UMT Tunnel Multiplexer, the UMT Sublayer parses the UMTPDU to find  
16 the Type, Subtype, and Data fields. After parsing the UMTPDU, the UMT Sublayer asserts the  
17 UMTPDU.indication primitive with the `umt_type` parameter copied from the Type field, the `umt_subtype`  
18 parameter copied from the Subtype field, and the `umt_user_sdu` parameter copied from the Data field.

19 **4.3 Detailed functions and state diagrams**

20 **4.3.1 State Diagram Variables**

21 **4.3.1.1 Constants**

22 UMT\_Subtype

23 **The value of the Subtype field for UMTPDUs (see**  
24 Table 4-2).

25 UMT\_Protocol\_Type

26 The value of the UMT Protocol Length/Type field. (see Table 4-1).

27 NULL

28 The value used to indicate the empty set or the non-existence of an entity.

29 **4.3.1.2 Variables**

30 BEGIN

31 A variable that resets the functions within UMT.

- 1           Values: TRUE; when any of the component UMT sublayers is reset.
- 2           FALSE; When (re-)initialization has completed.
- 3   ind\_DA
- 4   ind\_SA
- 5   ind\_mac\_service\_data\_unit
- 6   ind\_reception\_status
- 7           The parameters of the MA\_DATA.indication service primitive, as defined in IEEE Std. 802.3
- 8           Clause 2.
- 9   ind\_uml\_tid
- 10          The value of the uml\_tunnel\_id parameter passed to the UML Tunnel Adapter in the
- 11          UMTTM.indication primitive.
- 12          Value: Integer
- 13   ind\_Length/Type
- 14          The value of the Length/Type field in a received MAC protocol frame (see Table 4-1) and is
- 15          passed to the UML Tunnel Multiplexer in the uml\_type parameter of the UMLTPDU.indication
- 16          primitive.
- 17          Value: Integer
- 18   ind\_uml\_subtype
- 19           **The value of the Subtype field in a received UML protocol frame (see**
- 20          Table 4-2) and is passed to the UML Tunnel Multiplexer in the uml\_subtype parameter of the
- 21          UMLTPDU.indication primitive.
- 22          Value: Integer
- 23   ind\_uml\_user\_sdu
- 24          The value of the Data field in a received UML protocol frame and is passed to the UML Tunnel
- 25          Multiplexer in the uml\_user\_sdu parameter of the UMLTPDU.indication primitive.
- 26   req\_DA
- 27   req\_SA
- 28   req\_mac\_service\_data\_unit
- 29   req\_reception\_status
- 30          The parameters of the MA\_DATA.request service primitive, as defined in IEEE Std. 802.3 Clause
- 31          2.
- 32   req\_uml\_tid

1           The value of the `umt_tunnel_id` parameter passed to the UMT Tunnel Multiplexer in the  
2           UMTTM.request primitive.

3           Value: Integer

4   `req_umt_type`

5           The value of the `umt_type` parameter passed to the UMT Sublayer in the UMTTPDU.request  
6           primitive.

7           Value: Integer

8   `req_umt_subtype`

9           The value of the `umt_subtype` parameter passed to the UMT Client in the UMTUSR.request  
10          primitive.

11          Value: Integer

12   `req_umt_user_sdu`

13          The value of the `umt_user_sdu` parameter passed to the UMT Client in the UMTUSR.request  
14          primitive.

### 15   **4.3.1.3 Messages**

16   MAC:MA\_DATA.indication

17   MCF:MA\_DATA.indication

18          The service primitives used to pass a received frame to a client with the specified parameters.

19   MAC:MA\_DATA.request

20   MCF:MA\_DATA.request

21          The service primitives used to transmit a frame with the specified parameters.

22   UMTPDU.indication

23   UMTUSR.indication

24   UMTTM.indication

25   UMTUAL.indication

26          The service primitives used to pass a received UMTTPDU to a client with the specified parameters.

27   UMTPDU.request

28   UMTUSR.request

29   UMTTM.request

30   UMTUAL.request

1 The service primitives used to transmit a UMLTPDU with the specified parameters.

2 UMLTPDUIND

3 Alias for UMLTPDU.indication (ind\_DA, ind\_SA, ind\_Length/Type, ind\_uml\_subtype,  
4 ind\_uml\_user\_sdu)

5 UMLTPDUREQ

6 Alias for UMLTPDU.request(req\_DA, req\_SA, req\_uml\_type, req\_uml\_subtype,  
7 req\_uml\_user\_sdu)

8 UMLTUSRIND

9 Alias for UMLTUSR.indication (ind\_DA, ind\_SA, ind\_uml\_subtype, ind\_uml\_user\_sdu)

10 UMLTUSRREQ

11 Alias for UMLTUSR.request(req\_uml\_subtype, req\_uml\_user\_sdu)

12 UMLTTMREQ

13 Alias for UMLTTM.request(req\_uml\_tid, req\_uml\_subtype, req\_uml\_user\_sdu)

14 UMLTTMIND

15 Alias for UMLTTM.indication(ind\_uml\_tid, ind\_DA, ind\_SA, ind\_uml\_subtype,  
16 ind\_uml\_user\_sdu)

17 MADR

18 Alias for MA\_DATA.request(req\_DA, req\_SA, req\_mac\_service\_data\_unit,  
19 frame\_check\_sequence)

20 MADI

21 Alias for MA\_DATA.indication(ind\_DA, ind\_SA, ind\_mac\_service\_data\_unit,  
22 ind\_reception\_status)

#### 23 4.3.1.4 Functions

24 get\_sa(req\_uml\_tid)

25 This function returns the desired source MAC address to be used on the tunnel indicated by  
26 req\_uml\_tid. This function returns NULL if the source MAC address is to be inserted by the MAC  
27 layer. The implementation of the get\_sa() function is out of scope for this standard.

28 get\_da(req\_uml\_tid)

29 This function returns the desired destination MAC address to be used on the tunnel indicated by  
30 ind\_uml\_tid. It is assumed that it is not possible to call this function prior to the specified tunnel's  
31 creation and therefore it must always return a valid value. The implementation of the get\_da()  
32 function is out of scope for this standard.

33 get\_tid(ind\_SA, ind\_DA)

1 This function returns the unique identifier of the UMT Tunnel Adapter associated with the  
 2 indicated source MAC address and indicated destination MAC address. This function returns  
 3 NULL if there is no UMT Tunnel Adapter configured with the specified source MAC address and  
 4 destination MAC. The implementation of the `get_tid()` function is out of scope for this standard.

5 `length(binary_data)`

6 This function returns the length, in bits, of the `binary_data` parameter.

7 **4.3.1.5 Counters**

8 No counters are defined.

9 **4.3.1.6 Timers**

10 No timers are defined.

11 **4.3.2 UMT User Adaptation**

12 Refer to the annex for informative and normative descriptions of UMT User Adaptations for specific UMT  
 13 User protocols.

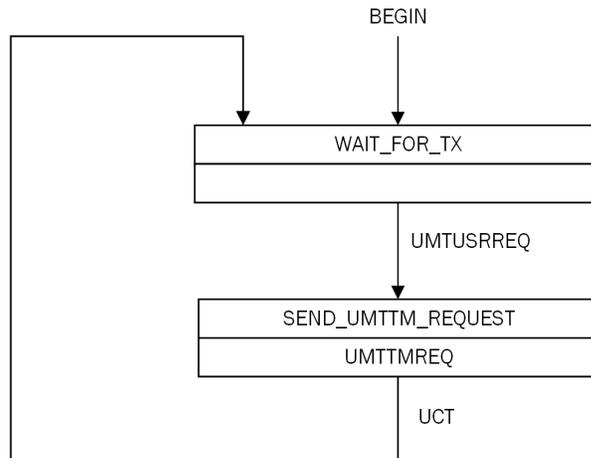
14 **4.3.3 UMT Tunnel Adapter**

15 As depicted in Figure 4-3, the UMT Tunnel Adapter is comprised of the functions:

- 16 a) **Multiplexer**. This function is responsible for multiplexing UMT user service data units received  
 17 from the UMT User and UMT User Adaptation entities and passing them to the UMT Tunnel  
 18 Multiplexer.
- 19 b) **Parser**. This function distinguishes among UMT PDU subtypes and passes received UMT user  
 20 service data units to the appropriate UMT ser via the associated UMT User Adaptation entity.

21 **4.3.3.1 Multiplexer**

22 The UMT Tunnel Adapter shall implement the multiplexer state diagram shown in Figure 4-6.



23

24

**Figure 4-6 - UMT Tunnel Adapter Multiplexer State Diagram**

1 **4.3.3.1.1 WAIT\_FOR\_TX State**

2 Upon initialization, the WAIT\_FOR\_TX state is entered. While in the WAIT\_FOR\_TX state, the  
 3 Multiplexer waits for the occurrence of an UMTUSR.request. The UMTUSR.request signal can be asserted  
 4 by one or more UMT User Adaptation entities.

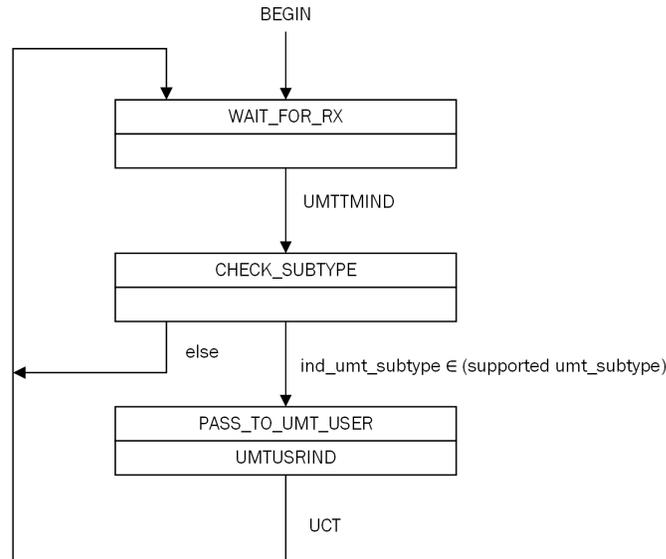
5 **4.3.3.1.2 SEND\_UMTTM\_REQUEST State**

6 **Once the Multiplexer reaches the SEND\_UMTTM\_REQUEST state, it shall**  
 7 **assert the UMTTM.request signal with the required parameters. The value of**  
 8 **req\_umt\_subtype shall be set by the UMT User Adaptation entity based on the**  
 9 **identity of the UMT User that asserted the UMTUAL.request. The value must be**  
 10 **taken from**

11 Table 4-2. The value of req\_umt\_tid shall be set by the UMT Tunnel Adapter based on the tunnel identifier  
 12 assigned to it at the time of its creation.

13 **4.3.3.2 Parser**

14 The UMT Tunnel Adapter shall implement the parser state diagram shown in Figure 4-7.



15

16 **Figure 4-7 - UMT Tunnel Adapter Parser State Diagram**

17 **4.3.3.2.1 WAIT\_FOR\_RX State**

18 Upon initialization, the WAIT\_FOR\_RX state is entered. While in the WAIT\_FOR\_RX state, the parser  
 19 waits for the occurrence of an UMTTM.indication. Upon assertion of UMTTM.indication the parser enters  
 20 the CHECK\_SUBTYPE state.

21 **4.3.3.2.2 CHECK\_SUBTYPE State**

22 In the CHECK\_SUBTYPE state, the parser inspects the value of ind\_umt\_subtype. If the value of  
 23 ind\_umt\_subtype is an element of the supported UMT subtypes, the parser will transition to the  
 24 PASS\_TO\_UMT\_USER state. If the value of ind\_umt\_subtype is not a supported UMT subtype, the parser  
 25 will discard the UMT PDU and move to the WAIT\_FOR\_RX state.

1           **A value of ind\_omt\_subtype is an element of the supported omt\_subtypes if a**  
 2           **UMT User Adaptation entity has registered itself to use the associated tunnel**  
 3           **with one of the UMT Subtypes found in**

4 Table 4-2.

#### 5 **4.3.3.2.3 PASS\_TO\_UMT\_USER State**

6 In the PASS\_TO\_UMT\_USER state, the parser asserts the UMTUSR.indication signal. The destination  
 7 UMT User Adaptation entity is determined by the value of ind\_omt\_subtype.

#### 8 **4.3.4 UMT Tunnel Multiplexer**

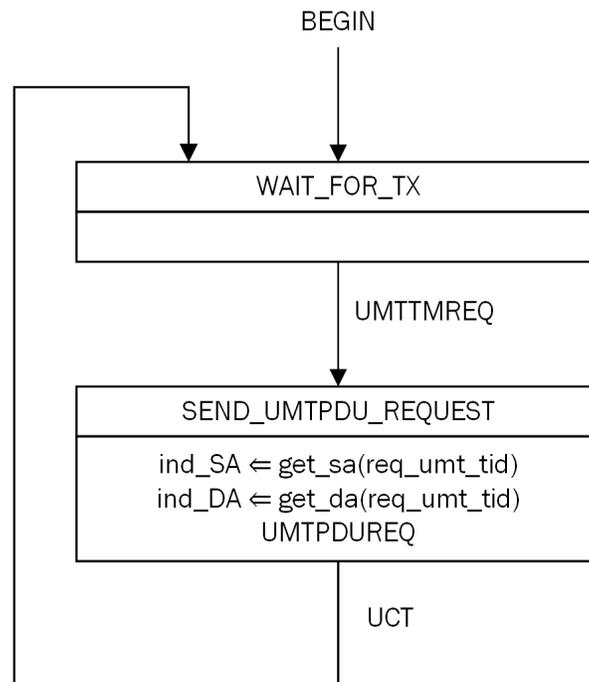
9 As depicted in Figure 4-4, the UMT Tunnel Multiplexer is comprised of the following functions:

10       c) ***Multiplexer.*** This function is responsible for multiplexing UMT user service data units received  
 11       from the UMT Tunnel Adapters and passing them to the UMT Sublayer.

12       d) ***Parser.*** This function distinguishes among UMT tunnels passes received UMT user service data  
 13       units to the appropriate UMT Tunnel Adapter.

#### 14 **4.3.4.1 Multiplexer**

15 The UMT Tunnel Multiplexer shall implement the multiplexer state diagram shown in Figure 4-8.



16

17 **Figure 4-8 - UMT Tunnel Multiplexer Multiplexer State Diagram**

#### 18 **4.3.4.1.1 WAIT\_FOR\_TX State**

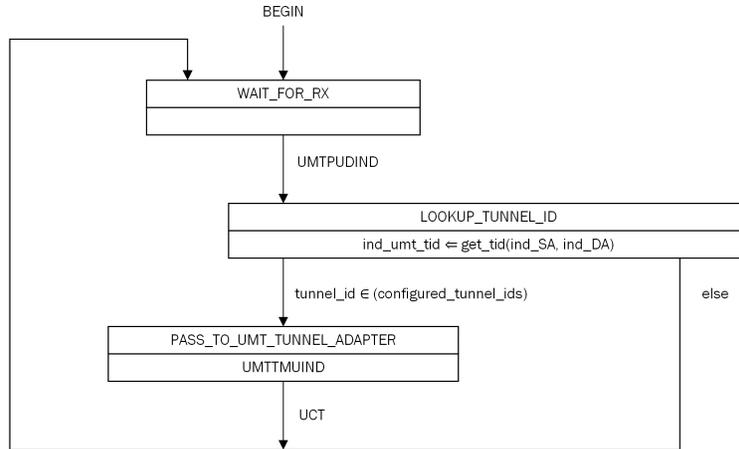
19 Upon initialization, the WAIT\_FOR\_TX state is entered. While in the WAIT\_FOR\_TX state, the  
 20 Multiplexer waits for the occurrence of an UMTTM.request. The UMTTM.request signal can be asserted  
 21 by one or more UMT Tunnel Adapter entities.

1 **4.3.4.1.2 SEND\_UMTPDU\_REQUEST State**

2 Once the Multiplexer reaches the SEND\_UMTPDU\_REQUEST state, it shall assert the UMTTPDU.request  
 3 signal with the required parameters. The value of req\_SA req\_DA are determined by calling the get\_da()  
 4 and get\_sa() functions. The value of req\_omt\_subtype and req\_omt\_user\_sdu shall be copied from the  
 5 received UMTTM.request primitive parameters.

6 **4.3.4.2 Parser**

7 The UMT Tunnel Multiplexer shall implement the parser state diagram shown in Figure 4-9.



8

9

**Figure 4-9 - UMT Tunnel Multiplexer Parser State Diagram**

10 **4.3.4.2.1 WAIT\_FOR\_RX State**

11 Upon initialization, the WAIT\_FOR\_RX state is entered. While in the WAIT\_FOR\_RX state, the parser  
 12 waits for the occurrence of an UMTTPDU.indication. Upon assertion of UMTTPDU.indication the parser  
 13 enters the LOOKUP\_TUNNEL\_ID state.

14 **4.3.4.2.2 LOOKUP\_TUNNEL\_ID State**

15 In the LOOKUP\_TUNNEL\_ID state, the parser determines the local instance of UMT Tunnel Adapter  
 16 entity to which the UMTTPDU is destined by calling the get\_tid() function. The parser will transition to the  
 17 PASS\_TO\_UMT\_TUNNEL\_ADAPTER state if the identified tunnel is an element of the configured  
 18 tunnels on the local UMT peer. If the identified tunnel is not configured on the local UMT peer, the parser  
 19 will discard the UMTTPDU and move to the WAIT\_FOR\_RX state.

20 A tunnel is an element of the configured tunnels if an administrator has configured the tunnel on the local  
 21 UMT peer. A tunnel may be configured by the administrator manually or through an automated UMT  
 22 peer discovery mechanism.

23 **4.3.4.2.3 PASS\_TO\_UMT\_TUNNEL\_ADAPTER State**

24 In the PASS\_TO\_UMT\_TUNNEL\_ADAPTER state, the parser asserts the UMTTM.indication primitive.  
 25 The destination UMT Tunnel Adapter entity is determined by the ind\_omt\_tid value returned in the  
 26 LOOKUP\_TUNNEL\_ID state.

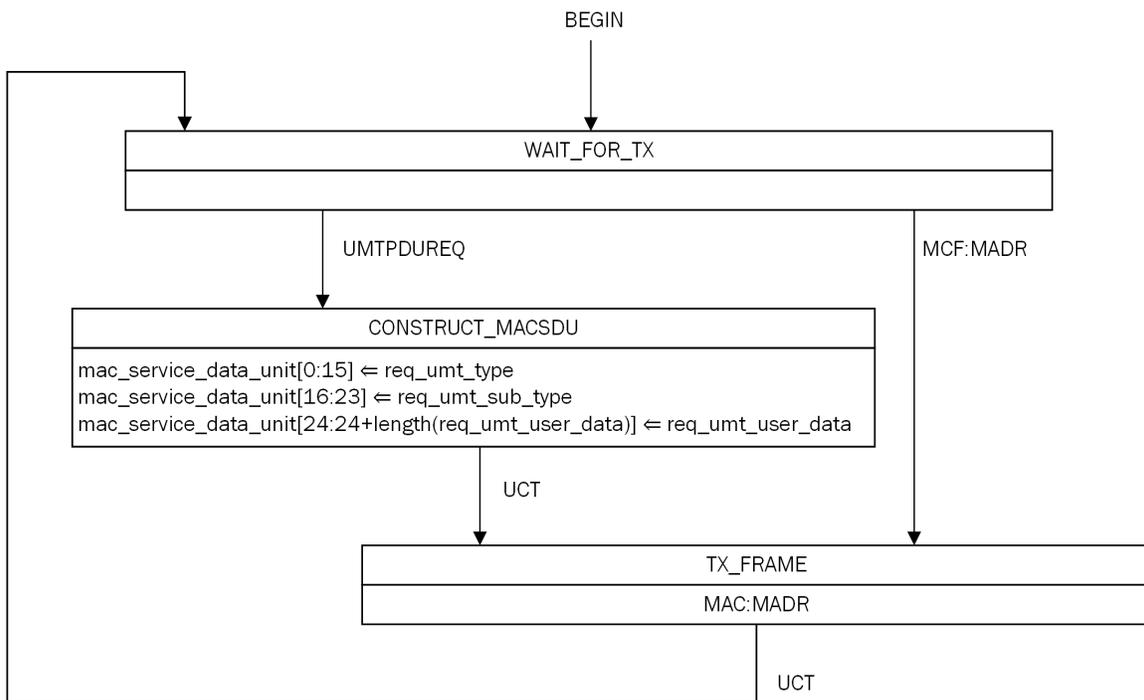
### 1 4.3.5 UMT Sublayer

2 As depicted in Figure 4-5, the UMT sublayer comprises the following functions:

- 3 e) **Multiplexer**. This function is responsible for passing frames received from the superior sublayer  
 4 (i.e., UMT client) and UMTPDUs to the subordinate sublayer (e.g., MAC sublayer).
- 5 f) **Parser**. This function distinguishes among UMTPDUs and MAC client frames and passes each to  
 6 the appropriate entity (UMT client or superior sublayer, respectively).

#### 7 4.3.5.1 Multiplexer

8 The UMT Sublayer entity shall implement the multiplexer state diagram shown in Figure 4-10.



9

10 **Figure 4-10 - UMT Sublayer Multiplexer State Diagram**

#### 11 4.3.5.1.1 WAIT\_FOR\_TX state

12 Upon initialization, the WAIT\_FOR\_TX state is entered. While in the WAIT\_FOR\_TX state, the  
 13 Multiplexer waits for the occurrence of a UMT PDU.request or MCF:MA\_DATA.request.

#### 14 4.3.5.1.2 CONSTRUCT\_MACSDU state

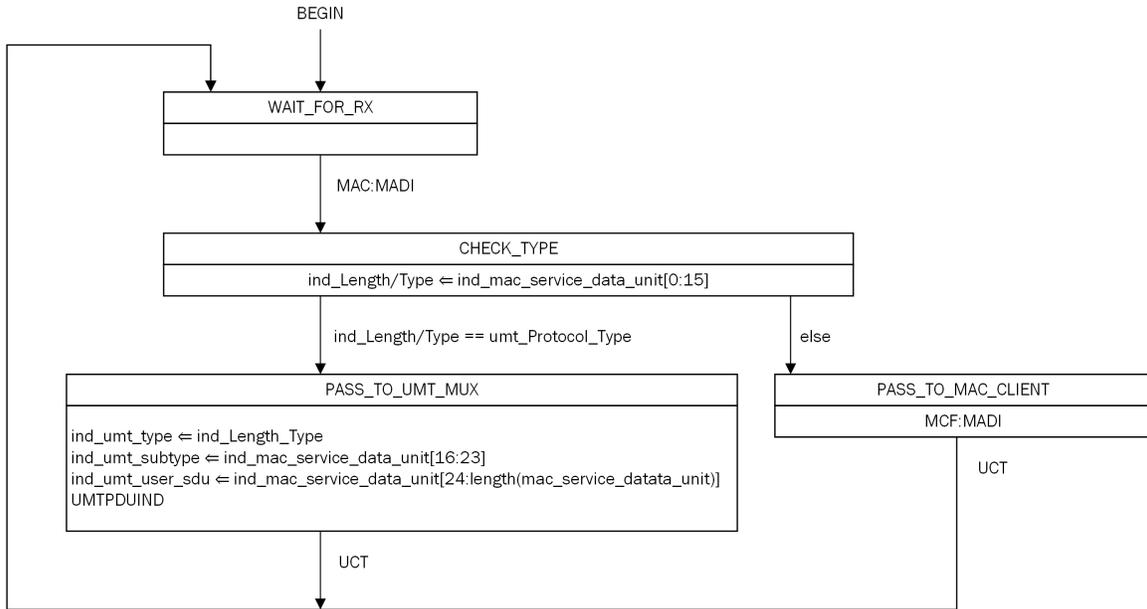
15 The multiplexer transitions to the CONSTRUCT\_MACSDU state when a UMT PDU.request is received. In  
 16 the CONSTRUCT\_MACSDU state the multiplexer populates the Type/Length field with req\_omt\_type,  
 17 the UMT subtype field with req\_omt\_sub\_type, and the remainder of the MAC Data field with  
 18 req\_omt\_user\_sdu.

1 **4.3.5.1.3 TX\_FRAME state**

2 Once the multiplexer reaches the TX\_FRAME state, it shall provide transparent pass-through of frames  
 3 submitted by the superior sublayer. The transmission of a UMT PDU shall not affect the transmission of a  
 4 frame that has been submitted to the subordinate sublayer (i.e., the MAC's TransmitFrame function is  
 5 synchronous, and is never interrupted). After the frame has been sent to the subordinate sublayer, the  
 6 Multiplexer process returns to the WAIT\_FOR\_TX state.

7 **4.3.5.2 Parser**

8 The UMT Sublayer entity shall implement the parser state diagram shown in Figure 4-11.



9

10 **Figure 4-11 - UMT Sublayer Parser State Diagram**

11 **4.3.5.2.1 WAIT\_FOR\_RX state**

12 Upon initialization, the WAIT\_FOR\_RX state is entered. While in the WAIT\_FOR\_RX state, the parser  
 13 waits for the occurrence of an MAC:MA\_DATA.indication. Upon assertion of  
 14 MAC:MA\_DATA.indication the parser enters the CHECK\_TYPE state.

15 **4.3.5.2.2 CHECK\_TYPE state**

16 In the CHECK\_TYPE state, the parser inspects the value of the ind\_Length/Type field. If the value of the  
 17 ind\_Length/Type equals umt\_Protocol\_Type, the parser will transition to the PASS\_TO\_UMT\_MUX state.  
 18 If the value of the ind\_Length/Type is anything else, the parser will move to the  
 19 PASS\_TO\_MAC\_CLIENT state.

20 **4.3.5.2.3 PASS\_TO\_UMT\_MUX**

21 In the PASS\_TO\_UMT\_MUX state, the parser parses the UMT PDU to find the ind\_omt\_subtype, and  
 22 ind\_omt\_user\_sdu and then asserts the UMT PDU.indication primitive.

#### 1 4.3.5.2.4 PASS\_TO\_MAC\_CLIENT state

2 In the PASS\_TO\_MAC\_CLIENT state, the parser asserts the MCF:MA\_DATA.indication primitive with  
3 parameters identical to those received from the MAC:MA\_DATA.indication primitive.

### 4 4.4 UMT PDU format

#### 5 4.4.1 Ordering and representation of octets

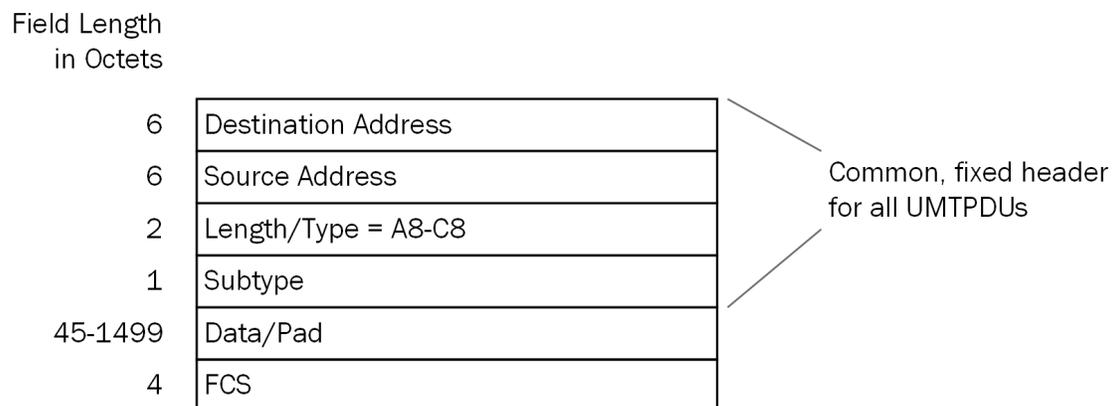
6 All UMTPDUs comprise an integral number of octets. When the encoding of (an element of) an UMTPDU  
7 is depicted in a diagram:

- 8 a) Octets are transmitted from top to bottom within the given field.
- 9 b) Within an octet, bits are shown with bit 0 to the left and bit 7 to the right.
- 10 c) When consecutive octets are used to represent a binary number, the octet transmitted first has the  
11 more significant value.
- 12 d) When consecutive octets are used to represent a MAC address, the least significant bit of the first  
13 octet is assigned the value of the first bit of the MAC address, the next most significant bit the  
14 value of the second bit of the MAC address, and so on for all the octets of the MAC address.

15 When the encoding of an element of an UMTPDU is depicted in a table, the least significant bit is bit 0.  
16 The bit/octet ordering of any Organizationally Unique Identifier (OUI) or Company ID (CID) field within  
17 an UMTPDU is identical to the bit/octet ordering of the OUI portion of the Destination Address  
18 (DA)/Source Address(SA). Additional detail defining the format of OUIs and CIDs can be found in IEEE  
19 Std 802-2014, 8.2.2.

#### 20 4.4.2 Structure

21 The UMTPDU structure shall be as shown in Figure 4-12.



22  
23 **Figure 4-12 - UMTPDU Frame Structure**

24 UMTPDUs shall have the following fields

- 25 a) **Destination Address (DA)**. The DA in the UMTPDU specifies the destination addressee(s) for  
26 which the frame is intended. Its use and encoding are specified in IEEE Std 802.3, Clause 4.

1 b) **Source Address** (SA). The SA in UMTPDUs carries the individual MAC address associated with  
2 the port through which the UMTpDU is transmitted.

3 c) **Length/Type**. The Length/Type in UMTPDUs carries the UMT\_Protocol\_Type field value as  
4 specified in Table 4-1.

5 **Subtype**. The Subtype field identifies the specific UMT User layer being  
6 encapsulated. The Subtype field value is specified in

7 d) Table 4-2.

8 e) **Data**. This field contains the UMTpDU data. This field must be at least 45 octets in length to  
9 ensure that no UMTpDU is less than 64 octets in length.

10 f) **FCS**. This field is the Frame Check Sequence, as defined in IEEE Std. 802.3.

11

12

**Table 4-1 - UMT\_Protocol\_Type Value**

Name	Value
UMT_Protocol_Type	A8-C8

13

14

**Table 4-2 - UMT Subtype Values**

Protocol Subtype Value	Protocol Name
0	Reserved
1	Unassigned
2	Unassigned
3	IEEE Std. 802.3 and IEEE Std. 1904.1 Operations, Administration, and Maintenance (OAM)
4-10	Unassigned
11	IGMP
12	OMCI
13	UMT Relay
14-252	Unassigned
253	Vendor-Specific
254	UMT Peer Maintenance
255	Reserved

### 1 **4.4.3 UMLTPDU Description**

2 The local UMT layer communicates with the remote UMT layer via UMLTPDUs. UMLTPDUs are identified  
 3 with a specific code. UMLTPDUs are formatted as compliant IEEE 802.3 frames, where the IEEE 802.3  
 4 frame header format is described in IEEE Std. 802.3. UMLTPDUs are further defined, as shown in Figure  
 5 4-12, to include a Subtype field following the IEEE 802.3 defined Length/Type field. The Data field begins  
 6 in a fixed location within the UMLTPDU. The Data field contents are unique to the particular UMLTPDU. All  
 7 received UMLTPDUs are parsed by the UMT layer to determine to which superior layer the Payload is to be  
 8 delivered. The UMT Subtype field shall be used to determine which superior layer will receive the Payload.  
 9 UMLTPDUs with reserved Subtype field values are not transmitted. A UMLTPDU containing a reserved  
 10 Subtype value is ignored on receipt. A UMLTPDU containing a Subtype value that is unsupported by the  
 11 receiving UMT layer are ignored on receipt.

### 12 **4.4.4 UMLTPDU Addressing**

13 A UMT tunnel is uniquely identified by the combination of the MAC Source Address and MAC  
 14 Destination Address in the UMLTPDU SA and DA fields. The SA shall be the MAC address of the local  
 15 UMT peer and must not be a broadcast or group MAC address.

16 In typical operation the DA of the UMLTPDU will be the unique MAC address of a UMT peer. This is  
 17 referred to as unicast UMT operation.

18 Nothing in this standard disallows the use of a broadcast or group MAC address in the DA field of the  
 19 UMLTPDU. UMT broadcast mode operation refers to the case when a broadcast MAC address is used in the  
 20 DA field of the UMLTPDU. UMT multicast mode operation refers to the case when a group MAC address is  
 21 used in the DA field of the UMLTPDU.

22 When a UMT peer receives a UMLTPDU with a broadcast or group MAC address in the DA field, the UMT  
 23 sublayer shall pass the UMLTPDU to the UMT Tunnel Multiplexer. The UMT Tunnel Multiplexer shall  
 24 lookup the tunnel id as specified in 4.3.4.2. If no tunnel id is found to match the unique (SA,DA) pair, then  
 25 the UMT Tunnel Multiplexer shall drop the UMLTPDU, otherwise the UMT Multiplexer shall pass the  
 26 UMLTPDU to the corresponding UMT Tunnel Adapter. This implies that an administrator may configure a  
 27 tunnel with a broadcast or group destination address, and must configure such a tunnel if broadcast or  
 28 multicast UMT operation is desired.

## 29 **4.5 Protocol implementation conformance statement (PICS) proforma**

### 30 **4.5.1 Introduction**

31 The supplier of a protocol implementation that is claimed to conform to this standard shall complete the  
 32 following protocol implementation conformance statement (PICS) proforma.

### 33 **4.5.2 Identification**

#### 34 **4.5.2.1 Implementation identification**

Supplier	
Contact Point for inquiries about the PICS	
Implementation Name(s) and Version(s)	
Other information necessary for full identification— e.g., name(s) and version(s) for machines and/or	

operating systems; System Name(s)	
NOTE 1—Only the first three items are required for all implementations; other information may be completed as appropriate in meeting the requirements for the identification.	
NOTE 2—The terms Name and Version should be interpreted appropriately to correspond with a supplier’s terminology (e.g., Type, Series, Model).	

1

2 **4.5.2.2 Protocol Summary**

Identification of protocol standard	IEEE Std. 1904.2, Univeral Management Tunnel
Identification of amendments and corrigenda to this PICS proforma that have been completed as part of this PICS	
Have any Exception items been required? No [ ] Yes [ ]  (The answer Yes means that the implementation does not conform to IEEE Std 1904.2.)	
Date of Statement	

3

4 **4.5.2.3 Major Capabilities/Options**

Item	Feature	Subclause/Table	Value/Comment	Status	Support
				O/M	Yes [ ] No [ ]

5

6 **4.5.3 PICS proforma tables for UMT**

7 **4.5.3.1 Functional Specifications**

Item	Feature	Subclause/Table	Value/Comment	Status	Support
				O/M	Yes [ ] No [ ]

8

1 **4.5.3.2 UMPDUs**

Item	Feature	Subclause/Table	Value/Comment	Status	Support
				O/M	Yes [ ] No [ ]

2

## 4.6 UMT Architecture

A typical PON is deployed with an OLT at the local Central Office (CO) and several ONUs which are connected to the Outside Distribution Network (ODN) comprising at least one fiber splitter. The OLT acts as the management master responsible for controlling individual connected ONUs, including MPCP / OAM registration, service provisioning, etc., as defined in IEEE Std 1904.1-2013.

### 4.2.1 Single hop between Management Master and OLT

In this scenario, the UMT Management Master is collocated with the OLT within the CO, and it has access to all information within the OLT, such as status of individual ONUs, QoS profiles assigned to individual services, device status, etc.. Physically, the UMT Management Master in this architecture would have a form of a software agent running on the OLT hardware. This architecture example is shown in Figure 4-13.

**Figure 4-13—Single hop between Management Master and OLT**

### 4.2.2 Multiple hops between Management Master and OLT

In that example, the UMT Management Master does not have a direct access to the OLT, but it shares the same L2 network, providing access to information stored within the OLT via standardized interfaces. The UMT Management Master and the OLT are separated by a number of layer 2 hops. Physically, the UMT Management Master in this architecture would have the form of a software agent running on either a dedicated or virtual machine, physically separate from the OLT, but otherwise connected to the same LAN. The UMT Management Master in this case can be shared by more than one OLT, provided that all these OLTs are connected to the same LAN. This arrangement is shown in Figure 4-14.

**Figure 4-14—Multiple hops between Management Master and OLT**

### 4.2.3 Management Master sharing L3 network with EPON OLT

In that example, the UMT Management Master is connected (directly or indirectly) to the core transport network of the operator and manages a number of OLTs connected (directly or indirectly) to the same core transport network. The UMT Management Master is provided access to information stored within the OLT via standardized interfaces. Physically, the UMT Management Master in this architecture would have the form of a software agent running on either a dedicated or virtual machine, physically separate from the OLT, but otherwise reachable via IP level connectivity. The UMT Management Master in this case can be shared by more than one OLT, provided that all these OLTs are connected at the IP level. This arrangement is shown in Figure 4-15.

**Figure 4-15—Management Master sharing L3 network with EPON OLT**

1 **~~4.7—UMT Interfaces~~**

2 **~~4.7.1—UMT Layering~~**

3

4 **~~Figure 4-16—UMT Layering diagram~~**

5

6 **~~4.7.2—4.2 Frame transformation architecture~~**

7

8 **~~Figure 4-17—Frame Transformation layers architecture~~**

9

10 **~~4.7.3—States Diagram~~**

11

12 **~~Figure 4-18—Parser state diagram~~**

13

14 **~~Figure 4-19—UMT Multiplexer state diagram~~**

15

16 **~~4.8—UMT Device Functions~~**

17 **~~4.9—Examples of UMT Use Cases~~**

- 1 ~~5—UMT Discovery Protocol (UMTDP)~~
- 2 ~~5.1—Definition of UMTDP Data Unit~~
- 3 ~~5.2—UMTDP Operation~~
- 4 ~~5.3—State diagrams and variable definitions~~
- 5 ~~5.3.1—Variables~~
- 6 ~~5.3.2—Times~~
- 7 ~~5.3.3—Functions~~
- 8 ~~5.3.4—Primitives~~
- 9 ~~5.3.5—State diagrams~~

1 ~~6~~ PICS

1 **7—Examples: Header 1**

2 **7.1—Examples: Header 2**

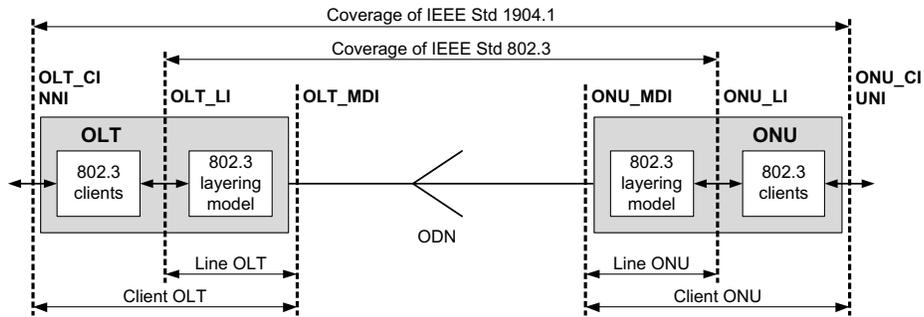
3 Example of a paragraph of text.

4 Example of a table is shown below.

5

**Table 7-1—Table Template**

Column1	Column2	Column3
Value1	Value2	Value3
Value1	Value2	Value3
Value1	Value2	Value3



6 **b) OLT and ONU without service-specific functions**

7

**Figure 7-1—Example of a figure**

8 Example of a bulleted list:

9 — Line 1; and

10 — Line 2:

11 **7.1.1—Examples: Header 3**

12 **7.1.1.1—Examples: Header 4**

13 **7.1.1.1.1—Examples: Header 5**

14

15

16

17 has two functions in the UMT stack. The first is to manage the instantiation of Tunnel Adapters which may  
 18 be configured by the administrator or automatically discovered. The second is to

19

1 is the intermediate layer that controls the instantiation of emulates a point-to-point link between the local  
2 UMT Peer and each remote UMT Peer. UMT Peers may be configured by the administrator or  
3 automatically discovered. The UMT Tunnel Control layer presents a unique Tunnel Adapter entity to the  
4 UMT Users for each remote UMT Peer.

5