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13 eOAMPDUs shall be as defined in Table 13-10. These eOAMPDUs use the Organization Specific

Extension mechanisms defined in IEEE Std 802.3, Clause 57. Other values are reserved and ignored on 14

15 reception.

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Table 13-10—eOAMPDUs and assignment of Opcode values

Opcode	eOAMPDUs	Defined in
0x01	eOAM_Get_Request	13.4.6.2
0x02	eOAM_Get_Response	13.4.6.3
0x03	eOAM_Set_Request	13.4.6.4
0x04	eOAM_Set_Response	13.4.6.5
0x09	eOAM_Software	13.4.6.6
0x0A	eOAM_Certificate_Request	13.4.6.7
0x0B	eOAM_Certificate_Response	13.4.6.7

- 17 13.4.6.2 eOAM_Get_Request eOAMPDU
- 18 13.4.6.3 eOAM Get Response eOAMPDU
- 19 13.4.6.4 eOAM_Set_Request eOAMPDU
- 13.4.6.5 eOAM_Set_Response eOAMPDU 20
- 13.4.6.6 eOAM Software eOAMPDU 21

22 13.4.6.7 eOAM_Certificate_Request and eOAM_Certificate_Response eOAMPDUs

The eOAM_Certificate_Request and eOAM_Certificate_Response eOAMPDUs are specific types of the 23 24 generic eOAMPDU, as defined in Table 13-2. These eOAMPDUs allow the OLT/NMS to retrieve the 1 <u>Device Authentication Credential (DAC) certificate from the ONU (see 11,2,2,1,3) and to perform remote</u> 2 management (i.e., installation, removal, and/or retrieval) of the Network Authentication Credential (NAC)

3 certificates installed in the ONUs.

4 The term "NAC certificate" used in this sub-clause may represent a single end-entity certificate as defined

5 in 11.2.2.1.4 or a certificate chain consisting of an end-entity certificate and one or more intermediate 6 certificates, as defined in 11.2.2.1.5.

7 The *eOAM_Certificate_Request* eOAMPDUs are issued by the OLT to request an ONU to perform a 8 certificate-related management action. The OLT shall not generate any *eOAM_Certificate_Response* 9 eOAMPDUs.

10 The *eOAM_Certificate_Response* eOAMPDUs are issued by the ONU to report the result of the taken 11 action. The ONUs shall not generate *eOAM_Certificate_Request* eOAMPDUs.

A specific certificate-related management action requested by the *eOAM_Certificate_Request* eOAMPDU is identified by the ActionCode field that follows the Opcode field. The *eOAM_Certificate_Response* eOAMPDU shall carry the same value of ActionCode field as was received in the corresponding *eOAM_Certificate_Request* eOAMPDU. Table 13-21 illustrates the available actions and identifies the related *eOAM_Certificate_Request* and *eOAM_Certificate_Response* eOAMPDU sub-types.

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Table 13-21—Certificate management actions and related eOAM message sub-types

Action	ActionCode value	Requests (Opcode = 0x0A)	Responses (Opcode = 0x0B)
Install NAC	0x00	eOAM_Install_NAC_Request (see 13.4.6.7.1)	eOAM_Install_NAC_Response (see 13.4.6.7.2)
Retrieve DAC	0x01	eOAM_Retrieve_DAC_Request (see 13.4.6.7.3)	eOAM_Retrieve_DAC_Response (see 13.4.6.7.4)
Retrieve NAC	0x02	eOAM_Retrieve_NAC_Request (see 13.4.6.7.3)	eOAM_Retrieve_NAC_Response (see 13.4.6.7.4)

13.4.6.7.1

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eOAM_Install_NAC_Request eOAMPDUNAC certificate installation

20 13.4.6.7.1.1 eOAM Install NAC Request eOAMPDU

The *eOAM_Certificate_Request* eOAMPDU with the ActionCode field value of 0x00 (Install NAC) is referred to as *eOAM_Install_NAC_Request* eOAMPDU. The *eOAM_Install_NAC_Request* eOAMPDU is used by the NMS to remotely install <u>a-the</u> NAC certificate into an ONU (see 11.2.2.1.4). If the size of the NAC certificate exceeds the payload capacity of the *eOAM_Install_NAC_Request* eOAMPDU, multiple such OAMPDUs may be used to complete the installation procedure.

26 The structure of the *eOAM_Install_NAC_Request* eOAMPDU shall be as presented in Table 13-22.

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Table 13-22—Structure of the eOAM_Install_NAC_Request eOAMPDU

Size (octets)	Field name	Value and notes
21	eOAMPDU header	See Table 13-2

Size (octets)	Field name	Value and notes
1	Opcode	0x0A (see Table 13-10)
1	ActionCode	0x00 (see Table 13-21)
<u>4</u>	<u>Sequence</u>	Bit 31: Start indicator. When set to 1, identifies the first eOAMPDU in a sequence of one or more OAMPDUs that carry the NAC certificate. Bits 30-0: ResidualOctets sub-field represents the number of remaining certificate data octets, not counting the octets in the BlockData field. This sub-field is equal to 0 if the entire NAC certificate can fit within a single DataBlock field.
2	CertificateLengthBlockLength	The length of the Certificate DataBlock field. The value of 0x00 indicates that this is a request to remove the existing NAC certificate
≤ <u>14891485</u>	CertificateDataBlock	NAC certificate data (records) as defined in 11.2.2.1.4. This field is not present if the <u>CertificateBlock</u> Length is 0x00 <u>-00</u> .
≤ <u>3531</u>	Pad	This field is optional; it is included only when needed to satisfy the minimum OAMPDU size requirements. When included, the Pad value is $0x00$ 00 .
4	FCS	See 13.4.2

If the ONU that received the *cOAM_Install_NAC_Request* cOAMPDU already has a NAC installed, the
 existing NAC shall be replaced (overwritten) with the new NAC.

3 The action of overwriting of the existing NAC certificate takes place even in the situation when no new

4 NAC certificate is supplied by the *cOAM_Install_NAC_Request* cOAMPDU (i.e., when the value of the

5 CertificateLength field is set to 0x00). Essentially, such zero length *cOAM_Install_NAC_Request*

6 eOAMPDU serves as a request to remove the existing NAC certificate.

7 13.4.6.7.1.113.4.6.7.1.2 eOAM_Install_NAC_Response eOAMPDU

8 The *eOAM_Certificate_Response* eOAMPDU with the ActionCode field value of 0x00 (Install NAC) is 9 referred to as *eOAM_Install_NAC_Response* eOAMPDU. The *eOAM_Install_NAC_Response* eOAMPDU 10 is issued by an ONU to <u>acknowledge the processing of each *eOAM_Install_NAC_Request* eOAMPDU.</u>

11 <u>The last *eOAM_Install_NAC_Response* eOAMPDU in the certificate installation sequence also conveys</u> the 12 results of NAC installation or NAC removal actions<u>and the status of the stored certificate(s)</u>.

13 The structure of the *eOAM_Install_NAC_Response* eOAMPDU shall be as presented in Table 13-23.

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Table 13-23—Structure of the eOAM_Install_NAC_Response eOAMPDU

Size (octets)	Field name	Value and notes
21	eOAMPDU header	See Table 13-2
1	Opcode	0x0B (see Table 13-10)

Size (octets)	Field name	Value and notes
1	ActionCode	0x00 (see Table 13-21)
<u>4</u>	Sequence	Bit 31: Start indicator. This sub-field value is equal to the value of Start sub-field in the eOAM_Install_NAC_Request that this response acknowledges. Bits 30-0: ResidualOctets sub-field confirms the number of remaining certificate data octets or signals missing data (see 13.4.6.7.1.3).
1	ActionStatus	Value encoding the status of a taken/attempted action, as defined in Table 13-24
1	CertificateStatus	Value encoding the status of the installed certificate, as defined in Table 13-25. This field is only present if ResidualOctets == $0x00-00-00$, i.e., in the last response in a sequence, after the entire NAC certificate or certificate chain has been installed.
35<u>31 or</u> <u>32</u>	Pad	0x0000
4	FCS	See 13.4.2

The ActionStatus field carries the response code, as defined in Table 13-24. Only the values specified in Table 13-24 are allowed. Other values are reserved and cause the *eOAM_Install_NAC_Response* 1

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eOAMPDU to be ignored by the OLT/NMS. 3

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Table 13-24-	-Values carried	in Action	Status field
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Code	Name	Description
<u>0x00</u>	Download in progress	ONU acknowledges that it received all NAC certificate octets without gaps and omissions, except for the remaining ResidualOctets. This code is reported only while ResidualOctets > 0.
0x0 <u>1</u> 0	Install - success	The received <u>NAC</u> certificate was successfully stored in ONU's non-volatile storage. <u>This code is reported only when ResidualOctets</u> $== 0$.
0x0 <u>2</u> 4	Replace - success	The existing <u>NAC</u> certificate stored in ONU's non-volatile repository was successfully replaced by a new certificate. <u>This code is reported</u> <u>only when ResidualOctets == 0</u> .
0x0 <u>3</u> 2	Remove - success	The existing <u>NAC</u> certificate was successfully removed, i.e., it was overwritten by an empty (zero-length) certificate. <u>This code is</u> reported only when ResidualOctets == 0.
0x0 <u>4</u> 3	Remove - no action	A request to remove certificate is received, however no certificate is stored in ONU's secure non-volatile storage. <u>This code is reported</u> <u>only when ResidualOctets == 0</u> .
0x04	Incompatible format	Unable to parse the message and extract certificate. An existing certificate, if any, remains in place.

Code	Name	Description
0x05	Insufficient storage	Storage is insufficient to hold the new <u>NAC</u> certificate. An existing certificate, if any, remains in place.
0x06	Operation timeoutBusy, request declined	Cannot perform <u>the</u> requested action due to other activity. <u>The -ONU</u> will not attempt to perform this action again unless it received another <u>request.</u> An existing certificate, if any, remains in place.
<u>0x07</u>	<u>Invalid message</u> <u>format</u>	Unable to parse the <i>eOAM_Install_NAC_Request</i> eOAMPDU and extract the DataBlock field.
0x0 <u>8</u> 7	Illegal operation	ONU cannot recognize the request. No action is taken.
0x0 <u>9</u> 8	Undefined	Unknown error or one not covered above.

1 The CertificateStatus field conveys the status of the installed certificate after performing the

2 requested action (i.e., after installing a new certificate or after removing an existing certificate). Only the

3 values specified in Table 13-25 are allowed. Other values are reserved and cause the

4 *eOAM_Install_NAC_Response* eOAMPDU to be ignored by the OLT/NMS.

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Table 13-25—Values carried in CertificateStatus field

Code	Name	Description
0x00	No certificate	No <u>NAC</u> certificate is present in ONU's secure non-volatile storage.
0x01	Valid certificate	A valid Network Authentication Credential (NAC) certificate is present in ONU's secure non-volatile storage.
0x02	Expired certificate	An expired Network Authentication Credential (NAC) certificate is present in ONU's secure non-volatile storage.
0x03	Invalid format	A <u>NAC</u> certificate is present in ONU's secure non-volatile storage, but its format does not comply with requirements in 11.2.2.1.4
0x04	Corrupted data	Data stored in ONU's secure non-volatile storage is corrupted.

6 13.4.6.7.1.3 NAC certificate installation protocol

The ONU generates an *eOAM_Install_NAC_Response* eOAMPDU for every *eOAM_Install_NAC_Request* it received.

- 13 If the ONU successfully parsed and stored ith DataBlock, it generates *eOAM_Install_NAC_Response #i* 14 containing the same value of Sequence.ResidualOctets[i] as was received in the
- 15 *eOAM Install NAC Request #i* being acknowledged.
- 16 When the OLT receives ONU's response #i with Sequence.ResidualOctets[i] = N, it generates 17 the next request #i+1 with Sequence.ResidualOctets[i+1] = N - BlockLength[i+1].

 ⁹ When the ONU receives the initial eOAM_Install_NAC_Request (i.e., with Sequence.Start == 1), it
 10 should verify that it has sufficient secure non-volatile storage to store the number of octets equal to
 11 Sequence.ResidualOctets + BlockLength. In case of insufficient storage, the ONU's response
 12 shall include the ActionStatus value of 0x05 "Insufficient storage" (see Table 13-24).

1 2	The ONU verifies that all certificate octets are received in order and without gaps using the following criteria:
3 4	a) For the first received <i>eOAM_Install_NAC_Request</i> eOAMPDU, verify that Sequence.Start == 1.
5 6	b) For every subsequent eOAM_Install_NAC_Request message #i (i>0), verify the unbroken chain ResidualOctets[i] + BlockLength[i] == ResidualOctets[i-1]
7 8 9 10	If ONU missed one or more <i>eOAM_Install_NAC_Request</i> eOAMPDUs that included the initial request (i.e., the first request that ONU received had Sequence.Start == 0), it sends a response with Sequence = 0xFF-FF-FF-FF (i.e., Start == 1 and ResidualOctets == 0x7F-FF-FF). This response tells the OLT to restart the sequence from the beginning.
11 12 13 14	If ONU successfully received one or more <i>eOAM Install NAC Request</i> eOAMPDUs that included the initial request, but a subsequent request indicates a gap in the received certificate octets, the ONU response repeats the ResidualOctets value from the last request message for which the condition a) or b) above holds true.
15 16	The OLT delays the issuance of <i>eOAM_Install_NAC_Request</i> eOAMPDU until the next DataBlock is available.
17 18	The ONU generally issues an <i>eOAM_Install_NAC_Response</i> eOAMPDU as soon as the OLT's request is completed, but it may delay such eOAMPDUs to prevent overflow of its receive buffer.
19 20 21 22 23	The OLT shall maintain a 15-second timer for receiving a response from the ONU. The timer is started every time the OLT issues an <i>eOAM Install NAC Request</i> eOAMPDU and it is stopped every time the OLT receives an <i>eOAM Install NAC Response</i> eOAMPDU. Expiration of this timer may indicate a lost request or a lost response message, or it may be caused by the ONU taking a longer time to complete an operation, such as flash memory erasure or certificate validation.
24	Upon timer expiration, the OLT may retransmit the last eOAM Install NAC Request eOAMPDU.
25 26 27	If the ONU has received an <i>eOAM_Install_NAC_Request</i> eOAMPDU while it is still processing the previous request, it shall respond with ActionStatus value of 0x06 "Busy, request declined" (see Table 13-24).
28 29 30 31 32	In the last request and the last response in a sequence, Sequence.ResidualOctets is equal to 0x00-00-00. The ONU shall commit the downloaded NAC certificate (i.e., the entire chain of certificates at once) to the secure non-volatile memory (i.e., the trust store) only after it received the last DataBlock in the sequence. The last response in a sequence contains the CertificateStatus field that conveys the status of the NAC certificate in the trust store.
33 <mark>13.4</mark> 34 35 36	<u>oFhe</u> OLT may initiate a new certificate installation sequence before the current sequence has been completed. If ONU receives an <i>eOAM_Install_NAC_Request</i> eOAMPDU with Sequence.Start == 1, it shall discard any partially-downloaded NAC certificate it may have. Such interruption of the certificate installation sequence shall not affect the NAC certificate that has been already committed to the trust store.
37	NAC certificate removal
38 39	The ONU that successfully received a complete new NAC certificate replaces the existing NAC certificate in the trust store with the new NAC certificate.
40 41	The action of overwriting of the existing NAC certificate takes place even in the situation when no new NAC certificate is supplied by the <i>eOAM Install NAC Request</i> eOAMPDU (i.e., when the value of the

- 1 Sequence field is 0x80-00-00 and the value of the BlockLength field is 0x00-00). Essentially, such
- 2 <u>eOAM_Install_NAC_Request</u> eOAMPDU with the zero-length certificate serves as a request to remove the
 3 existing NAC certificate.
- 3 <u>existing NAC certificate.</u>

4 NAC or DAC certificate retrieval

5 13.4.6.7.1.213.4.6.7.3.1 eOAM_Retrieve_DAC_Request and eOAM_Retrieve_NAC_Request 6 eOAMPDUs

713.4.6The eOAM <u>Certificate Request</u> eOAMPDU with the ActionCode field value of 0x01 (Retrieve DAC) is referred to as eOAM_Retrieve_DAC_Request eOAMPDU. The eOAM_Retrieve_DAC_Request eOAMPDU

9 is used to retrieve the DAC certificate from an ONU (see 11.2.2.1.3).

10 The *eOAM_Certificate_Request* eOAMPDU with the ActionCode field value of 0x02 (Retrieve NAC) is

11 referred to as *eOAM_Retrieve_NAC_Request* eOAMPDU. The *eOAM_Retrieve_NAC_Request* eOAMPDU

12 retrieves a previously-installed NAC certificate from the ONU (see 11.2.2.1.4).

13 The structure of the *eOAM_Retrieve_DAC_Request* and *eOAM_Retrieve_NAC_Request* eOAMPDUs shall

- 14 be as presented in Table 13-26.
- 15 16

Table 13-26—Structure of eOAM_Retrieve_DAC_Request and eOAM_Retrieve_NAC_Request eOAMPDUs

Size (octets)	Field name	Value and notes
21	eOAMPDU header	See Table 13-2
1	Opcode	0x0A (see Table 13-10)
1	ActionCode	Identifies the message type as follows: 0x01: a request to retrieve the DAC <u>certificate</u> 0x02: a request to retrieve the NAC certificate
<u>4</u>	<u>Sequence</u>	Bit 31:Start indicator. When set to 1, identifies a request to send the first block of DAC or NAC certificate.Bits 30-0:ResidualOctets sub-field represents the number of remaining certificate data octets. The OLT requests the ONU to send the next block that is at the head of the remaining ResidualOctets.
37<u>33</u>	Pad	0x0000
4	FCS	See 13.4.2

17 13.4.6.7.1.313.4.6.7.3.2 eOAM_Retrieve_DAC_Response and eOAM_Retrieve_NAC_Response eOAMPDUs

19 The *eOAM_Certificate_Response* eOAMPDU with the ActionCode field value of 0x01 (Retrieve DAC) 20 is referred to as *eOAM_Retrieve_DAC_Response* eOAMPDU. The *eOAM_Retrieve_DAC_Response* 21 eOAMPDU is used by the ONU to convey the contents of the DAC certificate to the OLT/NMS (see

22 **11.2.2.1.3**).

24 is referred to as eOAM_Retrieve_NAC_Response eOAMPDU. The eOAM_Retrieve_NAC_Response

²³ The eOAM_Certificate_Response eOAMPDU with the ActionCode field value of 0x02 (Retrieve NAC)

eOAMPDU is used by the ONU to convey the contents of the NAC certificate, if one is present (see
 11.2.2.1.4).

3 The structure of the eOAM_Retrieve_DAC_Response and eOAM_Retrieve_NAC_Response eOAMPDUs

4 shall be as presented in Table 13-27.

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- 6

Table 13-27—Structure of eOAM_Retrieve_DAC_Response and eOAM_Retrieve_NAC_Response eOAMPDUs

Size (octets)	Field name	Value and notes
21	eOAMPDU header	See Table 13-2
1	Opcode	0x0B (see Table 13-10)
1	ActionCode	Identifies the message type as follows: 0x01: a response carrying the DAC certificate data 0x02: a response carrying the NAC certificate data
<u>4</u>	<u>Sequence</u>	Bit 31:Start indicator. This sub-field value is equal to the value of Start sub-field in the corresponding request (i.e., eOAM Retrieve NAC Request or eOAM_Retrieve_NAC_Request eOAMPDU).Bits 30-0:ResidualOctets sub-field represents the number of remaining certificate data octets, not counting the octets in the BlockData field. This sub-field is equal to 0 if the entire DAC/NAC certificate can fit within a single DataBlock field.
2	CertificateBlockLength	The length of the Certificate DataBlock field. The value of 0x00 indicates that the requested certificate (NAC or DAC) is not present or cannot be retrieved.
< 148 <u>5</u> 9	CertificateDataBlock	<u>A block of DAC or NAC certificate data (records) as defined in</u> or 11.2.2.1.4 respectively. This field is not present if the <u>CertificateBlockLength is 0x00-00</u> .
< 3 <u>1</u> 5	Pad	This field is optional; it is included only when needed to satisfy the minimum OAMPDU size requirement. When included, the Pad value is $0x00$ 00 .
4	FCS	See 13.4.2

⁷

8 13.4.6.7.3.3 NAC/DAC certificate retrieval protocol

9 <u>The OLT requests one certificate block at a time. The ONU generates an *eOAM Retrieve DAC Response* 10 <u>eOAMPDU for every *eOAM_Retrieve_DAC_Request*</u>, and it generates an *eOAM_Retrieve_NAC_Response*</u>

11 <u>eOAMPDU for every eOAM Retrieve NAC Request it received.</u>

In the initial retrieve request, the value of the Sequence field is equal to 0xFF-FF-FF (i.e.,
 Sequence.Start == 1 and Sequence.ResidualOctets == 0x7F-FF-FF).

When the ONU receives OLT's initial retrieve request, it generates the initial retrieve response with
 Sequence[0].ResidualOctets = CertificateSize - BlockLength[0]. The initial

1 ONU response with Sequence[0].ResidualOctets == 0 and BlockLength[0] == 02 indicates to the OLT/NMS that the requested certificate (NAC or DAC) is not present or cannot be 3 retrieved. 4 When the OLT receives ONU's response #i with Sequence[i].ResidualOctets == N with N > 0, it generates the next request #*i*+1 with Sequence [i+1].ResidualOctets = N. 5 6 When the ONU receives the OLT's subsequent retrieve request #i (i>0) with Sequence[i]. 7 ResidualOctets == N, it generates the response #i with Sequence[i].ResidualOctets = N 8 - BlockLength[i]. 9 The OLT controls the frequency of the eOAM Retrieve DAC Request and eOAM Retrieve NAC Request 10 eOAMPDUs to prevent overflow of its receive buffer. If the ONU is unable to retrieve the next certificate block from memory within 1 second OAM timeout 11 12 interval (see 13.2.3), it generates a response message with BlockLength == 0 and the 13 Sequence.ResidualOctets value the same as in the received request. 14 The OLT treats the ONU response with Sequence.ResidualOctets > 0 and BlockLength == 15 0 as a "keep alive" message. A keep-alive message indicates to the OLT that the ONU is going to transmit 16 the requested block as soon as it can and without another OLT request. There could be several keep-alive 17 messages before the next block becomes available at the ONU. 18 The OLT may signal to the ONU that it has aborted the certificate retrieval procedure by issuing a retrieve 19 request with Sequence[i].ResidualOctets == 0. The ONU acknowledges such request by 20 issuing a response with Sequence[i].ResidualOctets == 0 and BlockLength[i] == 0.