

TRANSMIT EQUALIZER STEP SIZE SPECIFICATIONS (COMMENTS #62, #63, #74, #10249)

Adee Ran, Intel

March 2020

Background

- Tx equalization maximum step size specification was 5% in 50G electrical PMDs (clauses 136, 137, also annex 120D)
 - c(-2) was specified as 2.5%.
- In 802.3ck:
 - Following [hidaka_3ck_adhoc_01_120518](#) and [sun_3ck_adhoc_01a_120518](#) all analysis assumed a 2% step size for c(-3) through c(0), and this value was included in the baseline proposal [heck_3ck_03b_0319](#).
 - 5% for c(+1)
 - The 2% step size can create an additional burden on DAC-based transmitters. Power impact estimated as ~0.5 pJ/bit.
 - In [ran_3ck_adhoc_01_021920](#) we have shown that step size has small and very irregular effect on COM results.
 - Comments #62, #63, #74, #10249 against D1.1 address Tx equalization step sizes.

Goals of this presentation

- In [ran_3ck_adhoc_01_021920](#) it was stated that “Moving from 2.5% to 2% requires an additional DAC bit, otherwise some steps will have no measurable effect.”
 - Feedback received suggested that the additional bit may be required only in digital calculations, and not necessarily in the DAC, by rounding the calculated FFE output to 7 bits.
 - The claim about “no measurable effect” was indeed incorrect.
 - Rounding will be discussed below (spoiler: possible, but with increased Tx noise).
- Other comments suggest that having a 5% step size for $c(+1)$ alone does not benefit Tx design and can create unexpected complexity for optimization algorithms.
 - This will be explained.

Possible designs choices

To meet a 2.5% step size specification

- 7-bit integer 2-tap FFE calculation can work as follows:
 - Input is $\{-3, -1, +1, +3\}$
 - Multipliers are 0:0.5:21 (42 values) for $c(0)$, and -5:0.5:0 (11 values) for $c(-1)$
 - Normalized step size is $1/42 = \mathbf{2.38\%}$
 - Output range is $21*3 - 21*(-3)=126$
 - Output is shifted to an unsigned range of 0 to 126 (so the value 63 corresponds to zero differential output)

To meet a 2% step size specification

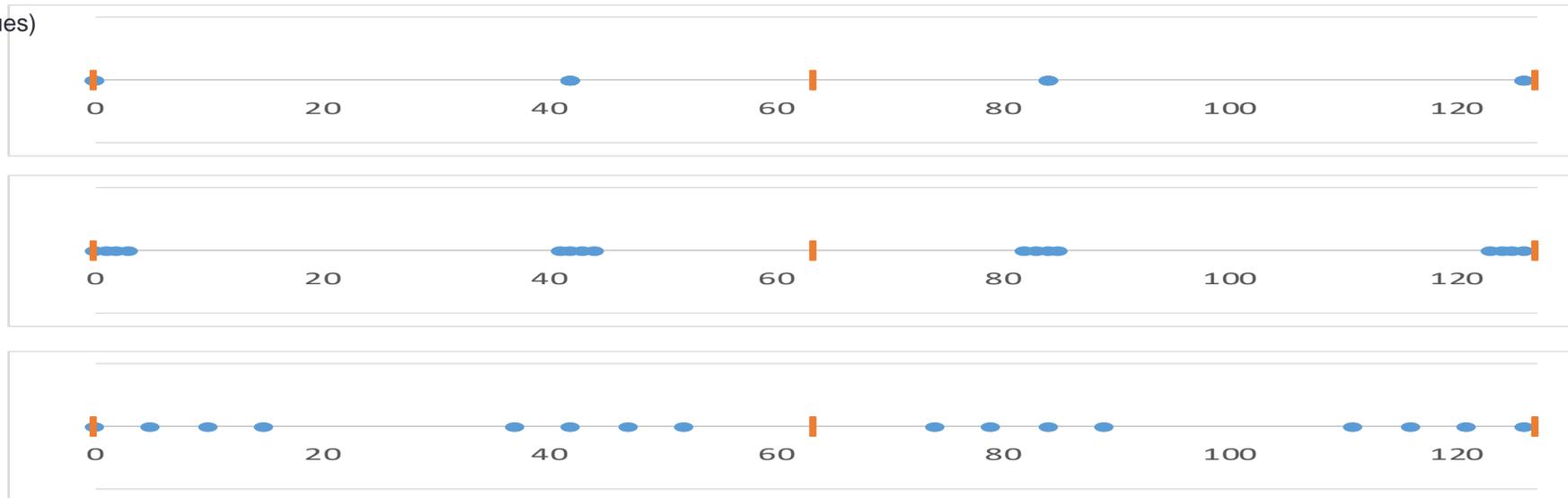
- 8-bit integer FFE calculation is required:
 - Input is $\{-3, -1, +1, +3\}$
 - Multipliers are 0:0.5:42.5 (85 values) for $c(0)$, and -10:0.5:0 (21 values) for $c(-1)$
 - Normalized step size is $1/85 = \mathbf{1.18\%}$
 - Output range is $42.5*3 - 42.5*(-3)=255$
 - Output is shifted to an unsigned range of 0 to 255 (so the value 127.5 corresponds to zero differential output)

Results of 7-bit design

- Outputs for different coefficient combinations:

c(-1)	c(0)	NRZ outputs	PAM4 outputs
0	21	0; 126	0; 42; 84; 126
-0.5	20.5	0, 3; 123, 126	0, 1, 2, 3; 41, 42, 43, 44; 82, 83, 84, 85; 123, 124, 125, 126
-2.5	18.5	0, 15; 111, 126	0, 5, 10, 15; 37, 42, 47, 52; 74, 79, 84, 89; 111, 116, 121, 126

(Unnormalized values)

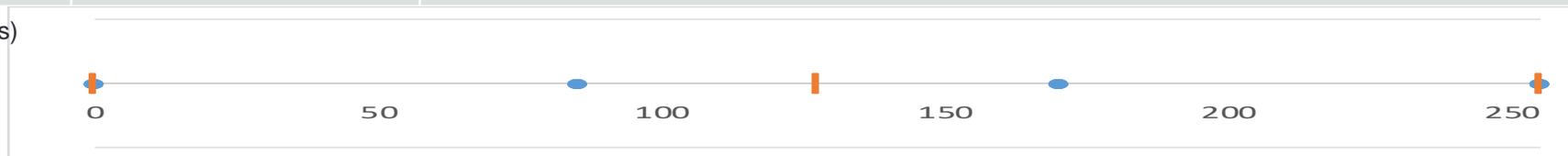


Results of 8-bit design

- Outputs for different coefficient combinations:

$c(-1)$	$c(0)$	NRZ outputs	PAM4 outputs
0	42.5	0; 255	0; 85; 170; 255
-0.5	42	0, 3; 252, 255	0, 1, 2, 3; 84, 85, 86, 87; 168, 169, 170, 171; 252, 253, 254, 255
-5	37.5	0, 30; 225, 255	0, 10, 20, 30; 75, 85, 95, 105; 150, 160, 170, 180; 225, 235, 245, 255

(Unnormalized values)



What if output DAC is 7 bits?

With 7-bit calculation

- FFE calculation is fed directly to DAC
 - Pure linear system, no additive noise
- Equalization control is more coarse than with 8 bits
 - But, as we have shown, with the Rx adaptive equalization the result may actually be better

With 8-bit calculation

- Outputs have to be divided by 2
 - Problem: some outputs are even, some are odd
 - Truncation error is either 0 or 1 LSB depending on input sequence → additive quantization noise
 - With $\text{RMS} = \frac{1}{\sqrt{2}} \text{LSB}$, effect on SNDR is small – but this quantization noise can't be mitigated by the Rx
- More refined equalization control is not necessarily beneficial
- More expensive digital calculations

What about $c(+1)$?

- If the max step size is $>2x$ larger than the rest, implementations may actually apply double steps
- This creates complications for receivers trying to optimize Tx equalization.
- Suppose the receiver wants to sweep possible values of $c(+1)$ starting from preset 1:
 - Prior to decrementing $c(1)$, $c(0)$ must be decremented, to prevent getting an “equalization limit” response
 - In the Tx (unlike COM calculation) $c(0)$ is not automatically determined from other coefficients
 - If step sizes are the same, one decrement of $c(+1)$ requires one decrement of $c(0)$
 - If $c(1)$ has $2x$ step size, one decrement of $c(+1)$ requires two decrements of $c(0)$.

The problem with unequal step sizes

- The Rx has no way to tell what step sizes the Tx has.
 - Uncertainty exists regardless of the “search” algorithm chosen.
 - Step sizes can vary even more...
 - Planning for all possible combinations is difficult; validation is a nightmare.
- There is no real design benefit for having unequal step sizes.
- This ambiguity also exists with uniform step size limits...
 - It was not realized until we had different limits in 802.3cd.
 - We should add a recommendation to have nominally equal step sizes for all coefficients, to enable simple “step counting” logic.

Summary

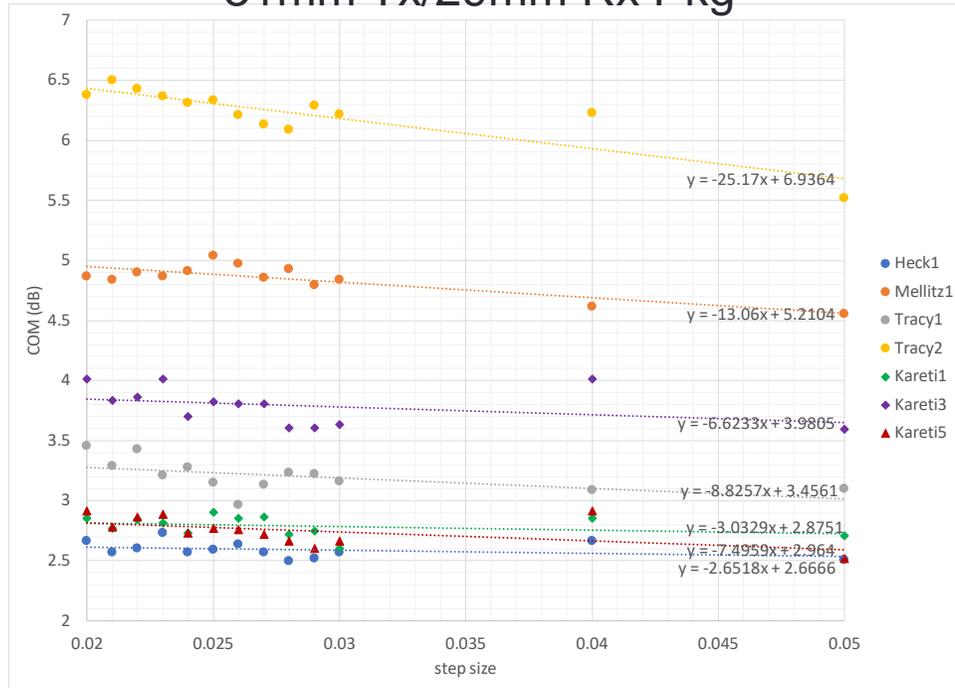
- Current max step size spec of 2% is too aggressive
 - For a digital implementation, requires at least 8-bit calculations, if not 8-bit DAC
 - Changing to max 2.5% would enable full 7-bit design with negligible impact (if any) on Rx
 - Finer steps have no real benefit, and cost power
 - COM grid is not necessarily related, but run time can be reduced by changing 2% to 2.5%
- Allowing c(+1) to have larger steps creates unexpected complexity in Rx optimization
 - COM grid is not related; can stay with a larger step to reduce run time
- Recommended changes in D1.1 → D1.2:
 - In transmitter characteristics
 - Use uniform step size specs for all taps, with absolute step min: 0.005 and max: 0.025. Apply in subclause text and Tx summary table.
 - Add a recommendation in the subclause text: “The step sizes of all coefficients should be nominally equal, to enable efficient scanning of the coefficient space.”
 - With editorial license
 - In COM
 - Change search step to 2.5% for all precursor taps.
 - Apply the above for clause 162, clause 163, and annex 120G.

BACKUP

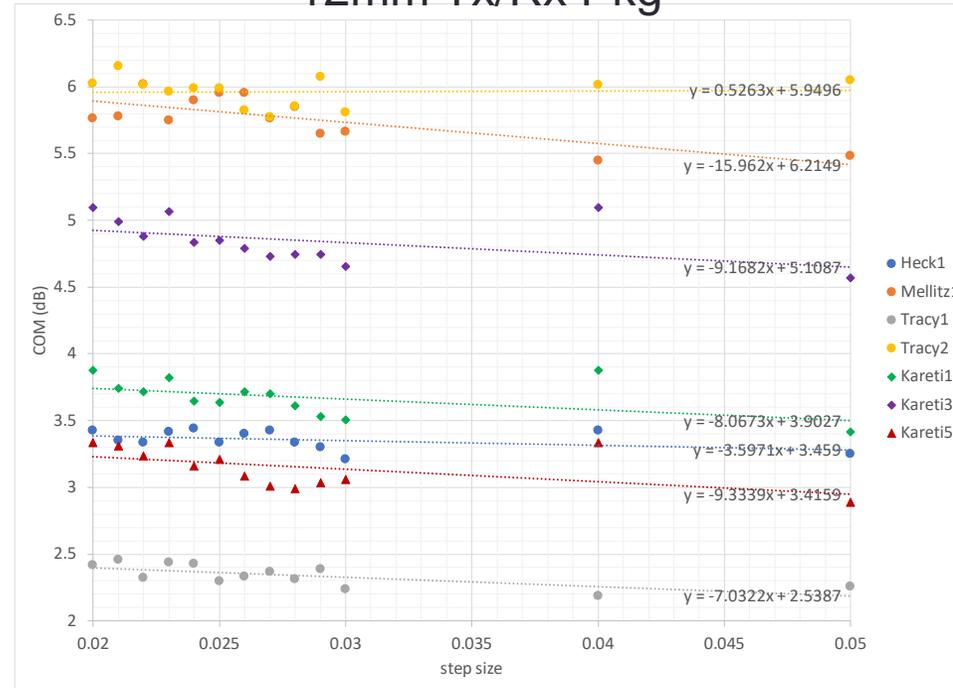
From [ran_3ck_adhoc_01_021920](#)

Results

31mm Tx/29mm Rx Pkg



12mm Tx/Rx Pkg



In both cases, COM vs. step size trend is very small in all channels

Effect of 2% to 2.5% is between ~ 0.05 dB (for low COM channels) and 0.13 dB (for the high COM channel)

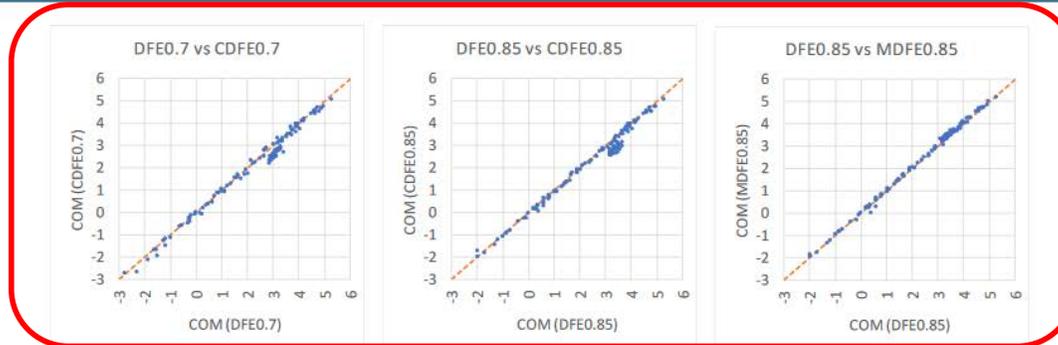
Results are very “noisy” and inconclusive even at relatively large steps (R^2 maximum value was only ~ 0.75 ; most were much worse)

From [ran_3ck_adhoc_01_021920](#)

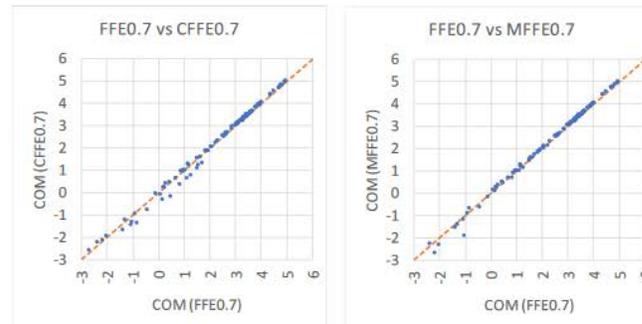
What was the 2% recommendation based on?

TX Resolution Impact

DFE vs [CM]DFE



FFE vs [CM]FFE



Source:

[sun_3ck_adhoc_01a_120518](#)

Slide 8

- 2.5% (CDFE and CFFE) are often much worse than 1.5% (DFE and FFE)
- 2.0% (MDFE and MFFE) are close to 1.5% (DFE and FFE)

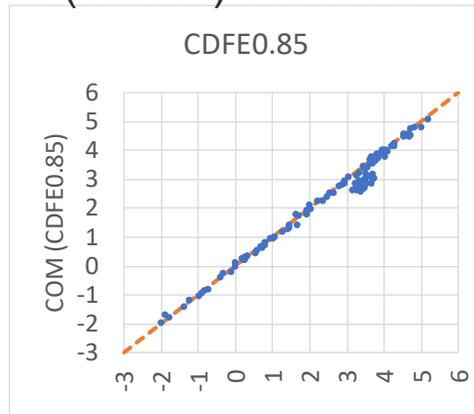


From [ran_3ck_adhoc_01_021920](#)

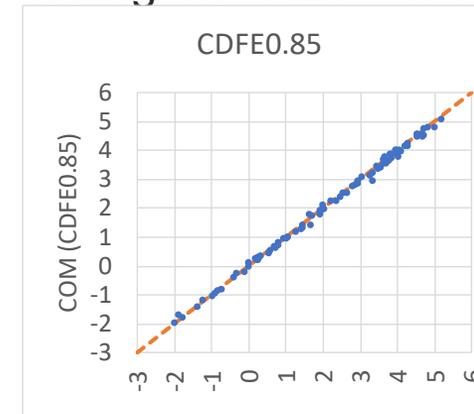
Digging into the data

Full data set provided in [hidaka_3ck_adhoc_02_120518](#) to enable further analysis

Coarse DFE (0.25%) vs. medium DFE (0.2%)



Same, excluding the "AZ1" and "AZ2" Data



16	AZ1	Orthogonal Backplane Channel	zambell_100GEL_01a_0318.pdf
89-115	AZ2	Measured Orthogonal Backplane with Varied Impedances	zambell_3ck_01_1118.pdf

Source: [sun_3ck_adhoc_01a_120518](#) slide 4

From [ran_3ck_adhoc_01_021920](#)

Eventually we chose a subset of channels for analysis

The Highlighted Channels

Contribution	Channel
heck_3ck_01_1118	28dB Cabled Backplane/Cable_BKP_28dB_0p575m_more_isi
	16dB Cabled Backplane/Cable_BKP_16dB_0p575m_more_isi
mellitz_3ck_adhoc_02_081518	24,28,30dB including BGA Via/CaBP_BGAVia_Opt2_28dB
tracy_3ck_01_0119	Traditional Backplane Channels/Std_BP_12inch_Meg7
	Orthogonal Backplane Channels/DPO_IL_12dB
kareti_3ck_01a_1118	Measured Orthogonal Backplane Channels/OAch4
	Measured Orthogonal Backplane Channels/Och4
	Measured Cabled Backplane Channels/CAch3_b2
	Measured Traditional Backplane Channels/Bch2_a7p5_7

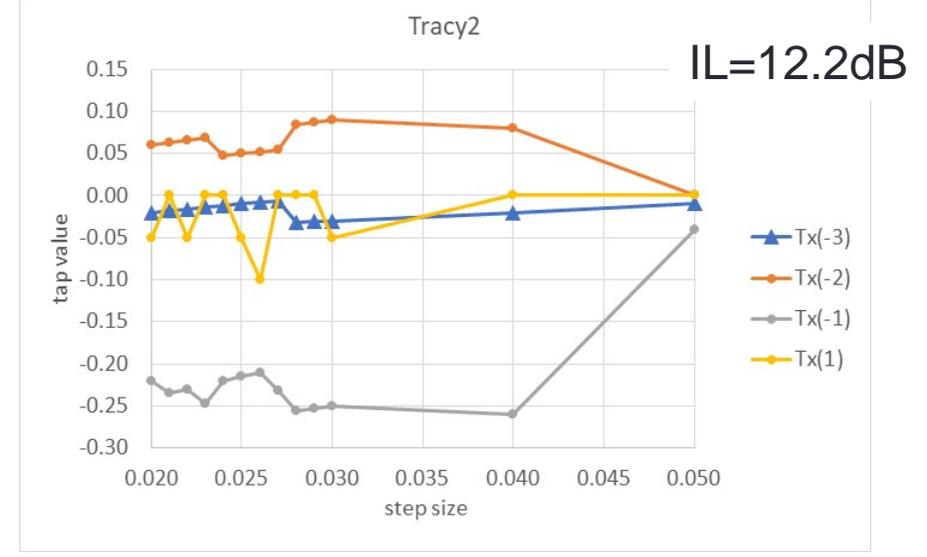
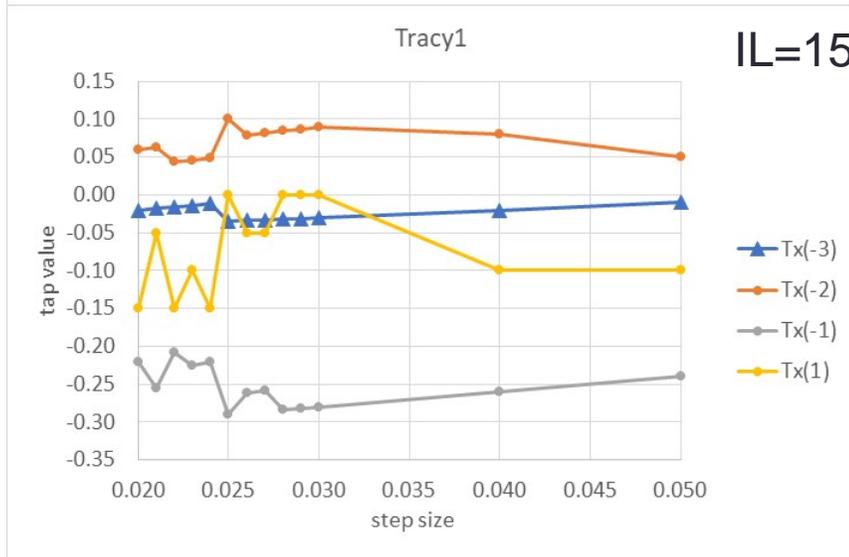
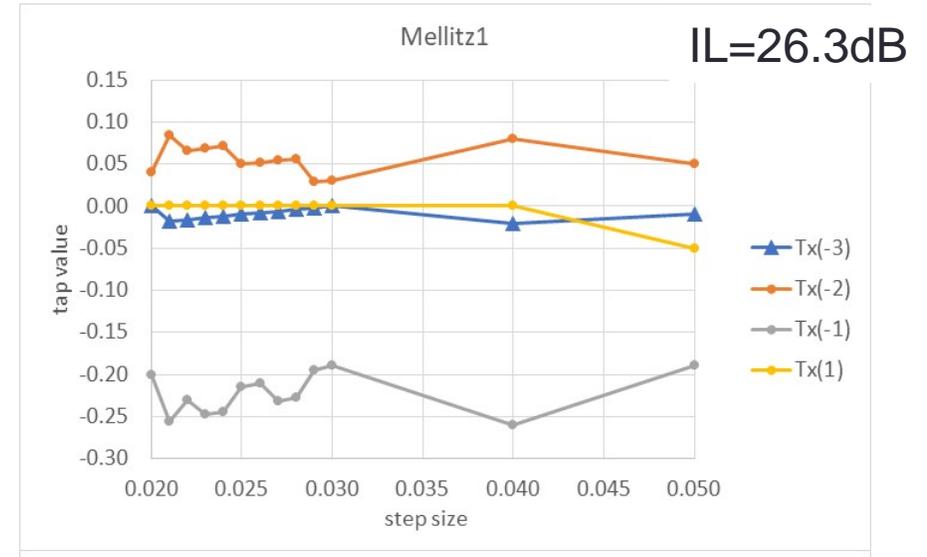
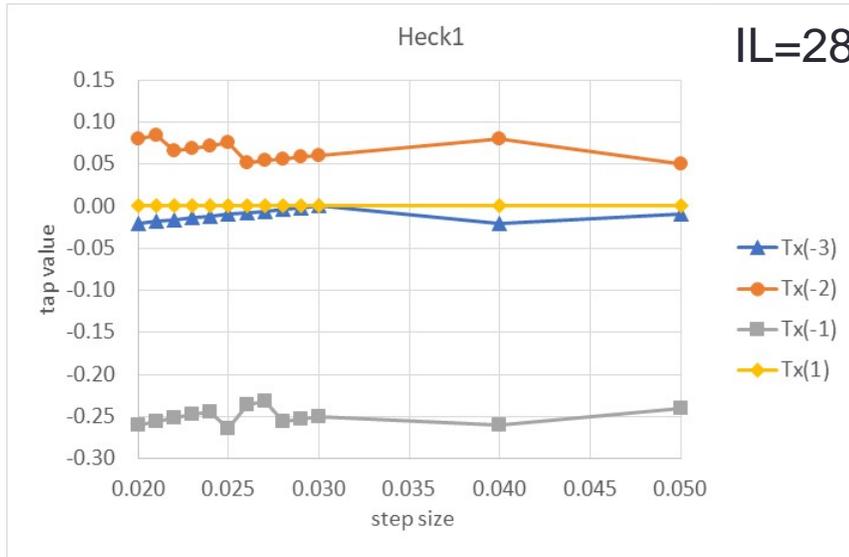
“AZ” channels not in the list

Source: [kochuparambil_3ck_01c_0119](#) slide 5

From [ran_3ck_adhoc_01_021920](#)

Tap Values By Channel

31/29mm Tx/Rx Package



From [ran_3ck_adhoc_01_021920](#)

Tap Values By Channel

31/29mm Tx/Rx Package

