

# CR/KR Considerations for c(-3) tap

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# Supporters

- Rita Horner, Synopsys
- Open Karet, Cisco
- Geoff Zhang, Xilinx

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# Outline

- Background and Motivation
- COM analysis with and without Tx c(-3)
- Concerns about Requiring Tx c(-3)
- Benefits of Requiring Tx c(-3)
- Summary
- Proposal

# Background and Motivation

- The need for a 3<sup>rd</sup> pre-cursor tap for CR/KR channels was shown during the Jan 2019 Long Beach meeting [1]
- This tap is included in the Backplane Baseline Proposal introduced at the March 2019 Vancouver meeting [2]
  - Concerns about requiring this tap to be placed at the Tx was discussed, and c(-3) was hence marked a magenta item
- This contribution explores the pros and cons of requiring Tx c(-3)

# COM Analysis Setup

- COM 2.60 (parameters are shown at the end of the slide)
- Focused on the 9 selected KR channels [3] and simulated each with 12mm and 32mm package lengths
- Compared impact on COM with and without Tx FIR c(-3) using 3 Reference Rx's
  - 24-tap DFE
  - FFE (3 pre and 12 post) + 1-tap DFE
  - FFE (3 pre and 24 post) + 1-tap DFE

# COM Analysis Results: 24-tap DFE

adapted c(-3) values  
. -0.02 in 17 of 18 cases

#	Channel	Tx/Rx Package (mm)	c(-3) En/Dis	#DFE	#FFE pre	#FFE post	IL_w_Pkg (dB)	c(-3)	c(-2)	c(-1)	c(0)	c(1)	COM (dB)	COM Improvement due to C(-3) (dB)	
1		12					34.5	0.04	-0.22	0.74	0	4.279			
2	Cable_BKP_28dB_0p575m_more_isi_thru1.s 4p	32	Disabled				42.9	0.06	-0.26	0.68	0	2.674			
3		12					34.5	-0.02	0.08	-0.26	0.64	0	4.687	0.408	
4		32	Enabled				42.9	-0.02	0.08	-0.26	0.64	0	3.049	0.375	
5		12					20.8	0.04	-0.20	0.66	-0.1	5.613			
6	Cable_BKP_16dB_0p575m_more_isi_thru1.s 4p	32	Disabled				28.8	0.04	-0.20	0.66	-0.1	5.005			
7		12					20.8	-0.02	0.08	-0.24	0.61	-0.05	6.405	0.792	
8		32	Enabled				28.8	-0.02	0.08	-0.26	0.64	0	5.564	0.559	
9		12					31.7	0.04	-0.20	0.76	0	5.900			
10	CaBP_BGAVia_Opt2_28dB_THRU.s4p	32	Disabled				39.3	0.04	-0.20	0.76	0	4.792			
11		12					31.7	-0.02	0.08	-0.24	0.66	0	6.055	0.155	
12		32	Enabled				39.3	0	0.04	-0.20	0.76	0	4.792	0.000	
13		12					20.9	0.02	-0.22	0.71	-0.05	4.039			
14	Std_BP_12inch_Meg7_Thru_B56.s4p	32	Disabled				26.9	0.04	-0.26	0.70	0	4.166			
15		12					20.9	-0.02	0.06	-0.26	0.66	0	5.070	1.031	
16		32	Enabled				26.9	-0.02	0.06	-0.26	0.66	0	5.240	1.074	
17		12					17.6	0.04	-0.22	0.74	0	5.267			
18	DPO_4in_Meg7_THRU.s4p	32	Disabled				23.5	0.04	-0.22	0.74	0	5.539			
19		12					17.6	-0.02	0.06	-0.22	0.65	-0.05	5.643	0.376	
20		32	Enabled				23.5	-0.02	0.06	-0.22	0.65	-0.05	5.978	0.439	
21		12					33.4	0.04	-0.22	0.74	0	2.963			
22	OAch4_t.s4p	32	Disabled				40.5	0.06	-0.26	0.68	0	1.982			
23		12					33.4	-0.02	0.08	-0.26	0.64	0	3.466	0.503	
24		32	Enabled				40.5	-0.02	0.08	-0.26	0.64	0	2.169	0.187	
25		12					33.6	0.08	-0.32	0.60	0	1.442			
26	Och4_t.s4p	32	Disabled				40.9	0.08	-0.32	0.60	0	0.668			
27		12					33.6	-0.02	0.10	-0.32	0.56	0	2.103	0.661	
28		32	Enabled				40.9	-0.02	0.10	-0.32	0.56	0	1.081	0.413	
29		12					33.6	0.04	-0.22	0.74	0	4.452			
30	CAch3_b2_t.s4p	32	Disabled				40.4	0.04	-0.22	0.74	0	3.299			
31		12					34.0	-0.02	0.08	-0.26	0.64	0	5.005	0.554	
32		32	Enabled				40.4	-0.02	0.08	-0.26	0.64	0	3.795	0.496	
33		12					34.1	0.08	-0.32	0.60	0	2.147			
34	Bch2_a7p5_7.t.s4p	32	Disabled				40.8	0.08	-0.32	0.60	0	1.681			
35		12					34.1	-0.02	0.10	-0.32	0.56	0	2.817	0.670	
36		32	Enabled				40.8	-0.02	0.10	-0.32	0.56	0	2.315	0.634	
							Average impact on COM due to c(-3) (dB)								0.518

ave. impact on  
COM due to c(-3)

# COM Analysis Results: FFE (3 pre and 12 post) + 1-tap DFE

adapted c(-3) values  
. Mostly 0  
. -0.02 in 1 of 18 cases

#	Channel	Tx/Rx Package (mm)	c(-3) En/Dis	#DFE	#FFE_pre	#FFE_post	IL_w_Pkg (dB)	C(-3)	C(-2)	C(-1)	C(0)	C(1)	COM (dB)	COM Improvement due to C(-3) (dB)			
1	Cable_BKP_28dB_0p575m_more_isi_thru1.s4p	12	Disabled	1	3	12	34.5	0.04	-0.28	0.68	0	4.568	0.000				
2		32					42.9	0	-0.24	0.76	0	1.852					
3		12	Enabled				34.5	0	0.04	-0.28	0.68	0	4.568				
4		32					42.9	0	0.04	-0.28	0.68	0	1.852				
5		12	Disabled				20.8	0.1	-0.3	0.6	0	5.082	0.000				
6		32					28.8	0.04	-0.26	0.7	0	3.427					
7	Cable_BKP_16dB_0p575m_more_isi_thru1.s4p	12	Enabled				20.8	0	0.1	-0.3	0.6	0	5.082	0.000			
8		32					28.8	0	0.04	-0.26	0.7	0	3.427				
9		12	Disabled				31.7	0.02	-0.24	0.74	0	6.393	0.000				
10		32					39.3	0	-0.22	0.78	0	4.152					
11		12	Enabled				31.7	0	0.02	-0.24	0.74	0	6.393	0.000			
12		32					39.3	0	0	-0.22	0.78	0	4.152				
13	CaBP_BGAVia_Opt2_28dB_THRU.s4p	12	Disabled				20.9	0.1	-0.3	0.6	0	4.701	0.000				
14		32					26.9	0	-0.2	0.6	-0.2	3.220					
15		12	Enabled				20.9	-0.02	0.12	-0.3	0.56	0	4.795	0.094			
16		32					26.9	0	0	-0.2	0.6	-0.2	3.220				
17	Std_BP_12inch_Meg7_Thru_B56.s4p	12	Disabled				17.6	0.06	-0.28	0.66	0	4.813	0.000				
18		32					23.5	0.06	-0.28	0.66	0	3.403					
19		12	Enabled				17.6	0	0.06	-0.28	0.66	0	4.813	0.000			
20		32					23.5	0	0.06	-0.28	0.66	0	3.403				
21	DPO_4in_Meg7_THRU.s4p	12	Disabled				33.4	0.04	-0.28	0.68	0	3.466	0.000				
22		32					40.5	0.02	-0.28	0.7	0	1.639					
23		12	Enabled				33.4	0	0.04	-0.28	0.68	0	3.466	0.000			
24		32					40.5	0	0.02	-0.28	0.7	0	1.639				
25	OCh4_t.s4p	12	Disabled				33.6	0.08	-0.34	0.58	0	2.476	0.000				
26		32					40.9	0.08	-0.34	0.58	0	0.687					
27		12	Enabled				33.6	0	0.08	-0.34	0.58	0	2.476	0.000			
28		32					40.9	0	0.08	-0.34	0.58	0	0.687				
29	CAch3_b2_t.s4p	12	Disabled				34.0	0.04	-0.28	0.68	0	5.067	0.000				
30		32					40.4	0.02	-0.26	0.72	0	2.627					
31		12	Enabled				34.0	0	0.04	-0.28	0.68	0	5.067	0.000			
32		32					40.4	0	0.02	-0.26	0.72	0	2.627				
33	Bch2_a7p5_7.t.s4p	12	Disabled				34.1	0.08	-0.34	0.58	0	2.180	0.000				
34		32					40.8	0.02	-0.3	0.68	0	1.240	0.000				
35		12	Enabled				34.1	0	0.08	-0.34	0.58	0	2.180				
36		32					40.8	0	0.02	-0.3	0.68	0	1.240				
							Average impact on COM due to c(-3) (dB)							0.005			

ave. impact on  
COM due to c(-3)

# COM Analysis Results: FFE (3 pre and 24 post) + 1-tap DFE

adapted c(-3) values  
. Mostly 0  
. -0.02 in 3 of 18 cases

#	Channel	Tx/Rx Package (mm)	c(-3) En/Dis	#DFE	#FFE_pre	#FFE_post	IL_w_Pkg (dB)	C(-3)	C(-2)	C(-1)	C(0)	C(1)	COM (dB)	COM Improvement due to C(-3) (dB)			
1	Cable_BKP_28dB_0p575m_more_isi_thru1.s4p	12	Disabled	1	3	24	34.5	0.04	-0.28	0.68	0	4.990					
2		32					42.9	0	-0.24	0.76	0	3.453					
3		12	Enabled				34.5	0	0.04	-0.28	0.68	0	4.990	0.000			
4		32					42.9	0	0	-0.24	0.76	0	3.453	0.000			
5		12	Disabled				20.8	0.06	-0.28	0.66	0	6.286					
6		32					28.8	0.08	-0.3	0.62	0	5.883					
7	Cable_BKP_16dB_0p575m_more_isi_thru1.s4p	12	Enabled				20.8	0	0.06	-0.28	0.66	0	6.286	0.000			
8		32					28.8	0	0.08	-0.3	0.62	0	5.883	0.000			
9		12	CaBP_BGAVia_Opt2_28dB_THRU.s4p				31.7	0	0.02	-0.24	0.74	0	6.503				
10		32					39.3	0	0	-0.24	0.76	0	5.114				
11		12					31.7	0	0.02	-0.24	0.74	0	6.503	0.000			
12		32					39.3	0	0	-0.24	0.76	0	5.114	0.000			
13		12	Std_BP_12inch_Meg7_Thru_B56.s4p				20.9	0.12	-0.28	0.6	0	4.821					
14		32					26.9	0.02	-0.3	0.68	0	5.272					
15		12					20.9	-0.02	0.12	-0.3	0.56	0	4.884	0.063			
16		32					26.9	-0.02	0.12	-0.3	0.56	0	5.256	-0.016			
17	DPO_4in_Meg7_THRU.s4p	12	Disabled				17.6	0.06	-0.28	0.66	0	5.337					
18		32					23.5	0.06	-0.28	0.66	0	5.503					
19		12	Enabled				17.6	0	0.06	-0.28	0.66	0	5.337	0.000			
20		32					23.5	0	0.06	-0.28	0.66	0	5.503	0.000			
21	OAch4_t.s4p	12	Disabled				33.4	0.04	-0.28	0.68	0	3.622					
22		32					40.5	0.02	-0.28	0.7	0	2.453					
23		12	Enabled				33.4	0	0.04	-0.28	0.68	0	3.622	0.000			
24		32					40.5	0	0.02	-0.28	0.7	0	2.453	0.000			
25	Och4_t.s4p	12	Disabled				33.6	0.08	-0.34	0.58	0	2.686					
26		32					40.9	0.08	-0.34	0.58	0	1.442					
27		12	Enabled				33.6	0	0.08	-0.34	0.58	0	2.686	0.000			
28		32					40.9	0	0.08	-0.34	0.58	0	1.442	0.000			
29	CAch3_b2_t.s4p	12	Disabled				34.0	0.04	-0.28	0.68	0	5.272					
30		32					40.4	0.02	-0.28	0.7	0	3.945					
31		12	Enabled				34.0	0	0.04	-0.28	0.68	0	5.272	0.000			
32		32					40.4	0	0.02	-0.28	0.7	0	3.945	0.000			
33	Bch2_a7p5_7.t.s4p	12	Disabled				34.1	0.08	-0.34	0.58	0	3.286					
34		32					40.8	0.08	-0.34	0.58	0	2.639					
35		12	Enabled				34.1	-0.02	0.1	-0.34	0.54	0	3.299	0.013			
36		32					40.8	0	0.08	-0.34	0.58	0	2.639	0.000			
							Average impact on COM due to c(-3) (dB)					0.003					

Additional c(-3) tap in Tx FIR can also hurt COM

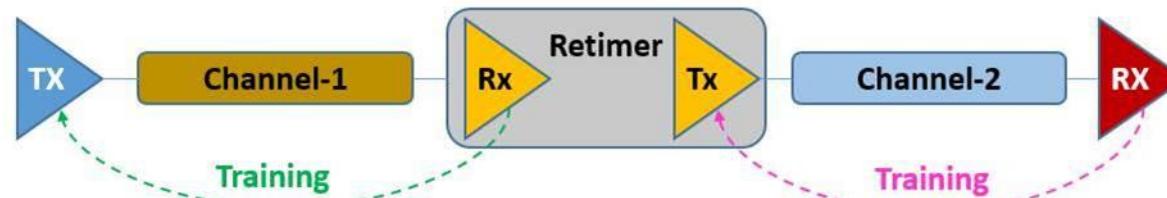
ave. impact on COM due to c(-3)

# COM Analysis Results

- COM improvement with DFE-only reference receiver is 0.518dB
  - c(-3) adapted to 0 in 1 out of 18 cases and to -0.02, in 17 out of 18 cases
- Due to overlapped taps of FFE receivers [1], COM improvement due to Tx FIR c(-3) is very small
  - Average COM improvement for FFE(3 pre + 12 post) + 1-tap DFE is 0.005dB
    - c(-3) adapted to 0 in 17 out of 18 cases and to -0.02 in 1 out of 18 cases
  - Average COM improvement for FFE (3 pre + 24 post) + 1-tap DFE is 0.003dB. In one case, COM degraded due to Tx FIR c(-3)
    - c(-3) adapted to 0 in 15 out of 18 cases and to -0.02 in 3 out of 18 cases
- Conclusion
  - If the reference receiver includes a 3<sup>rd</sup> pre-cursor tap, it is not needed in the Tx
  - Tuning c(-3) causes adjustment in other taps, c(-2:1), and this can hurt COM
- Please note: c(-3) can converge to different values depending on c(-3) step size and number of DFE/FFE taps [6]

# Concerns about Requiring c(-3) at Tx

- If c(-3) is required at Tx, it would be tuned by Rx during link training
  - In order for Rx to have enough information to train the Tx c(-3) tap, the Rx would need to know the pulse response at these locations, so the power is already spent on the Rx side
  - For a DAC-base implementation, cost of adding c(-3) at Tx is smaller. However, for an analog-based implementation, adding one tap degrades termination and return loss performance
  - Current link\_inhibit timer for 56G PAM4 CR/KR is 3.2s max [5]. This timer will likely need to increase with the addition of c(-3), and this time would be spent every time a cable is plugged into a port. What is the max time acceptable by system vendors?
  - Many 112G CR/KR applications may need one (or even two) retimers. Trainings have to happen at the same time, and the longer it takes, the higher the risk of not reaching a global optimal



# Concerns about Requiring c(-3) at Tx

- Continuous adaptation of c(-3) at Rx provides better coverage for voltage and temperature changes
  - [4] reported ~0.3dB/m IL difference in copper cable due to 20degC change (25C to 45C). Assuming 2m cable and 60C change from startup to steady state operation, IL difference ~1.8dB
  - Tx cursor outputs also varies over voltage and temperature

# Benefit of Requiring c(-3) at Tx

- May allow for lower cost Receiver implementations in the future

# Summary

- A 3<sup>rd</sup> pre-cursor tap is needed at 112G
  - If DFE-only reference receiver is used, COM shows ~0.52dB improvement with the addition of c(-3) at Tx
  - If the reference receiver includes a 3<sup>rd</sup> pre-cursor tap, c(-3) is not needed in the Tx. In some cases, c(-3) can degrade COM performance
- Requiring c(-3) at Tx increases link training time and may not be sufficient given variation in channel insertion loss due to voltage and temperature changes
- Requiring c(-3) at Tx may allow for lower cost Rx implementation in the future

# Proposal

- Tx c(-3) should be used in COM since it is a DFE-only approach
- Baseline should not mandate real implementation to use Tx c(-3), including link training

# References

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2. Baseline Proposal for 100, 200 and 400 Gb/s Backplane (Update), Howard Heck  
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5. IEEE 802.3cd-2018, section 73.10.2
6. KR/CR Simulation Results with COM Tool 2.57, Phil Sun  
([http://www.ieee802.org/3/ck/public/19\\_01/sun\\_3ck\\_02a\\_0119.zip](http://www.ieee802.org/3/ck/public/19_01/sun_3ck_02a_0119.zip))

# Additional slides

# COM Parameter Example: 24-tap DFE with c(-3)

Table 93A-1 parameters				I/O control			Table 93A-3 parameters		
Parameter	Setting	Units	Information	DIAGNOSTICS	1	logical	Parameter	Setting	Units
f_b	53.125	GBd		DISPLAY_WINDOW	1	logical	package_tl_gamma0_a1_a2	[0 0.000909 0.0002772]	
f_min	0.05	GHz		CSV_REPORT	1	logical	package_tl_tau	6.141E-03	ns/mm
Delta_f	0.01	GHz		RESULT_DIR	\results\100GEL_WG_{date}\		package_Z_c	[87.5 87.5 ; 92.5 92.5 ]	Ohm
C_d	[1e-4 1.e-4]	nF	[TX RX]	SAVE FIGURES	0	logical			
z_p_select	[ 1 2 ]		[test cases to run]	Port Order	[1 3 2 4]				
z_p(TX)	[12.32; 1.8 1.8]	mm	[test cases]	RUNTAG	CR_eval				
z_p(NEXT)	[12.32; 1.8 1.8]	mm	[test cases]	COM CONTRIBUTION	0	logical			
z_p(FEXT)	[12.32; 1.8 1.8]	mm	[test cases]	Operational					
z_p(RX)	[12.32; 1.8 1.8]	mm	[test cases]	COM Pass threshold	3	dB			
C_p	[0.87e-4 0.87e-4]	nF	[TX RX]	ERL Pass threshold	10.5	dB			
R_0	50	Ohm		DER_0	1.00E-04				
R_d	[ 50 50 ]	Ohm	[TX RX]	T_r	6.16E-03	ns			
A_v	0.413	V	vp/vf=.694	FORCE_TR	1	logical			
A_fe	0.413	V	vp/vf=.694	Include PCB	0	logical			
A_ne	0.608	V		TDR and ERL options					
L	4			TDR	1	logical			
M	32			ERL	1	logical			
filter and Eq				ERL_ONLY	0	logical			
f_r	0.75	*fb		TR_TDR	0.01	ns			
c(0)	0.54		min	N	1000				
c(-1)	[-0.34:0.02:0]		[min:step:max]	TDR_Butterworth	1	logical			
c(-2)	[0.02:0.12]		[min:step:max]	beta_x	1.70E+09				
c(-3)	[-0.06:0.02:0]		[min:step:max]	rho_x	0.25				
c(1)	[-0.2:0.05:0]		[min:step:max]	fixture delay time	0	enter sec			
N_b	24	UI		Receiver testing					
b_max(1)	0.85			RX_CALIBRATION	0	logical			
b_max(2..N_b)	0.2			Sigma_BBN step	5.00E-03	V			
g_DC	[-20:1:0]	dB	[min:step:max]	Noise, jitter					
f_z	21.25	GHz		sigma_RJ	0.01	UI			
f_p1	21.25	GHz		A_DD	0.02	UI			
f_p2	53.125	GHz		eta_0	8.20E-09	V^2/GHz			
g_DC_HP	[-6:1:0]		[min:step:max]	SNR_TX	33	dB			
f_HP_PZ	0.6640625	GHz		R_LM	0.95				
ffe_pre_tap_len	0	UI							
ffe_post_tap_len	0	UII							
ffe_tap_step_size	0								
ffe_main_cursor_min	0.7								
ffe_pre_tap1_max	0.3								
ffe_post_tap1_max	0.3								
ffe_tapn_max	0.125								
ffe_backoff	0								

Parameters modified for FFE + 1-tap DFE simulations

# COM Parameter Example: 24-tap DFE w/o c(-3)

Table 93A-1 parameters				I/O control			Table 93A-3 parameters		
Parameter	Setting	Units	Information	DIAGNOSTICS	1	logical	Parameter	Setting	Units
f_b	53.125	GBd		DISPLAY_WINDOW	1	logical	package_tl_gamma0_a1_a2	[0 0.000909 0.0002772]	
f_min	0.05	GHz		CSV_REPORT	1	logical	package_tl_tau	6.141E-03	ns/mm
Delta_f	0.01	GHz		RESULT_DIR	\results\100GEL_WG_{date}\		package_Z_c	[87.5 87.5 ; 92.5 92.5 ]	Ohm
C_d	[1e-4 1.e-4]	nF	[TX RX]	SAVE FIGURES	0	logical			
z_p_select	[ 1 2 ]		[test cases to run]	Port Order	[1 3 2 4]				
z_p(TX)	[12.32; 1.8 1.8]	mm	[test cases]	RUNTAG	CR_eval				
z_p(NEXT)	[12.32; 1.8 1.8]	mm	[test cases]	COM CONTRIBUTION	0	logical			
z_p(FEXT)	[12.32; 1.8 1.8]	mm	[test cases]	Operational					
z_p(RX)	[12.32; 1.8 1.8]	mm	[test cases]	COM Pass threshold	3	dB			
C_p	[0.87e-4 0.87e-4]	nF	[TX RX]	ERL Pass threshold	10.5	dB			
R_0	50	Ohm		DER_0	1.00E-04				
R_d	[ 50 50 ]	Ohm	[TX RX]	T_r	6.16E-03	ns			
A_v	0.413	V	vp/vf=.694	FORCE_TR	1	logical			
A_fe	0.413	V	vp/vf=.694	Include PCB	0	logical			
A_ne	0.608	V		TDR and ERL options					
L	4			TDR	1	logical			
M	32			ERL	1	logical			
filter and Eq				ERL_ONLY	0	logical			
f_r	0.75	*fb		TR_TDR	0.01	ns			
c(0)	0.54		min	N	1000				
c(-1)	[-0.34:0.02:0]		[min:step:max]	TDR_Butterworth	1	logical			
c(-2)	[0.02:0.12]		[min:step:max]	beta_x	1.70E+09				
c(-3)	[ 0 ]		[min:step:max]	rho_x	0.25				
c(1)	[-0.2:0.05:0]		[min:step:max]	fixture delay time	0	enter sec			
N_b	24	UI		Receiver testing					
b_max(1)	0.85			RX_CALIBRATION	0	logical			
b_max(2..N_b)	0.2			Sigma_BBN step	5.00E-03	V			
g_DC	[-20:1:0]	dB	[min:step:max]	Noise, jitter					
f_z	21.25	GHz		sigma_RJ	0.01	UI			
f_p1	21.25	GHz		A_DD	0.02	UI			
f_p2	53.125	GHz		eta_0	8.20E-09	V^2/GHz			
g_DC_HP	[-6:1:0]		[min:step:max]	SNR_TX	33	dB			
f_HP_PZ	0.6640625	GHz		R_LM	0.95				
ffe_pre_tap_len	0	UI							
ffe_post_tap_len	0	UII							
ffe_tap_step_size	0								
ffe_main_cursor_min	0.7								
ffe_pre_tap1_max	0.3								
ffe_post_tap1_max	0.3								
ffe_tapn_max	0.125								
ffe_backoff	0								

Parameters modified for FFE + 1-tap DFE simulations

# Thank you!