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1 **Add normative reference**

2 NIST SP 800-38A, Recommendation for Block Cipher Modes of Operation, Methods and Techniques, 2001

3

1 **11 Security-oriented mechanisms**

2 **11.1 Introduction**

3 **11.2 Overview of SIEPON.4 security architecture**

4 **11.2.1 Encryption entity**

5 An encryption entity is a distinct logical element within a communication system that is responsible for
6 maintaining the confidentiality of data exchanged within the communication system. It achieves this by
7 applying encryption algorithms to prevent unauthorized access and eavesdropping of sensitive information.

8 Each encryption entity operates independently from other encryption entities within the system and utilizes
9 its distinct set of cryptographic parameters, including encryption keys, initialization vectors (IVs), and
10 cryptographic configurations.

11 **11.2.1.1 Mapping between the encryption entities and logical links**

12 As explained in 4.5.1, all logical links of an ONU (whether provisioned or assigned during registration) are
13 categorized as either bidirectional or unidirectional. The ONU is capable of receiving data from all
14 provisioned logical links, while it can only transmit data through bidirectional links.

15 All bidirectional links terminated at a specific ONU are mapped to a single encryption entity.
16 Correspondingly, the traffic on all bidirectional links terminated at a specific ONU is encrypted using a single
17 ONU-wide encryption key. When a key switch event occurs, it affects all bidirectional links, although for
18 50G-EPON ONUs, this event may happen at different times on different channels.

19 The unidirectional logical links are typically provisioned as point-to-multipoint (P2MP) links and carry
20 downstream multicast traffic. Each envelope transmitted by the OLT is delivered to multiple ONUs.
21 Therefore, the encryption key used to encrypt the multicast traffic needs to be shared among all ONUs that
22 are part of the multicast group. Consequently, each unidirectional LLID is mapped to a separate encryption
23 entity.

24 Overall, a SIEPON.4 system that includes U ONUs and is provisioned to use M multicast LLIDs instantiates
25 $U + M$ encryption entities.

26 **11.2.2 Location of encryption/decryption functions**

27 The Multi-channel Reconciliation Sublayer (MCRS) reconciles L logical links (i.e., MAC instances) above
28 the sublayer with C physical layer channels below it. The MCRS is defined in IEEE Std 802.3, Clause 143.
29 When security mechanisms are implemented within the MCRS sublayer, such enhanced sublayer is referred
30 to as Secure MCRS (MCRS_{SEC}) sublayer. The encryption function is located in the transmit path of the
31 MCRS_{SEC} sublayer, as illustrated in Figure 11-1(a), and the decryption function is located in the receive path
32 of the MCRS_{SEC} sublayer, as illustrated in Figure 11-1(b).

33

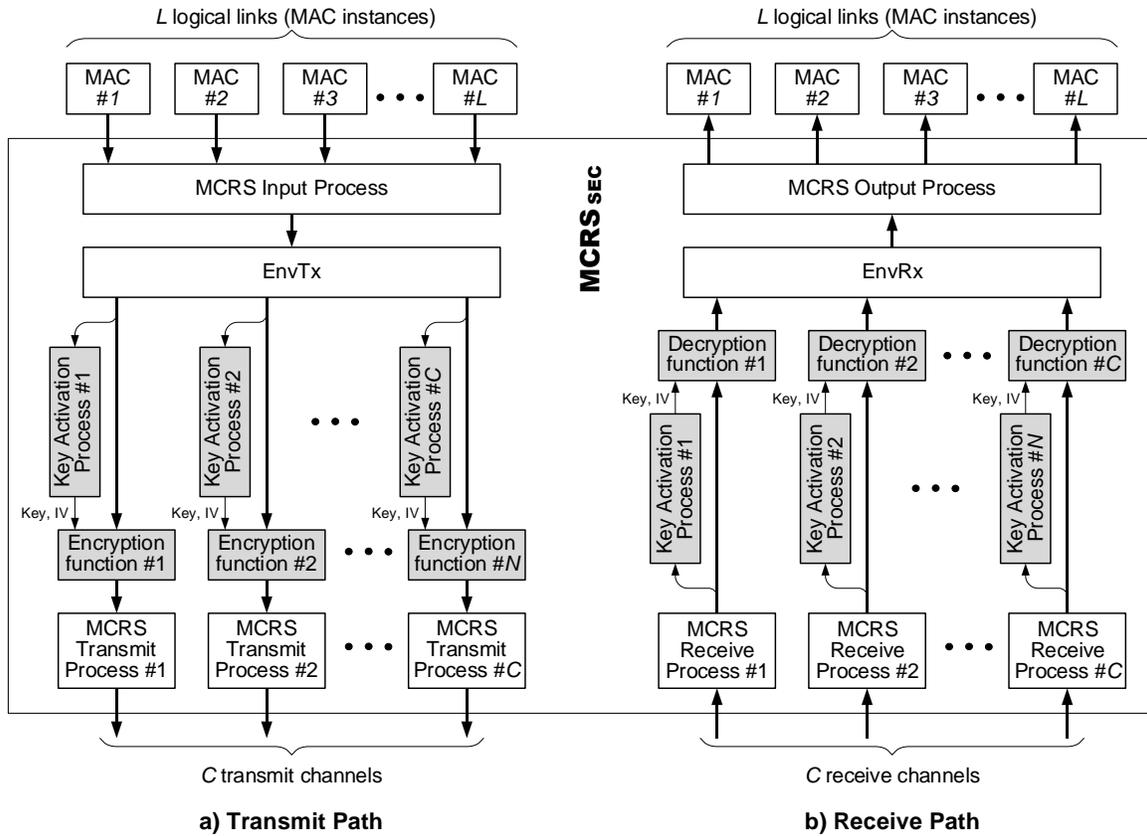


Figure 11-1 – Location of encryption/decryption function within MCRS_{SEC}

A separate instance of the encryption function is located within every transmit channel between the *EnvTx* buffer and the MCRS Transmit Process. The encryption function is driven by the Encryption Key Activation process defined in 11.6.2.

A separate instance of the decryption function is located within every receive channel between the MCRS Receive Process and the *EnvRx* buffer. The decryption function is driven by the Decryption Key Activation process defined in 11.6.2.

11.2.3 Latency requirements

The latency introduced into the MCRS transmit path by the encryption function (see Figure 11-1(a)) shall remain constant (to within 1 EQT), regardless of whether the encryption is enabled or disabled.

The latency introduced into the MCRS receive path by the decryption function (see Figure 11-1(b)) shall remain constant (to within 1 EQT), regardless of whether the decryption is enabled or disabled.

11.2.4 Establishment of security mechanisms

(11.2.1 in D1.4 now becomes 11.2.4)

Delete the existing 11.2.2 on D1.4 including all text

Delete the existing 11.2.3 in D1.4 (empty subclause)

1 **11.3 ONU authentication**

2

3 **11.4 Initial Security Association Key exchange**

4

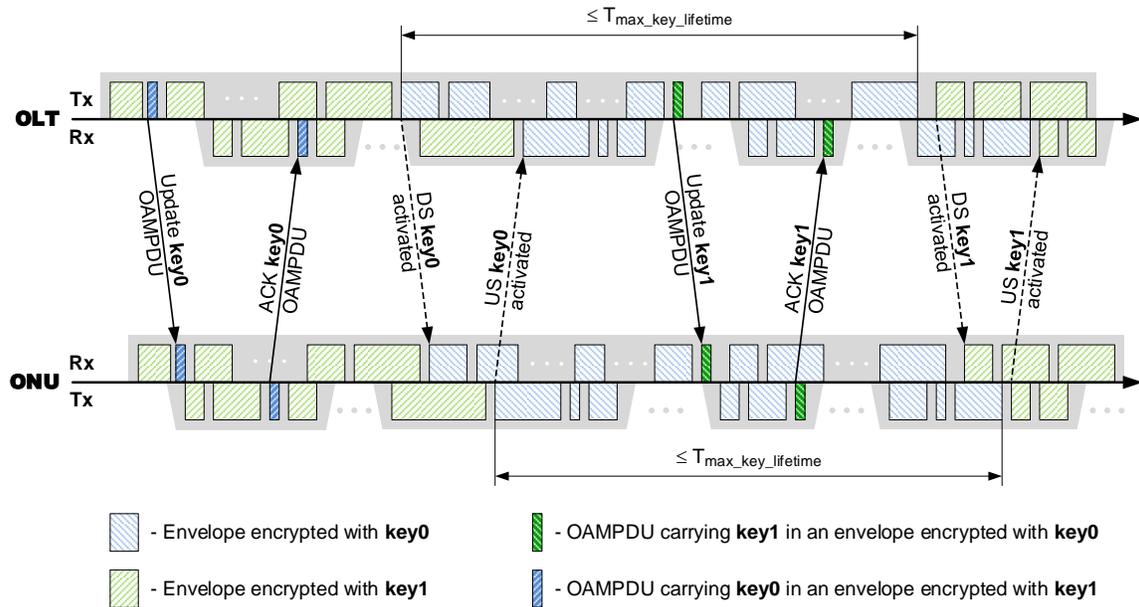
5 **11.5 Session key distribution protocol**

6 **11.5.1 Protocol overview**

7 The session key distribution protocol is a function of the OAM client at the OLT (see 4.7.2) and the ONU
 8 (see 4.8.2).

9 After the initial SAK exchange (defined in 11.4), all the subsequent keys are generated by the OLT and are
 10 distributed using the *acConfigEncrKey* action (14.6.5.1). The OAMPDU carrying the next key is transmitted
 11 within the MLID envelope encrypted using the current key. The OLT shall not transmit the OAMPDU
 12 carrying the *acConfigEncrKey* action over an unencrypted MLID channel.

13 Figure 11-x illustrates the process of updating the session key (hereinafter referred as “key”) and the
 14 subsequent activation of that key, first by the OLT and then by the ONU.



15

16 **Figure 11-x – Key update and subsequent key activation time diagram**

17 The next key may be distributed at any time within the lifetime of the currently-active key. In case multiple
 18 keys were distributed for the same encryption entity (i.e., under the same context object, which can be either
 19 the ONU or a multicast LLID), the last-distributed value is saved.

20 For each encryption entity, the OLT maintains the key lifetime timer and activates the next key upon the
 21 timer’s expiry. The OLT shall distribute the next key to an ONU sufficiently in advance of the expiration
 22 time of the current key in order to allow possible retransmission attempts in case of OAMPDU delivery
 23 failure (either the OAM request or the OAM response).

1 The OLT may issue different key sizes to different ONUs. Different multicast LLIDs may also use different
2 key sizes, even if these multicast LLIDs are received by the same ONU.

3 **11.5.2 Distribution of keys for unicast LLIDs**

4 All unicast LLIDs provisioned at a given ONU are encrypted using the same key value in both upstream and
5 the downstream directions. Therefore, only a single OAMPDU containing the *acConfigEncrKey* action is
6 used to distribute the next key for all unicast LLIDs at the ONU.

7 A unique unicast key value is distributed to each ONU via an encrypted downstream unicast MLID channel
8 and each ONU generates an individual response OAMPDU, also transmitted using the encrypted upstream
9 unicast MLID channel.

10 If the ONU's unicast key distribution acknowledgement is not received by the OLT within the OAM message
11 timeout (see *timeout_{OLT}* definition in 13.3.2.3.1), the OLT shall repeat the key distribution attempt. The
12 maximum number of key distribution attempts is an implementation design choice, but it shall be not less
13 than 3.

14 ONU's failure to update the key before the expiration of the current key is a critical link condition. It causes
15 the ONU to lose downstream connectivity and leads to OAM and MPCP timeouts and a consequent ONU
16 deregistration.

17 **11.5.3 Distribution of keys for multicast LLIDs**

18 The term *multicast LLID* represents an LLID value provisioned into multiple ONUs (see 7.4.2.1). This term
19 collectively refers to *multicast PLID*, *multicast MLID*, or the *multicast ULID*.

20 A key for a multicast LLID is used by all ONUs that are members of the given multicast group to decrypt the
21 traffic associated with this LLID. ONUs use the multicast keys only for decrypting the multicast data.

22 A multicast key is distributed to each member of the multicast group via an encrypted unicast MLID channel.
23 The OLT generates a separate OAMPDU carrying *acConfigEncrKey* to each member ONU and each member
24 ONU generates an individual response OAMPDU (i.e., ACK or NACK). The sequence of the key distribution
25 and key activation events is as shown in Figure 11-x, however the OLT distributes the multicast key to every
26 group member before activating this key.

27 As is the case with the unicast key distribution, the OLT shall distribute the next multicast key to all member
28 ONUs sufficiently in advance of the expiration time of the current key in order to allow possible
29 retransmission attempts in case of OAMPDU delivery failures.

30 In case the multicast key distribution acknowledgement from any ONU in the multicast group is not received
31 by the OLT within the OAM message timeout (see *timeout_{OLT}* definition in 13.3.2.3.1), the OLT shall
32 repeat the key distribution attempt. The maximum number of multicast key distribution attempts to each
33 ONU in the multicast group is an implementation design choice, but it shall be not less than 3. Each
34 subsequent key distribution attempt may distribute the key to all group members or only to the ONUs that
35 failed to acknowledge the key reception in the previous attempt(s).

36 Note however that the failure of some ONUs to receive or acknowledge the new multicast encryption key is
37 not a sufficient reason for the OLT to deregister the said ONU or to delete the multicast group. The ONUs
38 that failed to update the key will be unable to decrypt the multicast traffic subsequent to the OLT switching
39 to the new key.

1 **11.5.3.1 Distribution of keys for multicast MLIDs**

2 The distribution of encryption keys for the multicast MLIDs allows for a somewhat more optimized approach.
3 The distribution of the initial multicast key require an individual OAMPDU with *acConfigEncrKey* action to
4 be sent to each member ONU via an encrypted unicast MLID channel, as described in 11.5.3.

5 However, once the encrypted multicast MLID channel is established, the subsequent multicast keys may be
6 distributed sending a single OAMPDU carrying *acConfigEncrKey* action over this multicast channel. This
7 method only requires a single OAMPDU to distribute the next key to the entire multicast group, no matter
8 the group size. It must be noted however that the OLT expects an individual response OAMPDU (over a
9 unicast MLID) from every member ONU.

10 **11.5.3.2 Multicast distribution of multicast keys**

11 The multicast distribution of a multicast MLID keys described in 11.5.3.1 can also be extended to multicast
12 non-MLID channels, such as multicast PLID or multicast ULID.

13 This approach involves provisioning of a multicast LLID (PLID or ULID) together with a multicast MLID
14 into each member ONU (i.e., creating an MLID multicast group that mirrors the membership of the intended
15 PLID or ULID multicast group). The initial key for the MLID multicast group is distributed by individual
16 OAMPDUs, as described in 11.5.3.

17 Once the initial key is established, the subsequent keys may be distributed by transmitting a single OAMPDU
18 carrying *acConfigEncrKey* action over the encrypted multicast MLID channel.

19 This approach requires distribution of two keys each time: a key for the multicast MLID and a key for the
20 multicast PLID/ULID. Both *acConfigEncrKey* actions carrying these keys typically can be placed into the
21 same OAMPDU. Therefore, this method only requires a single OAMPDU to distribute the next MLID key
22 and PLID/ULID key to the entire multicast group, no matter the group size.

23 As mentioned above, the OLT expects an individual acknowledgement message (over a unicast MLID) from
24 every member ONU. The acknowledgement of the multicast MLID key and the acknowledgement of the
25 multicast PLID/ULID key may be packed into the same OAMPDU, requiring only a single OAM response
26 message transmitted upstream by each ONU.

27 The benefits of multicast distribution of keys for multicast non-MLID flows are mostly realized with long-
28 lived multicast groups (i.e., groups with expected lifetimes much longer that the lifetime of a single session
29 key).

30 **11.6 Session key activation protocol**

31 **11.6.1 Protocol overview**

32 The key activation protocol defines a procedure of switching from the current encryption key to a new
33 encryption key that has been previously distributed by the OLT to one or more ONUs using the session key
34 distribution protocol (see 11.5).

35 The key activation protocol relies on encryption signaling fields embedded in envelope headers. These fields
36 include the encryption enabled flag (*EncEnabled* field) and encryption key index (*EncKey* field). The
37 *EncEnabled* and *EncKey* fields are described in IEEE Std 802.3, 143.3.2 and 143.3.3.4. The *EncKey* field
38 takes on values of only 0 and 1.

39 Figure 11-xx illustrates the procedure of a key activation, which consists of four sequential steps.

40

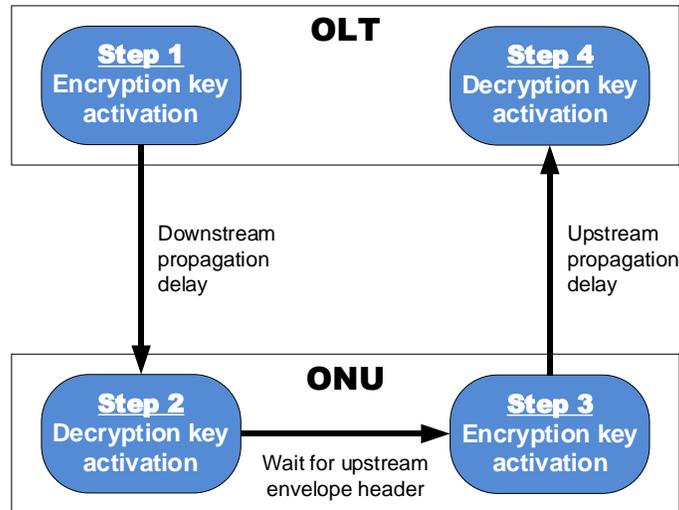


Figure 11-xx – Four steps comprising the key activation procedure

Each of the above four steps is represented by an independent process that runs continuously within the secure Multi-Channel Reconciliation Sublayer (MCRS_{SEC}).

11.6.1.1 Step 1: Encryption key activation at the OLT

The process of encryption key activation at the OLT is defined in 11.6.2.4. The OLT activates the new encryption key upon the expiration of the key activation timer.

Generally, every encryption entity (i.e., ONUs and multicast LLIDs) maintains its own key activation timer and these timers may have different intervals and/or be set to expire at different times. However, for practical considerations, it is allowed for all encryption entities to share the same key activation timer.

Once the key activation timer expired, the OLT waits for the next envelope header destined to the given encryption entity. The OLT indicates switching to the new key by toggling the value of the *EncKey* field in the envelope header. The envelope payload following this envelope header is encrypted using the new key.

11.6.1.2 Step 2: Decryption key activation at the ONU

The process of decryption key activation at the ONU is defined in 11.6.2.5. For every received envelope, the ONU retrieves a key associated with the given encryption entity, identified by the LLID field in the envelope header, and the key index, identified by the *EncKey* field. Thus, toggling of the *EncKey* value by the OLT in Step 1 above caused the ONU to also retrieve the new key after it parsed and processed this envelope header.

11.6.1.3 Step 3: Encryption key activation at the ONU

The process of encryption key activation at the ONU is defined in 11.6.2.6. ONU's activation of a new decryption key in Step 2 also serves as a trigger for activating the same key for the encryption of its upstream transmission. The ONU waits for the next envelope header from the given encryption entity. The ONU indicates switching to the new key by toggling the value of the *EncKey* field in the envelope header. The payload following this envelope header is encrypted using the new key.

11.6.1.4 Step 4: Decryption key activation at the OLT

The process of the decryption key activation at the OLT is identical to that process at the ONU, and is, in fact, described by the same state diagram (see 11.6.2.5). For every received envelope, the OLT retrieves a key associated with the given encryption entity, identified by the LLID field, and the key index, identified

1 by the *EncKey* field. Thus, toggling of the *EncKey* value by the ONU in Step 3 above caused the OLT to also
2 retrieve the new key after it parsed and processed this envelope header.

3 Optionally, the OLT may implement additional safety check of comparing that the retrieved decryption key
4 matches the previously used encryption key. If implemented, such check shall be performed not earlier than
5 a round-trip time after the activation of the new encryption key in step 1.

6 **11.6.1.5 Multicast key activation**

7 The activation of the multicast key, i.e., the key associated with a multicast LLIDs, involves only step 1
8 (11.6.1.1) and step 2 (11.6.1.2) because the multicast LLIDs carry traffic only in the downstream direction.

9 The activation of the encryption key by the OLT, as signaled by toggling of the *EncKey* field in the
10 downstream envelope header is detected by all ONUs that are members of the given multicast group. This
11 causes all member ONUs to activate the new key for the decryption.

12 **11.6.1.6 Location of key activation processes**

13 The encryption and decryption key activation processes are located within the secure MCRS (MCRS_{SEC})
14 sublayer, as detailed in 11.2.2.

15 **11.6.2 Definition of processes comprising the key activation protocol**

16 **11.6.2.1 Variables**

17 `activeKeyIndex[ee]`

18 TYPE: 1-bit integer

19 This variable represents the index of the currently active encryption/decryption key for the
20 encryption entity *ee*. Incrementing this variable by 1 causes its value to toggle between 0 and 1.

21 `decryptionCounter[ch]`

22 TYPE: 128-bit sequence

23 The initial value of the counter (initialization vector) used as an input block to the AES forward
24 cipher in the AES-CTR mode for decryption (see NIST SP 800-38A, 6.5). The
25 `decryptionCounter` is calculated independently for every received envelope header on every
26 channel *ch* and is passed to the decryption function (see Figure 11-1(b)).

27 `decryptionKey[ch]`

28 TYPE: sequence of 128 or 256 bits

29 The value of the key currently used for decryption on channel *ch*. The `decryptionKey` value
30 is fetched for every received envelope header and is passed to the decryption function (see Figure
31 11-1(b)).

32 `encryptionCounter[ch]`

33 TYPE: 128-bit sequence

34 The initial value of the counter (initialization vector) used as an input block to the AES forward
35 cipher in the AES-CTR mode for encryption (see NIST SP 800-38A, 6.5). The
36 `encryptionCounter` is calculated independently for every transmitted envelope header on
37 every channel *ch* and is passed to the encryption function (see Figure 11-1(a)).

1 encryptionEnabled[ee]
2 TYPE: boolean
3 This variable indicates whether the encryption is enabled or disabled for the given encryption entity
4 ee.
5 If the OLT, this variable is set to `true` when the initial encryption key becomes available (calculated
6 or provisioned) to the encryption entity `ee` in both the OLT and an ONU (refer to the definition of
7 variable `initialKeyReady[ee]`). Note that for testing and troubleshooting purposes, the NMS
8 may temporarily disable the encryption for an encryption entity `ee` by overwriting the
9 `encryptionEnabled[ee]` with the value of `false` (see [11.9](#)).
10 In the ONU, under normal operation, this variable is equal to `receivedEncrypted[ee]`, i.e.,
11 an encryption entity `ee` encrypts the outgoing envelopes only if the envelopes this entity receives
12 from the OLT are also encrypted. For testing and troubleshooting purposes, the NMS may force the
13 ONU's encryption to be on or off (via the attribute `aEncryptionMode`, [14.4.5.2](#))
14 encryptionKey[ch]
15 TYPE: sequence of 128 or 256 bits
16 The value of the key currently used for encryption on channel `ch`. The `encryptionKey` value
17 is fetched for every transmitted envelope header and is passed to the encryption function (see Figure
18 [11-1\(a\)](#)).
19 encryptionMode[ee]
20 TYPE: boolean
21 This variable is an alias of the extended attribute `aEncryptionMode` (0xDB/0x04-02) defined in
22 [14.4.5.2](#).
23 initialKeyDone[ee]
24 TYPE: boolean
25 This variable is used only by the OLT encryption key activation process ([11.6.2.4](#)), where it causes
26 the replacement of the initial (ephemeral) key by the session key as soon as the first session key is
27 distributed to the ONU (i.e., without waiting for the key lifetime interval to expire).
28 If the encryption entity `ee` is associated with an ONU object, this variable is set to `true` by the
29 OLT OAM client after the first session key was distributed to the ONU and its reception and
30 processing was acknowledged by the ONU (see step 4 in [11.2.3](#)). This variable is reset to `false`
31 on read.
32 If the encryption entity `ee` is associated with a multicast LLID object, this variable is equal to
33 `false` at all times.
34 initialKeyReady[ee]
35 TYPE: boolean
36 This variable is used only by the OLT encryption key activation process ([11.6.2.4](#)), where it causes
37 the encryption entity `ee` to start encrypting traffic in the downstream direction.
38 If the encryption entity `ee` is associated with an ONU object, this variable is set to `true` by the
39 OLT OAM client after the initial (ephemeral) key was calculated and stored in the array `keys` as
40 element `keys[ee][0]`. The derivation of the initial key is described in [11.4](#). This variable is reset
41 to `false` on read.

1 In the encryption entity `ee` is associated with a multicast LLID, this variable is set to `true` by the
2 OLT OAM client after the first session key was distributed to all ONUs in a multicast group and its
3 reception and processing was acknowledged by every ONU. This variable is reset to `false` on read.

4 `keys[E][2]`

5 TYPE: array of encryption keys

6 `keys[E][2]` is a two-dimensional array representing the stored encryption keys. The array size is
7 $E \times 2$, where E represents the total number encryption entities, with two values stored for each entity
8 - the currently-active key and the key to be activated next. The value of E for the OLT (E_{OLT}) is
9 defined in [11.8.1](#) and its value for the ONU (E_{ONU}) is defined in [11.8.2](#).

10 The key values are written into the `keys[E][2]` array by the Security function of the OAM Client
11 as the end result of the Session Key Distribution Protocol (see 11.5). The Session Key Activation
12 protocol stat diagrams have a read-only access to this array.

13 `keyInterval[ee]`

14 TYPE: integer

15 This variable represents an interval of time between updating the encryption keys (i.e., a key
16 lifetime) for encryption entity `ee`. The value of this variable is provisioned to the OLT by the NMS,
17 subject to constraints listed in [11.8.3](#).

18 `receivedEncrypted[ee]`

19 TYPE: boolean

20 This variable indicates whether the received envelope, whose LLID value maps to the encryption
21 entity `ee`, is encrypted or not. In the OLT and in the ONU, the value of this variable is derived from
22 the `EncEnabled` field of the received envelope headers.

23 `RxEQ[ch]`

24 TYPE: EQ

25 This variable represents an envelope quantum (EQ) received and stored in the `EnvRx` buffer of the
26 MCRS on channel `ch` (see IEEE Std 802.3, 143.3.4).

27 `TxEQ[ch]`

28 TYPE: EQ

29 This variable represents an envelope quantum (EQ) being transmitted from the `EnvTx` buffer of the
30 MCRS on channel `ch` (see IEEE Std 802.3, 143.3.3).

31 **11.6.2.2 Functions**

32 `calculateIV(ch, eq)`

33 This function calculates the value of the initialization vector (IV) used as an input block to the AES
34 forward cipher in the AES-CTR (see NIST SP 800-38A, 6.5). The argument `ch` is an index of the
35 channel on which the IV is to be used. The argument `eq` is an EQ that represents an envelope header.
36 The IV construction method is defined in [TBD](#).

37 `isHeader(eq)`

38 The `IsHeader(eq)` function returns true if the parameter `eq` represents an envelope header. This
39 function is defined in IEEE Std 802.3, 143.3.4.4.

1 mapEncrEntity(llid)

2 The mapEncrEntity(llid) function maps an LLID value to an index of an encryption entity
3 (see 11.2.1.4). Each multicast LLID maps to an encryption entity associated with that multicast
4 LLID. All unicast (bidirectional) LLIDs provisioned on an ONU map to a single encryption entity
5 associated with the given ONU.
6

7 11.6.2.3 Timers

8 keyTimer[ee]

9 This timer is used to count down the time remaining until the next key update. There exists a separate
10 instance of this counter for every encryption entity ee. A key for encryption entity ee may not be
11 used past the expiration of the keyTimer[ee].

12 Each timer instance keyTimer[ee] is associated with an instance of boolean variable
13 keyTimer_done[ee]. Upon expiration of the timer, the value of keyTimer_done[ee]
14 becomes true (see 3.3.6).
15

16 11.6.2.4 OLT encryption key activation process state diagram

17 The OLT shall implement the encryption key activation process as depicted in state diagram in Figure 11-
18 xxx. There shall be a separate instance of the encryption key activation process for each transmit channel ch
19 in the OLT.

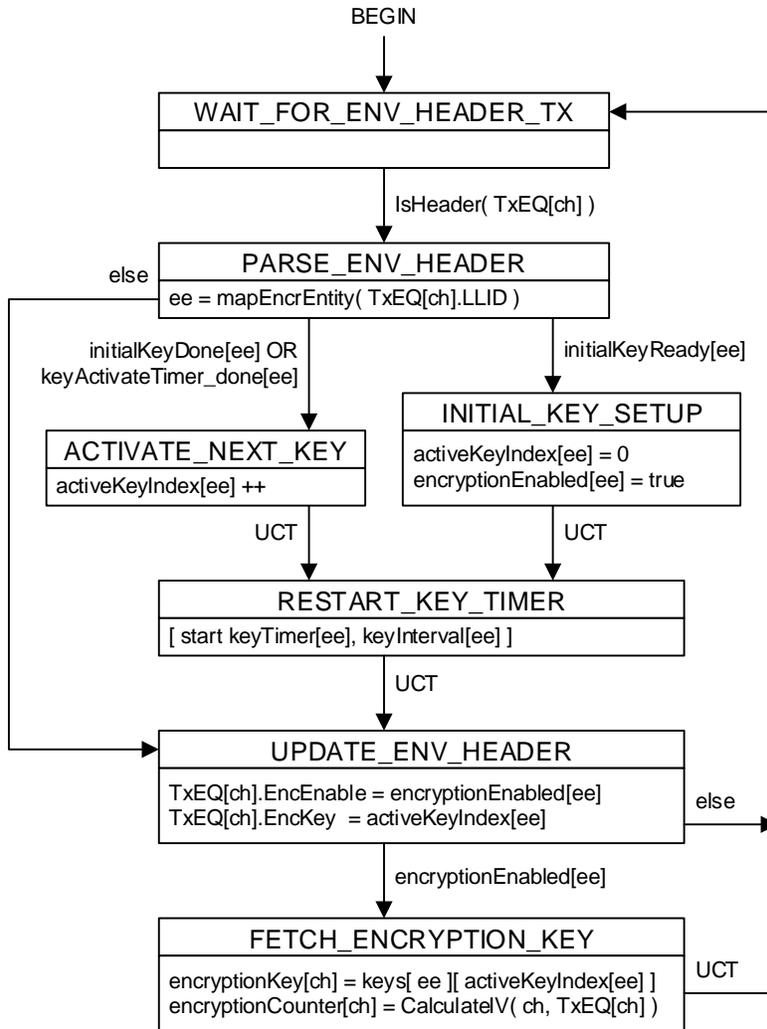
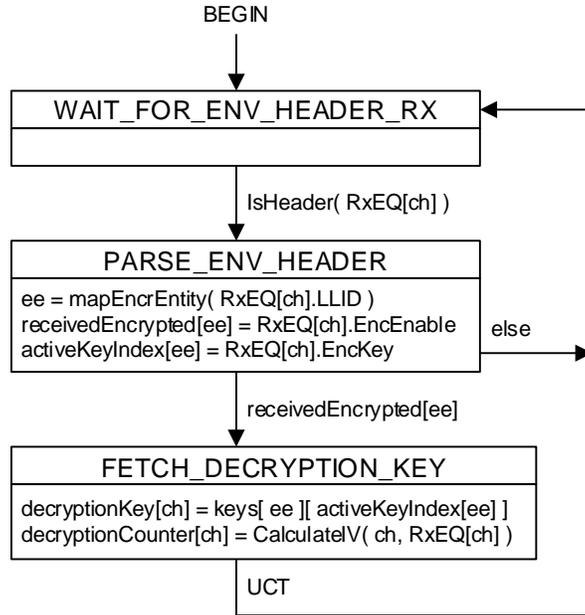


Figure 11-xxx -- OLT encryption key activation process state diagram

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11.6.2.5 OLT and ONU decryption key activation process state diagram

The OLT and the ONU shall implement the decryption key activation process as depicted in state diagram in Figure 11-xxxx. There shall be a separate instance of the decryption key activation process for each receive channel *ch* in the OLT and in the ONU.

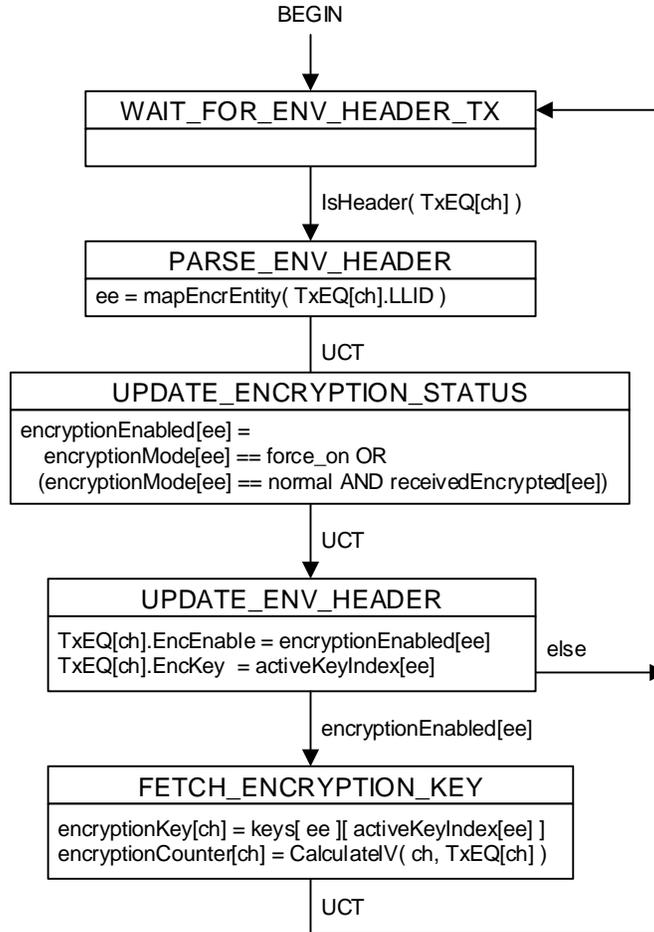


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Figure 11-xxxx -- OLT and ONU decryption key activation process state diagram

11.6.2.6 ONU encryption key activation process state diagram

The ONU shall implement the encryption key activation process as depicted in state diagram in Figure 11-xxxx. There shall be a separate instance of the encryption key activation process for each transmit channel in the ONU.



1
2 **Figure 11-xxxxx -- ONU encryption key activation process state diagram**
3

4 **11.7 Data encryption/decryption mechanisms**

5
6 **11.8 Encryption key management**

7 **11.8.1 Key storage in the OLT**

8 The OLT encrypts the unicast traffic to each ONUs using a unique key. Every multicast LLID is encrypted
9 using a unique key as well.

10 Given a PON configuration that includes U ONUs and M multicast LLIDs, the OLT shall be able to support
11 E_{OLT} encryption entities, where $E_{OLT} = U + M$ (refer to use of constant E_{OLT} in the definition of `keys[E][2]`
12 in 11.6.2.1). The OLT shall contain enough storage space for $2 \times E_{OLT}$ keys, with each key being 128 or 256
13 bits long.

14 At any time, in the OLT, at most U keys are active for decryption and $U + M$ keys are active for encryption.

1 **11.8.2 Key storage in the ONU**

2 The ONU encrypts all unicast LLIDs with the same key in both upstream and the downstream directions.
3 Each multicast LLID provisioned into the given ONU is encrypted using its independent key.

4 For each encryption key, the ONU stores two key values: the currently-active key value and the key value
5 that will become active on the next key switch event.

6 Given an ONU configuration that includes any number of unicast LLIDs and m multicast LLIDs, the ONU
7 shall be able to support E_{ONU} encryption entities, where $E_{ONU} = m + 1$ (refer to use of constant E_{ONU} in the
8 definition of `keys[E][2]` in [11.6.2.1](#)). The ONU shall contain enough storage space for $2 \times E_{ONU}$ keys,
9 with each key being 128 or 256 bits long.

10 At any time, in the ONU, at most $m + 1$ keys are active for decryption and only one key is active for encryption.

11 **11.8.3 Key lifetime**

12 One of the requirements of AES CTR mode is that the counter values do not repeat for the duration (lifetime)
13 of a single encryption key (see NIST SP 800-38A, 6.5). Therefore the construction method of the
14 Initialization Vector (IV) imposes the upper limit on the encryption key lifetime.

15 The IV construction is defined in [TBD](#). It relies on the extended 48-bit MPCP clock counter. This counter
16 increments every envelope quantum time (EQT), which equals 2.56 ns (see IEEE Std 802.3, 1.4.245c). Thus,
17 the MPCP counter rolls-over every 200.16 hours. The OLT shall maintain the key lifetime of less than or
18 equal to 200 hours ($T_{max_key_lifetime}$). Different encryption entities may optionally have different key lifetimes
19 not exceeding the $T_{max_key_lifetime}$.

20

21 **11.9 Encryption testing and troubleshooting modes of operation**

22 Under the normal operation conditions, for all encryption entities associated with ONU objects, the
23 encryption is enabled in both downstream and upstream directions, and for all encryption entities associated
24 with multicast LLID objects, the encryption is enabled the downstream direction.

25 For testing and troubleshooting purposes, the NMS may temporarily disable the encryption for any encryption
26 entity `ee` in the downstream direction and/or in the upstream direction, if applicable.

27 **11.9.1 Encryption entities associated with multicast LLID objects**

28 For an encryption entity `ee` associated with a multicast LLID object, the encryption is disabled by setting the
29 variable `encryptionEnabled[ee]` at the OLT to `false` (see [11.6.2.1](#)). Disabling encryption for a
30 multicast ULID or a multicast PLID does not affect the security of traffic or the key updates for other
31 encryption entities. There are special considerations for disabling encryption for an entity `ee` associated with
32 a multicast MLID, as explained in [11.9.3](#).

33 Note that disabling the encryption of multicast ULID/PLID does not stop the periodic key updates for these
34 multicast LLIDs. The key updates continue over the unicast or multicast MLID channels, which remain
35 encrypted and secure.

36 Upon completion of the testing or troubleshooting analysis, the encryption of multicast ULID or a multicast
37 PLID traffic is re-enabled by simply setting the variable `encryptionEnabled[ee]` at the OLT to `true`.

1 **11.9.2 Encryption entities associated with ONU objects**

2 An encryption entity *ee* associated with an ONU object carries all the unicast traffic to and from that ONU
3 (i.e., it aggregates all the unicast LLIDs at a given ONU, including the unicast MLID).

4 Setting the variable `encryptionEnabled[ee]` to `false` at the OLT disables the encryption of
5 downstream traffic associated with encryption entity *ee*. This, in turn, causes the ONU encryption key
6 activation process to disable the encryption of the upstream unicast traffic associated with this encryption
7 entity *ee* (see 11.6.2.6).

8 NOTE -- The action of disabling the downstream encryption for an encryption entity *ee* associated with an
9 ONU object may expose the encryption keys distributed via the MLID channel. Such action shall never be
10 performed on ONUs **carrying live user traffic**.

11 Once this value `encryptionEnabled[ee]` is set to `false` at the OLT, it cannot be changed back to `true`
12 via NMS anymore. To re-enable the encryption, the OLT shall initiate the ONU authentication process (see
13 11.3) and the initial key exchange (see 11.4).

14 At the ONU, the upstream encryption is controlled via the attribute *aEncryptionMode* (see 14.4.5.2). Under
15 the normal operation, the upstream encryption is enabled if the downstream unicast traffic to this ONU is
16 encrypted. For testing and troubleshooting purposes, the *aEncryptionMode* allows forcing the upstream
17 encryption on or off, regardless of the status of the downstream encryption.

18 Disabling the upstream encryption is a less disruptive operation than disabling the downstream encryption,
19 since the encryption keys are never transmitted in the upstream direction. Upon conclusion of the
20 troubleshooting analysis, the upstream encryption can be re-enabled by setting the *aEncryptionMode* attribute
21 to `normal`.

22 **11.9.3 Encryption entities associated with multicast MLID objects**

23 Special care is required when disabling the encryption for multicast MLIDs, as such MLIDs may be used to
24 distribute the multicast encryption keys (see 11.5.3.1 and 11.5.3.2). The multicast MLID encryption shall
25 never be disabled in systems carrying **live user multicast traffic**.

26

27

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